

Quarterly Progress Report For
Sub-mission for Urban Infrastructure and Governance

JNNURM
For
UTTAR PRADESH

Jn **NURM**

Time Period: October 2013-December 2013

This Report comprises

City level report
<i>Agra, Allahabad, Kanpur, Lucknow, Meerut, Mathura, Varanasi</i>
<i>Total Towns : 07</i>
<i>Total Projects: 33</i>
Project level report

Report Submitted by

Directorate of Local Bodies/State Level Nodal Agency
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STATUS OF STATE LEVEL MANDATORY REFORMS

Name of State: Uttar Pradesh 31.12.2013

Sl. No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
S-1	IMPLEMENTATION OF THE 74th CONSTITUTIONAL AMENDMENT ACT.			
A	If elections to the municipalities have not been held, please indicate when this will be held			Elections have been held
B	Constituting the DPC/MPC		Yes	<ul style="list-style-type: none"> • DPC - Constituted and working • MPC -The reform is to be completed by the year 2011-12. The provision of the creation of MPC has been inducted in the Nagar Nigam Act. As per section 57A, in every Metropolitan Area a Metropolitan Planning Committee will prepare a Draft Development Plan for Metropolitan Area as a whole. The Metropolitan Area of 5 KAVAL Towns and Meerut City has been notified. The rules have been framed and legally vetted notified.
C	Please provide timetable for constitution of SFC, acceptance and implementation of its recommendation	NA	Yes	Recommendations of 2 SFC's constituted earlier have already been implemented by the State Government. The recommendations of the 3 rd SFC accepted and implementation started.
D	Resolution ¹⁷ by Government expressing commitment to implement the 74 th Amendment Act ¹⁸ with respect to convergence of urban management functions with ULB's		Yes	Core Municipal Functions are with ULBs. The parastatels operates in the delivery of certain functions like planning the City by deciding the land uses, sanction of Building Plan, Transport and Fire Services, but appropriate role to Mayor/Corporators/Municipal Commissioner, has been defined by making them accountable for delivery of service.
E	Please provide timeline in year of when the state plan to complete the transfer of the following functions.			

Sl. No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
1	Urban Planning including town planning	2011-12	Yes	There are 630 ULBs in the State. This function is performed by all ULBs except 106 (74 Regulated Area, 27 Development Authorities and 05 Special Area Development Authorities) where it is done by the concerned Development Authorities and Regulated Areas. The Development Authorities although plans the process that defines the land use the ULB shares the accountability at technical and administrative level as they are assigned the prime responsibility of being part of policy making and planning process, the Municipal Commissioner/Elective Representative/Corporators are members of the Advisory Council U/S 6 of U.P. Urban Planning and Development Act 1973, for the preparation of Master Plan and on such other matter relating to the planning of development.
2	Regulation of land-use and construction of buildings	2011-12	Yes	524 out of 630 Municipalities already performing this function.
3	Planning for economic and social development	2010-11	Yes	In progress and pertinently it is assigned to SUDA/DUDA
4	Roads and bridges		Yes	Already with ULBs.
5	Water supply- domestic, industrial and commercial		Yes	The Jal Sansthan is dissolved and merged in Nagar Nigam. A detail Govt. order dated 04.02.2010 has been issued regarding the functioning of Jalkal Vibhag.
6	Public health, sanitation, conservancy and SWM		Yes	Already with ULBs.
7	Fire services	2011-12	Yes	The fire service function is with the Fire Department of U.P. Police since initiation and this function is being aptly performed by the department. Considering the fire fighting nature of the operations of the Municipalities and their managerial organizational and technical capacities the Municipal Corporation with a population more than 1 million (2001 Census) have been called for meaningful association and engagement by preparing a fire hazard response and Mitigation Plan for their respective jurisdictions and to provide fire fighting backup to supplement the Fire Department.

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8	Urban forestry, protection of environment and ecology	2010-11	Yes	The ULB would perform this function in consultation with the State Forest department Plantation on dividers road side land & other available open land is done by ULB.
9	Safeguarding the interests of weaker sections society including the handicapped and mentally retarded.	2008-09	Yes	The ULB would perform this function in consultation with the Social Welfare department and by means of year marking of the funds and the scheme executed by Suda/Duda.
10	Slum improvement and Up gradation	2006-07	Yes	Slum Improvement and Up gradation is the basic responsibility of the Local Body as mentioned in the Nagar Nigam and Nagar Palika Adhinyam. The SUDA department was basically created to take up Special Projects in Slum Improvement and Poverty Alleviation. The local bodies are performing this function and budgetary allocation is made in the budget of Local Bodies.
11	Urban poverty alleviation	2006-07	Yes	<ul style="list-style-type: none"> The requisite reform has been made. There is a Para Statal organization called SUDA; created under the instruction of Ministry of Urban Poverty Alleviation, Govt. of India to handle Special Projects for poverty alleviation. The Chairman of urban local bodies are members of the Governing Body of DUDA. Hence they have a sufficient role in the implementation of urban poverty alleviation programme. Local Bodies have been empowered to select beneficiaries for Special Projects for urban housing and employment programme. Local Bodies have been empowered to take up infrastructure development in urban poor areas.
12	Provision of urban amenities and facilities- Parks, Gardens and Playgrounds.	---	Yes	Already with ULBs.
13	Promotion of cultural, educational, and aesthetic aspects	---	Yes	Already with ULBs.
14	Burials and burial grounds, cremations, cremation grounds and electric crematoriums	---	Yes	Already with ULBs.

Sl. No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
15	Cattle pounds, prevention of cruelty to animals	---	Yes	Already with ULBs.
16	Vital statistics including registration of births and deaths	---	Yes	Already with ULBs.
17	Public amenities including street lighting, parking lots, bus stops and public conveniences	---	Yes	Already with ULBs.
18	Regulation of slaughter houses and tanneries.	---	Yes	Already with ULBs.
S-2 INTEGRATION OF CITY PLANNING AND DELIVERY FUNCTIONS				
A	Resolution by Government expressing commitment to assign or associate ULB's with city planning function.	2011-12	Yes	Integration of City Planning and Delivery Functions are with the objective to secure effective linkages between asset creation and asset management so that infrastructural services created are not only maintained efficiently but also become self sustaining over time. Therefore the legislature of State decided as to which power and authority may devolve on any Municipality considering the managerial, technical and financial capacities of the Municipalities at various level. The functional assignments to ULB's is influenced by existing "Institutional arrangements" , as also the roles assigned to parastatal agencies and development authorities the ULB's are associated in City planning function as they are assigned the prime responsibility of policy making in city planning and development, being active member of Advisory Council of development authority.
B	When the city plans will be placed before the MPC/DPC	2007-08	Yes	DPC already constituted and it is in existence, District plan includes the plan for the Districts including the urban areas. The DPC sets District priorities on the basis of consensus among local govt. and other stakeholders in development. The constitutions of DPC (Development Planning Committee) includes Mayor as Ex-Officio Chairman and 10 persons elected by the Corporations as Corporators. The ULB have already prepared City Development

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				Plan and the Projects are being executed accordingly MPC Rules formulated and vetted.
C	Please indicate sequence of steps to integrate ULB's with the city planning function.			It is already with ULB except 106 where it is done by Development Authority & Regulated Area. The Committee to prepare Master Plan/City Plan includes Municipal Commissioner as active member. Thus the ULB is already integrated with City Planning.
i	Land-use and spatial planning		Yes	There are 630 ULBs in the State. This function is performed by all ULBs except 106 (74 Regulated Area, 27 Development Authorities and 05 Special Area Development Authorities) where it is done by the concerned Development Authorities and Regulated Areas. The Development Authorities although plans the process that defines the land use the ULB shares the accountability at technical and administrative level as they are assigned the prime responsibility of being part of policy making and planning process, the Municipal Commissioner/Elective Representative/Corporators are members of the Advisory Council U/S 6 of U.P. Urban Planning and Development Act 1973, for the preparation of Master Plan and on such other matter relating to the planning of development.
ii	Development of new areas		Yes	
iii	Basic infrastructure services, such as.			
	a- Water Supply			Already with ULB's.
	b- Sewerage	NA	Yes	Already with ULB's.
	c- Sanitation	NA	Yes	Already with ULB's.
iv	Traffic and transport service	NA	Yes	To improve the transportation system in the Mission cities, a stimulus package for procurement of 1310 buses has been sanctioned by Gol, amounting Rs. 514.45 Cr. Out of which 1012 buses have been procured by end of August, 2010. Special routes for the transit of buses has been prescribed easing the pressure on Traffic. Comprehensive Mobility Plan of all Mission Cities is under preparation.

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v	Renewal of inner city areas	NA	Yes	Already with ULB's.
vi	Heritage conservation Already with ULBs.	NA	Yes	Already with ULB's.
vii	Building regulation		Yes	Already being done by all ULB's except areas covered by 106 Development Authorities and Regulated Area. The plans sanction by Development Authorities and regulated area are placed before the board of ULB for approval and issue of No Objection Certificate.
viii	Socio-Economic planning	2010-11	Yes	CDPs of all Mission Cities of the state are approved by GoI. Implementation of Water Supply, Sewerage, Drainage and Solid Waste Management projects, approved under JnNURM and other Programme are under progress. Social status of the cities will be improved after completion of sanctioned project. Planning of financials improvement of ULBs have also been incorporated in the approved projects.
D	Please indicate sequence of steps to integrate ULB/s with the delivery of services.			
a	Urban Planning including town planning	2011-12	Yes	This function is performed by all except 106 where this is done by the concerned Development Authorities and Regulated Areas. The plan prepared by Development Authorities would be placed before the board of ULB for approval.
b	Regulation of land-use and construction of buildings	2011-12	Yes	
c	Planning for economic and social development	2010-11		Amendment to be made in the relevant Acts
d	Roads and bridges.		Yes	Already with ULB's.
e	Water supply- domestic, industrial and commercial	2008-09	Yes	Already with ULBs.
f	Public health, sanitation, conservancy and SWM		Yes	Already with ULB's.
g	Fire services	2011-12	Yes	The fire service function is with the Fire Department of U.P. Police

Sl. No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				since initiation and this function is being aptly performed by the department. Considering the fire fighting nature of the operations of the Municipalities and their managerial organizational and technical capacities the Municipal Corporation with a population more than 1 million (2001 Census) have been called for meaningful association and engagement by preparing a fire hazard response and Mitigation Plan for their respective jurisdictions and to provide fire fighting backup to supplement the Fire Department.
h	Urban forestry, protection of environment and ecology	2010-11	Yes	The ULB would perform this function in consultation with the State Forest department. Plantation on dividers road side land & other available open land is done by ULB.
i	Safeguarding the interests of weaker sections society including the handicapped and mentally retarded.	2008-09	Yes	The ULB would perform this function in consultation with the Social Welfare department and by means of ear marking of the funds and the scheme executed by Suda/Duda. Relaxation to handicaps has been provided in house tax assessment by the Municipal Corporations.
j	Slum improvement and up gradation.	2006-07	Yes	State Urban Development Authority would work in consultation with and under guidance of ULB's.
k	Urban poverty alleviation	2006-07	Yes	State Urban Development Authority would work in consultation with and under guidance of ULB's.
l	Provision of urban amenities and facilities- parks, gardens and playgrounds		Yes	Already with ULB's.
m	Promotion of cultural, educational, and aesthetic aspects		Yes	Already with ULB's.
n	Burials and burial grounds, cremations, cremation grounds and electric crematoriums.		Yes	Already with ULB's.
o	Cattle pounds, prevention of cruelty to animals.		Yes	Already with ULB's.

p	Vital statistics including registration of births and deaths		Yes	Already with ULB's.
q	Public amenities including street lighting, parking lots, bus stops and public conveniences.		Yes	Already with ULB's.
r	Regulation of slaughter houses and tanneries.		Yes	Already with ULB's.
S-3 Rent Control laws				
a	Resolution by Government expressing commitment to establish new Rent Control system.	---	Yes	Resolved on 6th March, 2009.
b	Defining the Rights and Obligations of landlords and tenants	2006-07	Yes	<p>There are sufficient provisions under U.P.(Regulation of Letting, Rent and Eviction) Act,1972 (UP Act No. 13 of 1972) regarding the Rights and Obligations of the land lords and tenant. For this purpose Sec. 21 and Sec 16(1)(b) already exists in the Act. In these Sections, there are sufficient grounds for the vacation of the premises under the tenancy, if land lord requires bonafide. Further if it is occupied by other than tenant i.e. Unauthorized occupant, the land lord will get benefit of Release of premises in his layout under this Act. First and foremost provision to protect the interest of Land Lord is exemption for forty years of the applicability of this Act on the buildings constructed after 26.04.1985. It is also provided in the Act that if the building comes under the provisions of this Act for the first time then the choice of Land Lord regarding tenant will prevail. Moreover if the Land Lord does not want to rent out the building then the building will not come under the purview of Rent Control.</p> <p><u>Rights and Obligations of the Tenant</u></p> <p>Sec. 26 and 27 of the Act of 1972 already exists. In this Act regarding the payment of rent and issuance of receipt wherein the land lord is bound to give to the tenant, the receipt for the rent received by him Further he is also bound to keep the building under tenancy wind-proof, water-proof and periodical white-washing and repairs.</p>

i	Rights of landlord to get possession back			Under Section 21 and Section 16(1) (b) there are sufficient grounds for the vacation of the premises under the tenancy, if the land lord requires bonafidely. Further if it is occupied by other than tenant i.e. unauthorized occupant, the land lord will get benefit of Release of premises in his favor under this Act.
ii	Rights of tenants to continue their tenancy			The tenant enjoys the Right to Tenancy unless and until evicted by the Prescribed Authority on the grounds as mentioned in Section 21 of the U.P.(Regulation of Letting, Rent and Eviction) Act,1972
iii	Obligations of tenants with regard to regular rental payments/maintenance of tenanted property/adherence to lease agreements, if present			Section 26 and 27 of the Act of 1972 already exists. In this Act regarding the payment of rent and issuance of receipt wherein the land lord is bound to give to the tenant, the receipt for the rent received by him Further he is also bound to keep the building under tenancy wind-proof, water-proof and periodical white-washing and repairs.
iv	Provision for periodic review of rentals, in accordance with market conditions.			Section 8 and 9 of UP Act of 1972 has been declared as ultravires by the Court. So this dispute can be settled out side through mutual agreement which also takes care of Rent prevalent in the market. The Rentals fixed is on the basis of "Agreed Rent" and the basis is normally the Rent decided as per D.M's Circle Rate, which is revised mandatory after every two years and even earlier.
c	Establishing a new Rent Control legislation		Yes	U.P.(Regulation of Letting, Rent and Eviction) Bill 2010 has been passed.
i	Setting up a Committee/Team to draft/amend legislation	2006-07	Yes	Committee Constituted
ii	Stakeholder consultations	2007-08	Yes	Discussion with stake holders has been finalized
iii	Preparation of Draft legislation	2007-08	Yes	Draft Prepared
iv	Approval of the Cabinet/ Govt.	2007-08	Yes	
v	Final enactment of the legislation by Legislature.	2008-09	Yes	
vi	Notification	2008-09	Yes	
vii	Preparation and notification of appropriate subordinate legislation	2008-09	Yes	

viii	Implementation by municipality (ies)	2009-10	Yes	Rent Control is not a Municipality subject in U.P., It is with the Food and Civil Supplies Department which deals with it.
d	Please indicate periodicity of revision of rents/rental value guidance, and when next due	---		Revision of Rentals is as per D.M's Circle Rate revised every 2 years even before.
e	Setting up mechanism for periodic review of rents/ rental value guidance	2009-10	Yes	Same as above.
f	Institute Dispute resolution mechanisms (e.g. Special Tribunals/ Courts etc)	2009-10	Yes	Provision has been provided in the U.P.(Regulation of Letting, Rent and Eviction) Bill,2010.
S-4	RATIONALISATION OF STANP DUTY			
a	Resolution by Government expressing commitment to reduce Stamp Duty ²³ to 5% (or less than 5% if the State so desires) within Mission period. The resolution should provide the timetable for reducing the Stamp Duty in a phased manner, i.e. year-wise		Yes	Agreed
b	Fix the periodicity for revising the guidance value for levy of Stamp Duty		Yes	Every 2 year
c	Indicate the time-table for reducing the stamp duty rate to 5%	2006-10	Yes	Done
S-5	REPEAL OF URBAN LAND CEILING AND REGULATION ACT.			
i	The State must pass a resolution for the repeal of ULCRA within 6 months of signing of MOA under JNNURM and a copy submitted to MOUD.		Yes	Repealed
ii	The State legislature to pass a resolution in compliance with the repeal of ULCRA Act passed by the		Yes	Done

	Parliament in 1999			
iii	Notification by the State Govt.		Yes	Repealed
S-6	COMMUNITY PARTICIPATION LAW			
A	Resolution by Government expressing commitment to establish a new Community Participation Law – Please indicate timeline.		Yes	The Amendment in Nagar Nigam Act, 1959 & Nagar Palika Act, 1916 has been incorporated for constitution of Ward Committee for each ward in a Municipality. The Uttar Pradesh Municipality (Ward Committee) Rules, 2009 have also been formulated. The reform is therefore complete. (Annexure Page 5)
B	Please indicate the changes you propose to make in your JNNURM city/cities and the timeline for these changes			
i	Number of tiers intended to be established in the municipality. Please explain the rationale		Yes	One ward committee at each ward level.
ii	For each tier, please state the prevailing / intended composition of the tier:			
	a- Municipality		Yes	Mayor/Chairman and councilors
	b- Intermediary regional platform, e.g Wards/Borough/Zonal Committee)		Yes	Wards Councilors to ward and members of Public
	c- Ward Committee			One ward councilor and members of the public
	d- Area Sabha			This composition is not intended.
C	Proposed Activity-mapping of functions in Community Participation Law			
1	Urban Planning including town planning	2011-12	Yes	There are 630 ULBs in the State. This function is performed by all ULBs except 106 (74 Regulated Area, 27 Development Authorities and 05 Special Area Development Authorities) where it is done by the concerned Development Authorities and Regulated Areas. The Development Authorities although plans the

				process that defines the land use the ULB shares the accountability at technical and administrative level as they are assigned the prime responsibility of being part of policy making and planning process, the Municipal Commissioner/Elective Representative/Corporators are members of the Advisory Council U/S 6 of U.P. Urban Planning and Development Act 1973, for the preparation of Master Plan and on such other matter relating to the planning of development.
2	Regulation of land-use and construction of buildings	2011-12	Yes	Municipal Commissioner/Elected representatives/Corporators are member of the technical committee with responsibility for regulation of land use and construction of Building, large scale lay outs, development of new areas.
3	Planning for economic and social development	2010-11	Yes	In progress
4	Roads and bridges		Yes	Already with ULBs.
5	Water supply- domestic, industrial and commercial		Yes	Already with ULBs.
6	Public health, sanitation, conservancy and SWM		Yes	Already with ULBs.
7	Fire services	2011-12	Under Process	The fire service function is with the Fire Department of U.P. Police since initiation and this function is being aptly performed by the department. Considering the fire fighting nature of the operations of the Municipalities and their managerial organizational and technical capacities the Municipal Corporation with a population more than 1 million (2001 Census) have been called for meaningful association and engagement by preparing a fire hazard response and Mitigation Plan for their respective jurisdictions and to provide fire fighting backup to supplement the Fire Department.
8	Urban forestry, protection of environment and ecology	2010-11	Yes	The ULB would perform this function in consultation with the State Forest department Plantation on dividers road side land & other available open land done by ULB.
9	Safeguarding the interests of weaker sections society including the handicapped and mentally retarded.	2008-09	Yes	The ULB would perform this function in consultation with the Social Welfare department and by means of year marking of the funds and the scheme executed by Suda/Duda.

10	Slum improvement and Up gradation	2006-07	Yes	Slum Improvement and up gradation is the basic responsibility of the Local Body as mentioned in the Nagar Nigam and Nagar Palika Adhinyam. The SUDA department was basically created to take up Special Projects in Slum Improvement and Poverty Alleviation. The local bodies are performing this function and budgetary allocation has been made in the budget of Local Bodies.
11	Urban poverty alleviation	2006-07	Yes	<ul style="list-style-type: none"> • The requisite reform has been made. • There is a parasitical organization called SUDA; created under instruction of Ministry of Urban Poverty Alleviation, Govt. of India to handle Special Projects for poverty alleviation. The Chairman of urban local bodies are members of the Governing Body of DUDA. Hence they have a sufficient role in the implementation of urban poverty alleviation programme. • Local Bodies have been empowered to select beneficiaries for Special Projects for urban housing and employment programme. • Local Bodies have been empowered to take up infrastructure development in urban poor areas.
12	Provision of urban amenities and facilities- Parks, Gardens and Playgrounds.	---	Yes	Already with ULBs.
13	Promotion of cultural, educational, and aesthetic aspects	---	Yes	Already with ULBs.
14	Burials and burial grounds, cremations, cremation grounds and electric crematoriums	---	Yes	Already with ULBs.
15	Cattle pounds, prevention of cruelty to animals	---	Yes	Already with ULBs.
16	Vital statistics including registration of births and deaths	---	Yes	Already with ULBs.
17	Public amenities including street	---	Yes	Already with ULBs.

	lighting, parking lots, bus stops and public conveniences			
18	Regulation of slaughter houses and tanneries	---	Yes	Already with ULBs.
D	Time schedule for enactment of Community Participation Law or Amendment of existing Municipality Laws	2006-007	Yes	The Amendment in Nagar Nigam Act, 1959 & Nagar Palika Act, 1916 has been incorporated for constitution of Ward Committee for each ward in a Municipality. The Uttar Pradesh Municipality (Ward Committee) Rules, 2009 have also been formulated. The reform is therefore complete.
E	Time schedule for notification of the rules pertaining to the Community Participation Law, or amendment in legislation	2008-09	Yes	Done
F	Interim process for Community Participation in Municipal functions while Community Participation Law is being enacted and notified Please indicate if there are any steps being taken by the Municipality to create opportunities for community participation while the Community Participation Law is being enacted			Community participation being encouraged through structures like the Area Sabha and Ward Committee, as envisaged in Community Participation Law. The Development Plan relating to words, is formalized & put-up before the Executive Committee by the word Corporator, to be finally included in the Annual Budget.
S-7	Public Disclosure Law			
A	The State/ULB must pass a Resolution to formulate and adopt a policy on public disclosure which would include the financial statements that are to be released, the audits of certain financial statements that are to be carried out, and a timeline for reforms. (Note: This resolution should be passed within 6 months of signing of MOA under		Yes	The U.P. Municipality Public Disclosure of Information Rules 2009 has been formulated. The Rules include information regarding the Proceedings of Municipality, Directory of Officer and Employees, the particular of Offices who grant concessions, permits, licenses and civic amenities, Audited and Financial Statement of Balance Sheet, Receipt and Expenditure and Annual Budget, the service level provided, particular of all plan, proposed expenditure actual expenditure and report on disbursement made, details of Subsidy programme and criteria of beneficiary identification, particular of City Development Plan, particular of Major work and time of completion

	JNNURM and a copy submitted to MOUD.) Please indicate a time line for this commitment.			and detail of Municipality Funds. (Annexure Page 1).
B	Establishment of the Public Disclosure Law which outlines the information to be disclosed and widely disseminated, for e.g. disclosure of financial statements including key financial indicators for public review, frequency of statutory audit of financial statements and disclosure of its findings, information of levels of services provided, key indicators of service delivery and organizational efficiency, etc. Please indicate which of the following reforms are going to be implemented and the timeline.		Yes	All reforms have been included in the Public Disclosure of Information Rules 2009.
i	Disclosure of Financial statements, i.e. Balance Sheet, Receipts and expenditures And key Financial Indicators		Yes	<i>Done. They will be published in newspapers and put up on website</i>
ii	Conduct of Annual Statutory Audit		Yes	<i>Independent C.A. audit will also be done for Mission cities</i>
iii	Disclosure of Audited Financial Statements and Audit Report		Yes	Done
iv	Time period for publication of annual audited financial statements		Yes	
v	Disclosure of Quarterly Audited Financial Statements		Yes	
vi	Time period for publication of Quarterly Audited Financial Statements		Yes	
vii	Publication of CDP on municipal		Yes	

	website			
viii	MOAs entered into with Gol and State Governments to be placed before Municipal Council within ____ days		Yes	<i>Immediately</i>
ix	Disclosure of MoA in public domain vide a published document, easily accessible to citizens / other stakeholders		Yes	<i>Immediately</i>
C	List information proposed to be disclosed on a regular and mandatory basis by ULBs / parasitical agencies			Same as A (Annexure Page 13) . Information proposed to be disclosed by ULBs/ Para Statal agencies Display Boards , Website and booklets.
D	Schedule for Enactment List below the services for which Service Levels information is proposed to be disclosed	2007-08	Yes	The Public Disclosure Act is formulated and implemented in Feb, 2009. The Uttar Pradesh Municipality Public Disclosure of Information Rules, 2009 is formulated. The reform is therefore complete.
a	Water supply Services - In slum areas - In non-slum areas (residential & Commercial)		Yes	Every Year
b	Sewerage/ Sanitation/ Underground drainage		Yes	Every Year
c	Solid Waste Management		Yes	Every Year
d	Strom Water drainage systems		Yes	Every Year
e	Building Plan approvals		Yes	Every Year
f	Road networks within the city		Yes	Every Year
g	Street Lighting		Yes	Every Year
h	Birth & Death Registration		Yes	Every Year
i	Public Parks and Playgrounds		Yes	Every Year
E	Year from when Service Levels information will regularly disclosed	2007-08	Yes	

F	Time schedule for enactment of Public Disclosure Law as described above	2007-08	Yes	
G	Time schedule for notification of the rules pertaining to the Public Disclosure Law	2007-08	Yes	The U.P. Municipality Public Disclosure of information Rules 2009 has been formulated.

STATUS OF STAT LEVEL OPTIONAL REFORMS

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
01	INTERODUCTION OF PROPERTY TITLE CERTIFICATION SYSTEM			
	Please indicate the mission year by which the following targets would be me			
A	Listing of all the properties in the city	2008-09	YES	YES
B	Finalization of decision on the new registration system, state guarantee and legislative amendments			Draft Bill has been prepared by the inter departmental committee headed by chief secretary and now its under process
C	Amendment of legislation and notification			
D	Detailed design of system			
E	Inventory of all recorded properties		Yes	
F	Update of all the records to reflect current owner and preparation of a "Register of Titles"			Not Applicable
G	Computerization of all the property records against ownership	2010-11	Yes	All Property is computerized against ownership/occupancy for purposes of assessment of House Tax.
H	Initiation of issue of property Tax Certificate (on request) to the existing owners, accompanied by cancellation of all previous certificates			Not Applicable
I	Setting of a system for regular upgradation of records	2010-11	Yes	Regular up gradation of records is ensure through GIS survey and self assessment.
J	Setting up a system for online provision of information receipt (relating to transactions), dissemination and requests for	2011-12	Yes	

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
	certificates			
K	Timeline for achieving 100% registration of properties			Although 100% registration of property is being ensured through GIS survey and self assessment scheme Section 17 of the Registration Act, 1908 does not provide any punishment for non- registration of a property. Government of India should make appropriate provisions in section 17 of the Act and make non-registration punishable
O2	REVISION OF BUILDING BYELAWS TO STREAMLINE THE APPROVAL PROCESS			
(a)	Consultation with stakeholders on modifications to Building Byelaws	2007-08	Yes	Already Done
(b)	Identification and finalization of modifications in the existing Building Byelaws in order to streamline the process of approval.	2007-08	Yes	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website
(c)	Defining mitigation measures for risks from natural disasters as part of Building Byelaws	2007-08	Yes	
(d)	Amendment of the existing legislation to introduce the new Building Byelaws and notification	2007-08	Yes	
(e)	Dissemination of the new set of Building Byelaws through a Website	2007-08	Yes	
(f)	City level Workshops to address to the queries of general public	2007-08	Yes	
(g)	Setting up of an MIS system with links to all offices having bearing on building permission	2007-08	Yes	It is ongoing process
(h)	Start of Approval as per the new building byelaws		Yes	Already Done
(i)	Establishment of interactive citizen	2007-08	Yes	Website & Telephone available

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
	enquiry system on status of application for building plan approvals, through methods such as Interactive Voice Recoding System (IVRS), Website, Telephone, etc.			
(j)	Timeline for reduction of average time taken for building sanction			
	Residential	2011-12 7 days	Achieved	The revision of Building bye-laws have been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.
	Commercial	2011-12 10 days	Achieved	
(k)	Any other reforms being undertaken			Same as Above
O3	REVISION OF BUILDING BYELAWS TO MAKE RAINWATER HARVESTING MANDATORY IN ALL BUILDINGS TO COME UP IN FUTURE AND FOR ADOPTION OF WATER CONSERVATION MEASURES			
(a)	Final design of Rainwater harvesting system and decision on end use.	2008-09	Yes	Final Design of Rain Water Harvesting has been finalized. It is part of Rain Water Harvesting Manual.
(b)	Preparation of draft building byelaws to reflect the mandatory clauses of Rainwater Harvesting.	2008-09	Yes	The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting.
(c)	Amendment of the existing legislation to introduce the new Building byelaws and notification	2008-09	Yes	Already Introduced in Bhawan Upvidhi 2008. a) As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				<p>Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation.</p> <p>b) A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>c) Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building (Annexure Page 114 to 121).</p>
(d)	Dissemination of the new set of Building Byelaws through a website	2008-09	Yes	The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in
(e)	City level Workshops to address to the queries of general public	2008-09	Yes	Work Shops/Interface with Schools/Teacher/House Wives/Builder Associations /School Children organized at various forum.
(f)	Start of Approval as per the new building byelaws	2008-09	Yes	All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above.
(g)	Any other reforms being undertaken	2008-09	Yes	The Housing Scheme developed by the Developer will not include

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
	(give details in the space provided)			the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.
O4	EARMARKING AT LEAST 20-25 PER CENT OF DEVELOPED LAND IN ALL HOUSING PROJECTS (BOTH PUBLIC AND PRIVATE AGENCIES) FOR EWS/LIG CATEGORY WITH A SYSTEM OF CROSS SUBSIDISATION			
(a)	Decision on the extent of reservation (20 - 25%)	2007-08	Yes	<p>a) Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group.</p> <p>b) Hi-Tech Township Policy was framed vide GO no. 3872-8-07-dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner.</p> <p>c) The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>d) Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders.</p> <p>e) The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers (Annexure Page 90-113)</p>
(b)	Amendment of the existing legislation	2008-09	Yes	As mentioned at (a)

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
	and modification_			
(c)	Timeline to improve the percentage of reservation for EWS/LIG in housing projects	2008-09	Yes	-
(d)	Any other reforms being undertaken (give details in the space provided)			
O5	SIMPLIFICATION OF LEGAL AND PROCEDURAL FRAMEWORKS FOR CONVERSION OF AGRICULTURAL LAND FOR NON-AGRICULTURAL PURPOSES			
a	Finalize on modifications in the existing procedure in order to streamline and standardize the process of conversion		Yes	The provision for the land conversion from agricultural land to non agricultural purpose already exists in the section 13 of UP Urban Development planning Act 1973.
b	Amendment of the existing legislation and notification			Not Applicable
c	Dissemination of the new process through a website			Not Applicable
d	City level Workshops to address to the queries of general public		Yes	Its on going process
e	Setting up an MIS system with links to all offices having bearing on conversion of land-use		Yes	
f	Establishment of interactive citizen enquiry system on status of application for conversion of land use through methods such as – Interactive Voice Recording System (IVRS), Website, telephone, etc		Yes	Not Applicable
g	Start of conversions as per the new		Yes	

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
	legislation			
h	Average time taken for conversion of land-use, to reduce over the Mission Period			
i	Any other reforms being undertaken			
O6	INTRODUCTION OF COMPUTERIZED PROCESS OF REGISTRATION OF LAND AND PROPERTY			
a	Indicate the target year for conversion to an electronic process of registration	2009-10	Yes	Registration of properties has been computerized.
O7	BYELAWS ON REUSE OF RECYCLED WATER			
a	Final design and decision on end use of a Waste Water Recycling System	2007-08	Yes	Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Control Board & Jal Nigam.
b	Preparation of draft building byelaws to reflect the mandatory clauses of such a system	2008-09	Yes	Same as above
c	Amendment of the existing legislation to introduce the new Building Byelaws and procedures	2009-10	Yes	Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented.
d	Dissemination of the new Building Byelaws through a website	2009-10	Yes	The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in
e	City level Workshop to address to the queries of general public	2009-10	Yes	Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
f	Start of approval as per the new Byelaws	2009-10	Yes	All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.
O8	Administrative Reform			
A	Please identify the steps you wish to take in order to bring about the following. A few steps are being suggested here.			
(a)	Rationalization in staff Human Resource management	2008-09	Yes	A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalisation ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government
i	Identification of loopholes in the existing system	2008-09	Yes	Identified as <ul style="list-style-type: none"> • The responsibilities and duties was not properly defined for some centralized revenue staff(Tax Assessment & collection) • For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level. • Shortage of Technical & Managerial staff. • Non availability of qualified IT staff. • Inadequacy of E-Gov Set-Up.
ii	Draft proposals for changes in staffing policy	2008-09	Yes	The Report of the Proposal for change in staffing policy submitted.
iii	Draft proposals for reforms in performance evaluation	2008-09	Yes	<ul style="list-style-type: none"> • Proposal for award for bringing efficiencies in Project/assignment • Proposal for punishment for poor performance • Provision for VRS. • Regularization of Computer Operators in all Nager Nigam to minimize the manual work and facilitate E-Governance setup vide Go. No. 558/9-7-07-25ke/2000 dated 7/2/2007 & 1049/9-7-2002-25 Ke/2000 dated 11.06.2002

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				<ul style="list-style-type: none"> • Additional commissioners have been directed to perform regular meetings to evaluate the performance of project work as well as duties of concerning staff.(Vide Go No. 724/Nau-7-10-27ja/2004 dated 14/05/2010) • E-Governance DPR for State Level Solution with Kanpur as Lead ULB prepared and submitted to Gol , incorporating computer skilled staff to improve the Performance in ULBs • Proposal for revenue and account cadre. • Service Level Bench Marking. • In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.
iv	Employee consultation	2008-09	Yes	Employee consultation are ensured at various levels to continue the process of consultation with employee regular monthly meetings with Staff unions are being conducted at UD Deptt GoUP level in compliance of Chief Secretary GoUP vide latter no 263/31-2010-109tc-3.
v	Discussions with various Departments	2008-09	Yes	Regular discussion were conducted with following Deptt <ul style="list-style-type: none"> • U.P.Jal Nigam • UP PWD • UP Irrigation Deptt. • UP Power Corporation • Environment Deptt. • Finance Deptt. • Transport Deptt. • Forest Deptt. • Housing Depth/Para statal.
vi	Cabinet approval	2008-09	Yes	Cabinet Approval has been taken <ul style="list-style-type: none"> • Revenue cadre

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				<ul style="list-style-type: none"> Account cadre PPP model Revision of Scale of Junior Engineer. Approval of Reorganization of Revenue Cadre. Reorganization of ULB Executive Cadre.
vii	Preparation of enabling legislation	2008-09	Yes	
(b)	Staff Training			
i	Assessment of training needs	2008-09	Yes	Assessment of training needs was conducted in April 2009 by Tech.Cell of Gol and pending with Gol for further action. Proposal is pending with Gol
ii	Finalization of training curriculum	2008-09	Yes	<p>However at State Level Training Calendar circulated by U P administrative training Academy & Institute of Public Administration Lucknow are being followed. Following Training were organized during current year</p> <ul style="list-style-type: none"> Sustainable Development Concepts and Issues on Urban Forestry Environment friendly Solid Waste Management Urban Governance Community Based Disaster Risk Management GIS Based Utility Mapping for urban Planning and management State Level Training cum Orientation workshop on Bio Medi Waste Management Training Program on Planning and Provision of Urban Infrastructure Based Practices.
iii	Selection of Agencies to provide training	2008-09	Yes	Selection of Agencies to provide training has to be made at Gol level, However the trainings are being conducted by ASCI Hyderabad and Director of Local Bodies UP/SLNA. and UP Administrative Academy
iv	Conduct of training	2008-09	Yes	<ol style="list-style-type: none"> Workshop on PPP model at Lucknow by ASCI Hyderabad. Workshop conducted at Hyderabad on Solid Waste Management by ASCI Hyderabad.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				3. Executive Officer of ULB regarding Account Manual & Reform by DLB. 4. Motivation, Ownership & Account Manual to Women chairperson of Local Bodies by DLB in Feb, 2010.
v	Training programs identified	2008-09	Yes	Training Programs identified and incorporated in short Term and Long Term Training program submitted to Gol for approval.
(c)	Reduction of establishment expenditure			
i	Outsourcing certain functions	2008--09	Yes	Outsourcing of following functions have been made <ul style="list-style-type: none"> • Primary sweeping / Rubbish Removal • Energy saving • Collection & Segregation of solid waste , • Slaughter house Modernization • Street Lighting • Parking • Maintenance of Park • Operation of Tube Wells.
ii	Higher capacity utilizations	2008--09	Yes	<ul style="list-style-type: none"> • Computerization of the System • Motivation and Attitude Change orientation program conducted
iii	Energy Saving	2008--09	Yes	<ul style="list-style-type: none"> • Solar Traffic Lights installed • LED light installation • SCADA system installation is in process
(d)	Management review systems	2008-09	Yes	<ul style="list-style-type: none"> • Monthly meetings are being regularly conducted under chairmanship of Hon'ble Minister UD of GoUP to review & monitor the progress of the Projects as well as the management of ULB and PEA. • Meetings are also conducted at Director Local Bodies / SLNA level regarding management of ULB and review of Physical and Financial progress of different activities along with JnNURM projects

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				<ul style="list-style-type: none"> • For on line review on progress of different work time to time Chief Secretary/Principal secretary review progress through Video conferencing. • Different type of information uploads on Local urban bodies' website. • Updating of all information on Director, Local Body/SLNA Website www.localbodies.up.nic.in • MIS system for Review of programme under Plan expenditure of Flag Ship Programme.
B	Identified milestones with respect to rationalization/ redeployment in number of staff against the mission year	2008-09	Yes	<p>A committee under the Chairmanship of Director Local Bodies was constituted Vide Go no 1495/97 dated 12.06.2006 for :</p> <ol style="list-style-type: none"> a) Categorization of ULB. b) Up gradation of ULB c) Reorganization of ULB d) Human Resource in ULB & determination of work load. <p>The committee submitted is report to the State Govt. The State Govt. acting upon has moved for the strengthening of the Technical Staff in ULB's specially in UIDSSMT Towns by proposing to create 51 Posts of Assistant Engineer at District Headquarters and UIDSSMT Towns.</p> <p>The scale of Junior Engineer has been revised from 4500-7000 to 5000-8000.</p> <p>The Revenue Cadre has been Reorganized. Eleven revenue Cadres have been merged into Four with up gradations in scales. 12 new posts of Chief Tax Assessment Officer have been created in Mission Towns & Corporations.</p> <p>The Up gradation & Reorganization of Executive Cadre is in process.</p>
C	Detailed training plan for staff	2007-08	Yes	UP Administrative Academy has been nominated as Nodal agency for Training of ULB staff.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
D	Identified milestones for reduction in establishment expenditure against the mission year (in%)	2007-08 to 2011-12	Yes	a) Out sourcing b) Fresh recruitment only against sanctioned posts. c) No adhoc appointments. GO-No- 976/नौ-4-06-37ज दिनांक 21-2-2008 d) No new vehicle procurement.
E	Stability of tenure for Municipal Commissioners/ Executive Officers & other municipal functionaries/ staff		Yes	<ul style="list-style-type: none"> Changes are made only on administrative exigency. GoUP has declared zero transfer session for Yr 2009-10 and 2010-11
O9	Structural reforms			
A	List of initiatives planned in ULB			
	i) More powers to zonal offices	2008-09	Yes	<ul style="list-style-type: none"> All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office. Demand and collection of Water and Sewer tax also are being maintained at Zonal level. Registration and redressal of complaints are being at Zonal offices.
	ii) Ward –Level System	2008-09	Yes	Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009 (Section 3D) regarding formation of Ward Committee.
B	Planned initiatives for inter-agency coordination			
	i) Constitution of city level co-ordination committee of stakeholders		Yes	<p>City level monitoring committee of stakeholder has been form</p> <ul style="list-style-type: none"> By Ganga cell of GoUP vide latter no545/cga/9-5-2010-20(17)2009Dt25/5/2010 A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also forms for cleaning of river.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
				<ul style="list-style-type: none"> City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan.
C	State level structural reforms for creation of cadre of municipal staff for different technical disciplines			<p>Same as B under the administrative Reforms :</p> <ul style="list-style-type: none"> a) Up gradation of scales of Junior Engineer. b) Proposal to create post of Assistant Engineer in District Headquarters/ UIDSSMT Towns. c) Reorganization of Revenue Cadre. d) Proposal to create Post of Environment Engineer. e) Reorganization the Executive Cadre of ULB'S is under consideration.
	i) Cadre Review	2007-08	Yes	<ul style="list-style-type: none"> Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.
O10	ENCOURAGING PUBLIC PRIVATE PARTNERSHIP			
b)	State level planned regulatory and policy initiatives			
	i) ULBs have been instructed to keep the safai karmacharis on contract		Yes	<p>GO-No- 1- 1783/-9-1-01-66सा/01, दिनांक 22-6-2002 2- 4584 / नौ-1-04-2(9)/2002 दिनांक 13-1-2004 3-4323 / 9-1-2005-66सा/2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा/2001टीसी दिनांक 21-3-2006Done</p>
	ii) Parking places to be given on PPP		Yes	Act amended
	iii) Modernization of Slaughter house		Yes	<ul style="list-style-type: none"> Order issued GO-No- 1855/9-5-08-54EO/2007 dated 6.8.2008.

SI No	Reform	Time Line	Achieved Yes/No	Present Status / Detail out
c)	City level planned regulatory and policy initiatives			
	i) Solid Waste Management	2007-08	Yes	Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009
	ii) Street Lighting	2007-08	Yes	
	iii) Community Toilets	2007-08	Yes	

Local Body Mandatory Reforms

SI No.	Reform	Time line	Achieved Yes/No	Present Status / Detail out
1	Accounting	2007-08	Yes	The double entry accounting system was implemented under UNDP program in most of the UIG towns. A G.O. was issued that by 31st March, 2009 the process to implement the double entry accounting system in Urban Local Bodies should start and concurrently the single entry and double entry system may function till finally it is switched over to double entry accounting system by 2010. The account manual and SRS for software development has been prepared and approved by the Cabinet. It is being published for inviting objections. The Double Entry System has been implemented in 06 UI&G towns. The Chartered Accountant has been appointed and Balance Sheet has been prepared. Presently the Accounts are being maintained both in Single Entry and Double Entry and it is in the process of complete migration to Double Entry. Tally software in use .
2	E-Governance	2007-08	Yes	The state of U.P. is one of the first state to implement NNMP for municipalities under Jnnurm . The e-governance DPR U.P. state level software solution has been sanction by MoUD on 20.12.10 currently state government with help of NIC, is developing statewide integrated E-governance application which would be rollout in all 630 ULB of state . In the mean time to complete the e-governance reform under JnNurm all UIG/UIDSSMT towns have developed stand alone software and all municipal services are being rendered to the citizens through it.
3	Internal Budget Earmarking	2007-08	Yes	20% of the budget has been earmarked for economically weaker section in all ULB. The list of development work under taken by the ULBs for the weaker section will be submitted to Government of India.
4	Property Tax	2007-08	Yes	Self Assessment Scheme for residential properties has been implemented in all the ULBs. The amendment for Self-Assessment

				<p>for Non-Residential Property has been incorporated in the Nagar Nigam Act, 1959 & The Uttar Pradesh Municipal Corporation (Property Tax) (Second Amendment) Rules, 2009 have been framed.</p> <p>After implementation of GIS and unit area method coverage ratio increase 85-90% and collection ratio also increases 90-95%</p>
5	User Charges			<p>The provision of User Charges has been incorporated in Nagar Nigam & Nagar Palika Act. Rules Amended vide no 123(2)/LXXXIX-V-1-09-(Ka)24-2009 chapter-III.</p>

Fund Commitment and Released Details of total Projects Sanctioned for the State 31.12.2014

Rs. Lakhs

S.No	Name of City	Project title	Approved Cost	Revised Cost	ACA(Central Share)				State Share					ULB Share									
					To be Released	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	To be Released as per Sanctioned Cost	To be Released as per Revised Cost	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	ULB					Others				
														To be Released as per Sanctioned Cost	To be Released as per Revised Cost	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	To be Released	Total Released upto Last	Released During the Quarter	Total Released till Date	
1	2	3	4(a)	4(b)	5	6	7	8=(6+7)	9(a)	9(b)	10	11	12=(10+11)	13(a)	13(b)	14	15	16=(14+15)	17	18	19	20=(18+19)	
1	Agra	Municipal Solid Waste Management in Agra	3,083.99	3,083.99	1541.99	1,542.00	0.00	1,542.00	616.80	616.80	616.80	0.00	616.80	925.20	925.20	925.19	0.00	925.19					3,083.99
2	Agra	Yamuna Action Plan Phase II for Branch and Lateral Sewer Lines in Northern Zone and Western Zone in Agra	2,162.00	2,162.00	1081.00	1,081.00	0.00	1,081.00	432.40	432.40	432.40	0.00	432.40	648.60	648.60	648.60	0.00	648.60					2,162.00
3	Agra	Agra Water Supply	8,270.50	8,270.50	4135.25	4,135.25	0.00	4,135.25	1,654.10	1,654.10	1,654.08	0.00	1,654.08	2,481.15	2,481.15	2,481.15	0.00	2,481.15					8,270.48
4	Agra	Agra Sewerage Phase-I Part-I	19,592.00	19,592.00	9000.00	9,000.00	0.00	9,000.00	5,192.00	5,192.00	4,674.60	0.00	4,674.60	5,400.00	5,400.00	5,638.80	0.00	5,638.80					19,313.40
5	Allahabad	Water Supply Component(Part-I)	8,969.00	8,969.00	4484.50	4,484.50	0.00	4,484.50	1,793.80	1,793.80	1,793.80	0.00	1,793.80	2,690.70	2,690.70	2,690.70	0.00	2,690.70					8,969.00
6	Allahabad	Solid Wate Management	3,041.49	3,041.49	1520.75	1,520.75	0.00	1,520.75	608.29	608.29	608.29	0.00	608.29	912.45	912.45	912.45	0.00	912.45					3,041.49
7	Allahabad	Water Supply component (Part-II)	15,915.22	15,915.22	7957.61	7,957.61	0.00	7,957.61	3,183.05	3,183.05	3,183.05	0.00	3,183.05	4,774.56	4,774.56	4,774.56	0.00	4,774.56					15,915.22
8*	Allahabad	Sewerage system (Zone D) Phase - I	33,826.00	38,330.00	16913.00	12,684.75	0.00	12,684.75	6,765.20	11,269.20	9,577.90	0.00	9,577.90	10,147.80	10,147.80	10,147.80	0.00	10,147.80					32,410.45
9	Kanpur	Municipal Solid Waste Management in Kanpur	5,623.79	5,623.79	2811.90	2,811.88	0.00	2,811.88	1,124.75	1,124.75	1,124.75	0.00	1,124.75	1,687.14	1,687.14	1,687.14	0.00	1,687.14					5,623.77
10*	Kanpur	Water Supply scheme for inner old area of Kanpur city Vol I and II	27,094.89	34,079.87	13547.45	13,547.44	0.00	13,547.44	5,418.98	10,308.46	10,308.54	0.00	10,308.54	8,128.46	10,223.95	8,128.46	2,095.49	10,223.95					34,079.93
11	Kanpur	Sewerage work of Inner Old City Area of Kanpur	19,088.22	19,088.22	9544.11	9,541.98	0.00	9,541.98	3,817.64	3,817.64	3,816.78	0.00	3,816.78	5,726.47	5,726.47	5,726.47	0.00	5,726.47					19,085.23
12*	Kanpur	Sewage Treatment for Kanpur city	10,100.45	14,196.00	5050.23	3,787.65	0.00	3,787.65	2,020.09	6,115.64	5,610.61	0.00	5,610.61	3,030.13	3,030.13	2,272.59	0.00	2,272.59					11,670.85
13*	Kanpur	Water Supply Part-II for remaining area of Kanpur	37,778.92	47,515.00	18889.46	18,889.46	0.00	18,889.46	7,555.78	14,371.04	14,371.04	0.00	14,371.04	11,333.68	14,254.50	10,881.67	3,372.83	14,254.50					47,515.00
14	Kanpur	Kanpur - Sewerage works in District IV in Kanpur city	20,736.00	20,736.00	10000.00	5,000.00	0.00	5,000.00	4,515.20	4,515.20	2,312.80	0.00	2,312.80	6,220.80	6,220.80	3,055.20	0.00	3,055.20					10,368.00
15	Lucknow	Sewerage works for Lucknow Sewerage District I (Vol.I and II)	23,623.00	23,623.00	11811.50	11,811.48	0.00	11,811.48	4,724.60	4,724.60	4,724.60	0.00	4,724.60	7,086.90	7,086.90	7,086.89	0.00	7,086.89					23,622.97
16	Lucknow	Municipal Solid Waste Management in Lucknow	4,292.37	4,292.37	2146.19	1,609.65	0.00	1,609.65	858.47	858.47	643.86	0.00	643.86	1,287.71	1,287.71	643.86	321.93	965.79					3,219.30
17*	Lucknow	Water Supply Works of Lucknow (Phase I Part I Vol.I to V)	38,861.00	45,466.06	19430.50	19,430.50	0.00	19,430.50	7,772.20	12,395.74	12,395.74	0.00	12,395.74	11,658.30	13,639.82	13,639.82	0.00	13,639.82					45,466.06

Fund Commitment and Released Details of total Projects Sanctioned for the State 31.12.2014

Rs. Lakhs

S.No	Name of City	Project title	Approved Cost	Revised Cost	ACA(Central Share)				State Share					ULB Share									
					To be Released	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	To be Released as per Sanctioned Cost	To be Released as per Revised Cost	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	ULB					Others				
														To be Released as per Sanctioned Cost	To be Released as per Revised Cost	Total Released upto Last Quarter (Since start of Project)	Released During the Quarter	Total Released till Date	To be Released	Total Released upto Last	Released During the Quarter	Total Released till Date	
1	2	3	4(a)	4(b)	5	6	7	8=(6+7)	9(a)	9(b)	10	11	12=(10+11)	13(a)	13(b)	14	15	16=(14+15)	17	18	19	20=(18+19)	
18*	Lucknow	Sewerage works for Lucknow City-Sewerage District-III (Part-I)	26,216.00	34,451.00	13108.00	9,831.00	0.00	9,831.00	5,243.20	11,007.70	9,696.90	0.00	9,696.90	7,864.80	10,335.30	10,335.30	0.00	10,335.30				29,863.20	
19*	Lucknow	Storm Water Drainage for Lucknow	32,521.00	38,994.40	16260.50	16,260.50	0.00	16,260.50	6,504.20	9,740.90	9,740.90	0.00	9,740.90	9,756.30	12,993.00	12,993.00	0.00	12,993.00				38,994.40	
20	Lucknow	Sewage works for Lucknow City-Sewerage District-III (Part-II)	21,443.00	21,443.00	10721.50	8,041.13	0.00	8,041.13	4,288.60	4,288.60	3,216.45	0.00	3,216.45	6,432.90	6,432.90	4,824.67	0.00	4,824.67				16,082.25	
21	Lucknow	Water Supply Phase-I Part-II	14,656.60	14,656.60	7328.30	7,328.26	0.00	7,328.26	2,931.32	2,931.32	2,931.29	0.00	2,931.29	4,396.98	4,396.98	4,396.98	0.00	4,396.98				14,656.53	
22	Mathura	Municipal Solid Waste Management in Mathura	991.60	991.60	793.28	793.28	0.00	793.28	99.16	99.16	99.16	0.00	99.16	99.16	99.16	99.16	0.00	99.16				991.60	
23*	Mathura	Storm Water Drainage	8,720.00	11,479.96	6976.00	5,232.00	0.00	5,232.00	872.00	2,251.98	2,121.16	0.00	2,121.16	872.00	2,251.98	2,251.98	0.00	2,251.98				9,605.14	
24	Mathura	Sewerage Zone-II	6,035.77	6,035.77	4500.00	2,250.00	0.00	2,250.00	973.27	973.27	476.37	0.00	476.37	562.50	562.50	593.30	0.00	593.30				3,319.67	
25	Meerut	Municipal Solid Waste Management	2,259.40	2,259.40	1129.70	847.29	0.00	847.29	451.88	451.88	338.91	0.00	338.91	677.82	677.82	508.37	0.00	508.37				1,694.57	
26*	Meerut	Water Supply for Meerut	27,301.00	34,130.22	13650.50	13,650.39	0.00	13,650.39	5,460.20	12,289.42	12,289.37	0.00	12,289.37	8,190.30	8,190.30	8,190.30	0.00	8,190.30				34,130.06	
27*	Meerut	Sewerage Zone 5 & 7	18,589.00	23,102.30	9000.00	4,500.00	0.00	4,500.00	4,013.00	8,526.30	6,563.62	0.00	6,563.62	5,576.00	5,576.00	2,744.17	0.00	2,744.17				13,807.79	
28	Varanasi	Water Supply Component Priority of Varanasi	11,102.00	11,102.00	5551.00	5,551.00	0.00	5,551.00	2,220.40	2,220.40	2,220.40	0.00	2,220.40	3,330.60	3,330.60	3,330.60	0.00	3,330.60				11,102.00	
29	Varanasi	Solid Waste Management of Varanasi	4,867.73	4,867.73	2433.87	1,825.41	0.00	1,825.41	973.54	973.54	730.15	0.00	730.15	1,460.32	1,460.32	1,460.32	0.00	1,460.32				4,015.88	
30	Varanasi	Water Supply Part-II of Cis-Varuna area	8,610.00	8,610.00	4305.00	3,228.75	0.00	3,228.75	1,722.00	1,722.00	1,291.50	0.00	1,291.50	2,583.00	2,583.00	2,583.00	0.00	2,583.00				7,103.25	
31*	Varanasi	Storm Water Drainage works for Varanasi	19,162.00	25,373.00	9581.00	7,185.75	0.00	7,185.75	3,832.40	8,180.10	7,222.00	0.00	7,222.00	5,748.60	7,611.90	7,611.90	0.00	7,611.90				22,019.65	
32*	Varanasi	Sewerage work for Varanasi Trans Varuna area	30,912.00	40,731.31	15456.00	11,592.00	0.00	11,592.00	6,182.40	13,055.92	11,510.10	0.00	11,510.10	9,273.60	12,219.39	9,900.90	0.00	9,900.90				33,003.00	
33	Varanasi	Water Supply Trans Varuna	20,916.00	20,916.00	9000.00	4,500.00	0.00	4,500.00	6,516.00	6,516.00	3,039.30	0.00	3,039.30	5,400.00	5,400.00	4,487.40	0.00	4,487.40				12,026.70	
33 PROJECTS			TOTAL=	536,361.94	613,128.80	269660.08	231,452.66	0.00	231,452.66	110,336.93	168,209.68	151,337.22	0.00	151,337.22	156,364.91	175,259.02	157,652.70	5,790.25	163,442.95				546,232.83

Note: The additional cost as approved in Revised Project cost is included in State & ULB share and Revised Projects are mentioned with * .

FUND UTILISATION AND PROJECT COMPLETION DETAILS OF TOTAL PROJECTS SANCTIONED FOR UTTAR PRADESH for 31.12.2013

Rs. In Lakhs

SI No	Name of City	Project Name	Project Cost		Utilisation During The Current Financial Year			Utilisation upto date			Project Completion Details		
			Original	Revised	Utilisation upto last Quarter (Since Start of Current Financial Year)	Utilisation on during this Quarter	Total Utilisation During the current financial year	Utilisation Upto last Financial Year (Since Start of of project)	Utilisation on during the current financial yaer	Total Utilisation upto date	Project Duration (in Month)	As Per DPR schedule date of completion	Actual date of completion or date of likely to be completed
1	2	3	4 A	4 B	5	6	7=(5+6)	8	9	10	11	12	13
1	Agra	Municipal Solid Waste Management in Agra	3,083.99	3,083.99	39.60	81.44	121.04	2,015.73	121.04	2,136.77	19	Nov-09	Mar-14
2	Agra	Yamuna Action Plan Phase II for Branch and Lateral Sewer Lines in Northern Zone and Western Zone in Agra	2,162.00	2,162.00	0.00	0.00	0.00	2,162.00	0.00	2,162.00	36	Sep-09	Compele
3	Agra	Agra Water Supply	8,270.50	8,270.50	413.53	0.00	413.53	7,856.33	413.53	8,269.86	26	May-10	Mar-14
4	Agra	Agra Sewerage Phase-I Part-I	19,592.00	19,592.00	2,789.37	369.90	3,159.27	16,002.49	3,159.27	19,161.76	33	Mar-12	Mar-14
5	Allahabad	Water Supply Component(Part-I)	8,969.00	8,969.00	309.00	-87.90	221.10	8,065.00	221.10	8,286.10	24	Mar-10	Jan-14
6	Allahabad	Solid Wate Management	3,041.49	3,041.49	0.00	0.00	0.00	2,660.00	0.00	2,660.00	36	Mar-08	Jan-14
7	Allahabad	Water Supply component (Part-II)	15,915.22	15,915.22	391.26	469.13	860.39	12,422.00	860.39	13,282.39	36	Jan-11	Mar-14
8*	Allahabad	Sewerage system (Zone D) Phase - I	33,826.00	38,330.00	715.03	62.18	777.21	24,116.74	777.21	24,893.95	36	Mar-12	Mar-14
9	Kanpur	Municipal Solid Waste Management in Kanpur	5,623.79	5,623.79	260.39	0.00	260.39	5,341.88	260.39	5,602.27	12	Mar-08	Complete
10*	Kanpur	Water Supply scheme for inner old area of Kanpur city Vol I and II	27,094.89	34,079.87	1,183.64	863.52	2,047.16	27,316.10	2,047.16	29,363.26	36	Dec-10	Mar-14
11	Kanpur	Sewerage work of Inner Old City Area of Kanpur	19,088.22	19,088.22	2,351.20	0.00	2,351.20	16,699.00	2,351.20	19,050.20	36	Dec-11	Mar-14
12*	Kanpur	Sewage Treatment for Kanpur city	10,100.45	14,196.00	505.02	0.00	505.02	11,165.83	505.02	11,670.85	36	Dec-12	Mar-14
13*	Kanpur	Water Supply Part-II for remaining area of Kanpur	37,778.92	47,515.00	1,363.02	266.01	1,629.03	33,530.68	1,629.03	35,159.71	36	Dec-10	Mar-14
14	Kanpur	Kanpur - Sewerage works in District IV in Kanpur city	20,736.00	20,736.00	1,000.00	0.00	1,000.00	9,368.00	1,000.00	10,368.00	36	Mar-12	Mar-14
15	Lucknow	Sewerage works for Lucknow Sewerage District I (Vol.I and II)	23,623.00	23,623.00	1,234.35	0.00	1,234.35	22,335.65	1,234.35	23,570.00	36	Sep-10	Complete
16	Lucknow	Municipal Solid Waste Management in Lucknow	4,292.37	4,292.37	265.85	209.58	475.43	2,546.87	475.43	3,022.30	24	Sep-08	Jan-14
17*	Lucknow	Water Supply Works of Lucknow (Phase I Part I Vol.I to V)	38,861.00	45,466.06	1,039.55	55.70	1,095.25	39,483.49	1,095.25	40,578.74	36	Oct-10	Mar-14

FUND UTILISATION AND PROJECT COMPLETION DETAILS OF TOTAL PROJECTS SANCTIONED FOR UTTAR PRADESH for 31.12.2013

Rs. In Lakhs

SI No	Name of City	Project Name	Project Cost		Utilisation During The Current Financial Year			Utilisation upto date			Project Completion Details		
			Original	Revised	Utilisation upto last Quarter (Since Start of Current Financial Year)	Utilisation on during this Quarter	Total Utilisation During the current financial year	Utilisation Upto last Financial Year (Since Start of of project)	Utilisation on during the current financial yaer	Total Utilisation upto date	Project Duration (in Month)	As Per DPR schedule date of completion	Actual date of completion or date of likely to be completed
1	2	3	4 A	4 B	5	6	7=(5+6)	8	9	10	11	12	13
18*	Lucknow	Sewerage works for Lucknow City-Sewerage District-III (Part-I)	26,216.00	34,451.00	6,179.01	0.00	6,179.01	23,540.99	6,179.01	29,720.00	24	Dec-10	Mar-14
19*	Lucknow	Storm Water Drainage for Lucknow	32,521.00	38,994.40	3,428.29	1,711.23	5,139.52	24,752.63	5,139.52	29,892.15	36	Mar-11	Mar-14
20	Lucknow	Sewage works for Lucknow City-Sewerage District-III (Part-II)	21,443.00	21,443.00	1,466.00	2,916.25	4,382.25	11,700.00	4,382.25	16,082.25	30	Jun-11	Mar-14
21	Lucknow	Water Supply Works of Lucknow (Phase I Part I I)	14,656.60	14,656.60	1,009.98	353.00	1,362.98	11,802.86	1,362.98	13,165.84	24	Jun-11	Mar-14
22	Mathura	Municipal Solid Waste Management in Mathura	991.60	991.60	102.75	0.00	102.75	887.48	102.75	990.23	24	Dec-09	Complete
23*	Mathura	Storm Water Drainage	8,720.00	11,479.96	1,891.35	405.88	2,297.23	7,275.67	2,297.23	9,572.90	24	Dec-10	Jan-14
24	Mathura	Sewerage Zone 2	6,035.77	6,035.77	737.36	14.36	751.72	2,567.88	751.72	3,319.60	24	Mar-12	Jan-14
25	Meerut	Municipal Solid Waste Management	2,259.40	2,259.40	0.00	0.00	0.00	1,118.66	0.00	1,118.66	15	Jan-10	Jan-14
26*	Meerut	Water Supply for Meerut	27,301.00	34,130.22	1,325.00	710.00	2,035.00	24,765.00	2,035.00	26,800.00	36	Mar-11	Jan-14
27*	Meerut	Sewerage Zone 5 &7	18,589.00	23,102.30	2,266.24	486.76	2,753.00	8,777.00	2,753.00	11,530.00	30	Mar-12	Mar-14
28	Varanasi	Water Supply Component Priority of Varanasi	11,102.00	11,102.00	346.04	296.55	642.59	9,897.41	642.59	10,540.00	36	Nov-10	Mar-14
29	Varanasi	Solid Waste Management of Varanasi	4,867.73	4,867.73	243.72	443.82	687.54	2,409.03	687.54	3,096.57	36	Mar-10	Jan-14
30	Varanasi	Water Supply Part-II of Cis-Varuna area	8,610.00	8,610.00	653.75	404.82	1,058.57	4,118.07	1,058.57	5,176.64	24	Dec-10	Mar-14
31*	Varanasi	Storm Water Drainage works for Varanasi	19,162.00	25,373.00	5,753.22	550.23	6,303.45	15,640.11	6,303.45	21,943.56	24	Mar-11	Mar-14
32*	Varanasi	Sewerage work for Varanasi Trans Varuna area	30,912.00	40,731.31	1,111.28	923.34	2,034.62	21,213.26	2,034.62	23,247.88	30	Mar-12	Mar-14
33	Varanasi	Water Supply	20,916.00	20,916.00	1,069.09	425.80	1,494.89	7,743.85	1,494.89	9,238.74	30	Mar-12	Mar-14
33 PROJECTS		TOTAL	536,361.94	613,128.80	41,443.89	11,931.60	53,375.49	421,297.69	53,375.49	474,673.18			

Note: The additional cost as approved in Revised Project cost is included in State & ULB share and Revised Projects are mentioned with * .

Quarterly Progress Report (Agra)
*Sub-mission for Urban Infrastructure and Governance,
JNNURM
Uttar Pradesh*

Time Period: Oct 2013 to Dec 2013

City level report	
<u>AGRA</u>	
.....	
Project level report	
SEWERAGE	<i>Construction of Branch and lateral Sewer Lines in Northern and Western Zone of Agra</i>
SEWERAGE	<i>Construction of Agra Sewerage Scheme Phase I, Part I (Central and Tajganj Zone)</i>
WATER SUPPLY	<i>Water Supply for Agra City</i>
SOLID WASTE MANAGEMENT	<i>Municipal Solid Waste Management in Agra City</i>

1.	Mandatory Reforms at City Level³		
	Commitment as per the MoA for the current financial year (Report as per milestone committed in the MoA)	Progress made during the Quarter (Oct13- Dec 13)	Cumulative progress As on 31.12.2013
a)	Implementation of Accounting Reforms		
	Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM or otherwise		Municipal Financial Accounting Manual is complete and has already been adopted.
	GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		Go No4094/9-5-2008-119/2007 dated 2 June 2008 issued indicating a cutoff date for full migration of municipal accounting system from 1.04.2009.
	Training of personnel	Regular training given to the Accounts personnel on actual work has been started	Achieved Regular trainings are being conducted by SLNA on successful implementation of DEAS
	Appointment of field-level consultant for implementation at the city-level	Achieved	Chartered accountant has appointed (Prashad Kumar Agrawal & Associates.)
	Notification of cut-off date for migrating to the double-entry accounting system	Achieved	Notified and total migration from 1-4-2009 as per government order but both the systems (i.e. single & double entry systems) are being maintained simultaneously as directed by the govt.
	State year from which ULB will commence preparation of outcome budgets	Achieved	Out Come budget has being prepared Since FY 2007-08
	State year in which ULB will undertake Credit rating	In FY 2008 -09 Credit Rating B+ CARE.	In FY 2008-09 Credit rating B+ CARE.
	Valuation of Assets	Achieved	Collection of details of properties like Land, buildings, roads, drains, street lights etc. with in ANN boundary has been done on prescribed formats and valued.
	Property Tax reforms		
	Elimination of exemptions	Done	Related to State Govt.
	Migration to Self-Assessment System of Property Taxation	Done	Self assessment for the residential buildings is already implemented and for non residential amendments has been made in Act & rules are being formulated.
	Establish Taxpayer education programmed	Camps are organized in all Zones.	Tax payers can submitted their tax through our website http://www.agrapropertytax.com through different type of payment mode. Camps are organize in all Zones.
	Achievement of 85% Coverage Ratio (see item e in Current Status)	Nagar Nigam has implementing GIS based PT system and Coverage is achieved through GIS survey. In FY 2011-12 Total no of Properties is 257580 and Properties covered under PT net is 248208, So PT Coverage is 96 % .	Achieved as per commitment. In FY 2009-10 Property tax Coverage : 85.70 % In FY 2010-11 Property tax Coverage : 99.01 % In FY 2011-12 Property tax Coverage : 96%

	Achievement of 90% Collection Ratio for current demand (see item f in Current Status above)	In FY 2013-14 (Dec 2013) 1-Total Demand----Rs. 1840.40 lakhs 2-Disputed PT Demand----Rs. 0.00 Lakhs 3-Net Realistic Demand----Rs. 1840.40 lakhs 4-Arrer Demand----Rs. 325.22 lakhs 5-Current Demand----Rs. 1667.54 Lakhs 6-Total Collection----Rs. 1288.30 lakhs 7-Collection Ratio--- 70%	In FY 2012-13 The details of PT Collection is given below. 1-Total Demand----Rs. 2040.99 lakhs 2-Disputed PT Demand----Rs. 200.59 Lakhs 3-Net Realistic Demand----Rs. 1840.40 lakhs 4-Arrer Demand----Rs. 388.92 lakhs 5-Current Demand----Rs. 1451.48 Lakhs 6-Total Collection----Rs. 1715.77 lakhs 7-Collection Ratio--- 93.22%
	Property Tax with PPP Model	Computerized bills are being issued through Post Office for the FY 2013-2014	Computerized bills are being issued through Post Office for the FY 2012-2013
	Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year (exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)	In FY 2013-14 (Dec 2013) 1-Arrear Demand---Rs. 325.22 lakhs 2Arrear Collection---Rs. 230.98 lakhs 3--Collection Ratio ---- 71.02 %	Improvement in collection of arrears against previous FYs. In FY 2012-13 (March 2013) 1-Arrear Demand---Rs. 388.92 lakhs 2Arrear Collection---Rs. 340.25 lakhs 3--Collection Ratio ---- 87.4 %
c)	Reforms in levy of user charges		
	1-The State should set up a body for recommending a user charge structure.	User charges structure is defined as per GO issued by state government on dated 3/12/1994. 5% charges will be increased after every three yearly	Nagar Nigam has formulated byelaws for user charges for different O&M services. Parking, Open grounds user charge being collected.
	2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. Please specify the timeline for each service separately (i) Water Supply and Sewerage (ii) SWM	Customized Accounting Software is used for water supply, Sewerage & SWM. .	Achieved
	3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UFW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both. i. Non-Revenue Water (NRW) ----- 12 ii. Un-accounted for Water (UFW)----- 28	As per commitment. 709 Leakage points were repaired From 1-4-2010 to 30.6.2010. A GO from state govt. was issued to use meter in Agra city.	As per commitment. In the quarter being reported a state level Service level bench mark meeting regarding services and user charges in various sectors like WS, Sewerage and SWM has been organized by ASCI / SLNA at Lucknow.

	<p>4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %) Water Supply ----- 68 Sewerage ----- 68</p>	<p>In FY 2013-14 (WS & Sewerage) 1-O&M Expenditure---Rs. 17.3784 Cr. 2 O&M Income--Rs. 16.1839 Cr. 3--Collection Ratio ----93.17 %</p> <p>SWM User Charges are implemented for SWM from April 2012 and Rs. 2.34 lakhs has been collected till Dec 2012.</p>	<p>As per commitment. The Water Works Department of Nagar Nigam Agra is Jal Sansthan, Agra who operates and maintains the water supply & sewerage services in the city. Since 1976 the department is maintaining these services from their own revenue sources and no regular grant/devolution is given by state govt. At Present not levying volumetric charges on these civic services but it is able to bear the O&M cost through current tariff system on WS and Sewerage services and user charges on SWM has been initiated and full cost recovery will be achieved after all water infrastructure and metering projects are implemented. In FY 2012-13 (WS & Sewerage) 1-O&M Expenditure---Rs. 36.26 Cr. 2 O&M Income--Rs. 37.63 Cr. 3--Collection Ratio ----103.80 %</p>
d)	Implementation of E-Governance in municipalities		
1-Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP Based on the National Mission Mode Project of Government of India, the design document will be prepared.	Achieved.	Achieved e-Gov DPR has been approved by CSMC of Rs 195.42 Lacks	
2-Assessment of MEDD against National E-Governance Standards (E.g. Scalability, intra-operability & security standards etc.)	Completed	Achieved Assessment of MEDD will be done by proposed SIC.	
3-Finalization of Municipal E-Governance implementation action plan for the city	Achieved	Achieved Action plan for all modules of e-Governance has been already implemented by Nagar Nigam itself. e-Governance State level software solution has brief action plan for all civic services that will be adopted by citizens and Nagar Nigam itself.	
4-Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems	e-Governance modules are being used for every section in Nagar Nigam and every section is linked with each other.	Achieved E-Governance modules are being used for every section in Nagar Nigam and every section is linked with each other which meet out the purpose of BPR	
5-Appointment of Software consultant(s) / agency for development, deployment And training	Appointed	NIC has been appointed as an SIC.	

6-Exploring PPP option for different E-Governance services	PPP option has been adopted in various e-Governance services. ANN adopted PPP route in issuing Birth & Death Certificates, Online Property Mutation to the Public. ANN has authorized 53 CCP's (Citizen Cyber Point) to provide Online Birth & Death Certificates to the citizen and Name Change in Property.	Achieved Cyber Citizens Points have been authorized by AMC to provide civic services.
7-Implementation of e-Governance initiatives in the JNNURM city, against the identified modules		
Property Tax	<ul style="list-style-type: none"> ➤ Online Property Mutation Service is Started on PPP Basis and available at ANN Website. ➤ Property tax Services are being provided online through Nagar Nigam website http://www.nagarnigamagra.com/ ➤ Online payment through payment gateway. ➤ Self Assessment Form (Residential), Annual value calculator, and Monthly rates for AV are also available at Nagar Nigam website. ➤ Computerized/online Bills are being generated on the basis of GIS based Property tax system ➤ Citizen can pay their tax in AXIS, IDBI & HDFC banks in Agra. ➤ Citizens are showing interest to pay online Tax. ➤ Computerized Bill of Property tax is generated from Property Tax Software and process of online payment has been printed on back side of bill. ➤ Process of online tax payment has been printed behind house tax bills. 	Property tax Services are being provided online through Nagar Nigam website http://www.nagarnigamagra.com/ . Computerized/online Bills are being generated on the basis of GIS based Property tax system. Hand Held Computers Machines are being issued for the tax collection to the revenue collectors. ANN has given serious thoughts on updating of GIS maps which was already done in the Year 2003-04. Process has been initiated by ANN in this regard and will be completed shortly. ANN has revised property tax rates since 1st April, 2010 for residential properties and is enforce. Efforts to improve property tax collection Ratio has been made by ANN. Zonal Engineers have been given responsibility to evaluate the non residential properties lying in their respective zones. Also rigorous weekly monitoring is being done to improve tax collection. Emphasis is being given on collection of arrears as well as current demand. Hand Held Computers Machines are being issued for the tax collection to the revenue collectors.
Water Supply & Other Utilities	<ul style="list-style-type: none"> ➤ Water Tax Collection System is fully Computerized in Jal Kal department ➤ Computerized Bills are being generated on the basis of GIS based system 	Water Tax Collection System is fully Computerized in Jal Kal department Computerized/online Bills are being generated on the basis of GIS based system

Accounting	<ul style="list-style-type: none"> ➤ The inventory of assets and liabilities has been prepared, valued and digitized. ➤ CA has been appointed for providing and implementing training program. ➤ Balance Sheet of 2009-2010 has been completed and finalized. Balance sheet of 2010-2011 has been completed and finalized. ➤ Balance sheet of 2011-12 is in progress ➤ Budget of 2011-12 has been prepared according to U.P. Municipal Accounting Manual. ➤ Financial statements such as OBS, Budget and Income & expenditure are published on ANN Website. ➤ Credit rating is done by CARE and awarded B⁺ ➤ Double Entry Accounting Software, RTGS Payment Software, Payroll Software, Pension Calculation Software, Cheque issuance software has been working in ANN. 	<p>The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual. The Opening Balance Sheet as of 1st April 2010 has been prepared and under the process of adoption by Nagar Nigam Board. The balance sheet of FY 2010-11 is under finalization. With reference to the preparation of inventory of assets and liabilities and valued assets and liabilities the valuation of most of the assets and liabilities has been completed. Valuation of roads and drainage is remaining. It will be done within a month OBS will be ready by the end of Oct, 2010. As far as implementation of transparent, multi year budget and costing formats are concerned, the budget of 2010-11 has already been prepared and approved. OBS will be presented before Executive Committee and House of ULB. It will be audited by external auditor. Financial Year 2011-12 outcome budget has been prepared as per directions given by CRISIL. Bank reconciliation Statements for current Financial Year are being prepared regularly. External audit (appointed by State Govt.) is done by Local Audit & Funds and by A.G. Allahabad. M/s Tandon & Associates has been appointed as an External Auditor.</p>
Birth & Death Registration	<ul style="list-style-type: none"> ➤ A web based advanced Module through which Hospital can directly enter every birth & death data on ANN portal and citizen can get the certificate after paying Rs 10/- through Master or Visa Card from his home, Cyber café, Nagar Nigam, Hospital, has been developed & is in operation. ➤ ANN has adopted PPP route in issuing Birth & Death Certificates to the Public. ANN has authorized 53 CCP's (Citizen Cyber Point) to provide Online Birth & Death Certificates to the citizen. 	<p>Offline computerized facility is being provided to citizens. Online receiving of Application and issuing of certificates is also started. Application status is also available at Nagar Nigam Website. Digitization of previous data (issued certificates) is under progress.</p>
Citizen's Grievance Monitoring	<ul style="list-style-type: none"> ➤ Web based application software is being used. 	<p>Web based application software is being used.</p>

	<ul style="list-style-type: none"> ➤ E-horizon, Delhi developed IVRS System and installed with Toll free no 18001803015 to register the complaints. ➤ Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. ➤ When complaint resolve, a SMS is sent to complainer for the resolving status. ➤ Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented last year. 	<p>E-horizon, Delhi developed IVRS System and installed with Toll free no 18001803015 to register the complaints. Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. When complaint resolve, a SMS is sent to complainer for the resolving status.</p> <p>Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented last year</p>
Personnel Management System	<ul style="list-style-type: none"> ➤ Web based application Software is being used and it is available on ANN website with citizen viewing facility. ➤ All the reports related to the employee can be seen here. ➤ PMS is prepared and uploaded to Nagar Nigam website. ➤ Centralized PIS is also available at Directorate website. ➤ Employee Payroll Management System is also implemented. 	<p>Web based application Software is being used. PMS is prepared and uploaded to Nagar Nigam website. Centralized PIS is also available at Directorate website. Employee Payroll Management System is also implemented.</p>
Procurement and Monitoring of projects		
<ul style="list-style-type: none"> • E-Procurement 	<ul style="list-style-type: none"> ➤ Tenders (PWD system of Tendering –Schedule of Rates) are being invited through E Tendering, An agency named E-Procure, Kolkata is engaged for this. ➤ Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Agency’s / Nagar Nigam website. ➤ Evaluations of bids are being done through district level software solution. 	<p>Tenders (PWD system of Tendering –Schedule of Rates) are being invited through E Tendering, An agency named E-Procure, Kolkata is engaged for this. Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Agency’s / Nagar Nigam website. Evaluations of bids are being done through district level software solution.</p>
<ul style="list-style-type: none"> • Project / Ward Work 	<ul style="list-style-type: none"> ➤ An Online Module is available on Nagar Nigam website to monitor all approved civil works. ➤ Monitoring of JNNURM projects is being done by modified PMES System. ➤ JnNURM Project Management, CDP, DPR, MoA, entries have already been completed. 	<p>At Nagar Nigam Level, For Project Management, One Project Management System Software has been installed. Data Entry related Project Management is almost completed. Evaluation and monitoring of projects are being done with the help of Software. Project Monitoring and evaluation of is being done through application software.</p>

<p>Building Plan Approval</p>	<ul style="list-style-type: none"> ➤ Agra Development Authority provides this facility offline / online facility through its website http://www.ada-agra.com/ ➤ Agra provided other citizen services like Enquiry details, application tracking, Enforcement Complaint etc. ➤ Building Bye laws is also available at ADA Website. ➤ IVRS facility is being provided through web based application software. ➤ A state level software has been prepared named “Avasbandu’ for Building Permissions ➤ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. ➤ Digitization of building data is being updated regularly. ➤ Web based software has been prepared for the digitations of buildings data. 	<p>Agra Development Authority provides this facility offline / online facility through its website http://www.ada-agra.com/. Building Bye laws is also available at ADA Website.</p> <p>IVRS facility is being provided through web based application software. State level software has been prepared named “Avasbandu’ for Building Permissions. Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. Digitization of building data is being updated regularly.</p>
<p>Health Programs</p>		
<ul style="list-style-type: none"> • Licenses 	<ul style="list-style-type: none"> ➤ Computerized Licenses are being issued through application software. ➤ Online Software for issuing licenses has been prepared and is in operation ➤ Online status of application is also available at Nagar Nigam website. ➤ All the vehicles collecting garbage within ANN Boundary are connected through GPS System and monitored through software by Ultra Urban Infratech. SWM project is nearing completion in ANN. 	<p>Computerized Licenses are being issued through application software. Case / System Study for web based License module are complete and software development is in progress. Online status of application is also available at Nagar Nigam website.</p>

	<ul style="list-style-type: none"> • Solid Waste Management 	<ul style="list-style-type: none"> ➤ Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally. ➤ The other financial aspects of SWM like user charges etc covered by this application. ➤ A GPS system is installed in all the vehicles, so that progress can be monitored. 	<p>Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is GIS Based official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally.</p>
e)	Earmarking of funds for basic services to the poor		
<p>BUDGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for ‘Services to the Poor’ ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for ‘Services to Poor’. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----28% ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income -----28% ➤ Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure -----28% 	<p>1-Separate Municipal Fund in Accounting System is available for BSUP.</p> <p>2-Acts and Byelaws are available for Municipal Accounting Rules.</p> <p>3-90% recovery of O&M cost of water supply and sewerage is being maintained. The recovery of O&M cost of JnNURM projects will be recovered after completion of projects.</p> <p>4-ANN has created separate fund for services to urban poor. The budget allocation for the year F.Y. 2007-08, 2008-09, 2009-10, 2010-11, 2011-12, 2012-13 has already been earmarked as 20%, 24%, 26%, 28%, 30%, 30% respectively. Delivery of services to poor and non poor will be targeted revenue expenditure. Efforts are being made to target the revenue expenditure as per the milestones specified in MOA.</p>	<p>Under Rajeev Avas Yojna (RAY), GOI has launched plan for making cities "Slum Free". Under this scheme, Agra is one of these selected cities. For doing integrated study through GIS mapping, RCUES, Hyderabad has been appointed as consultant by State Govt. H.H. level survey is being done by DUDA. Proper liaison will be made with DUDA so as to help in identifying the infrastructural gaps in slums. 156 slum settlements have been surveyed by ANN for need assessment of toilets, street light, hand pumps, streets, drains, sanitation services, water supply, and sewerage forestation. Construction of new toilets and repair work of old toilets have been started in those slums.</p>	

Optional Reform

SI No	Commitment as per the MoA	Progress made during the Quarter (Oct 13- Dec 13)	Cumulative progress as on 31.12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days. Time reduction to 7 & 10 days respectively is to be achieved by year 2011-12	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.
B	Maximum Reduction of Average time for Building Sanction		
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting.

			<p>A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the Developer will not include the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.</p>
Earmarking of Land for EWS/LIG Housing and a system of cross subsidy			
A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.	Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		

			<p>Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.</p>
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>	<p>Nagar Nigam is following the byelaws framed by Govt. in National Building Code</p>	<p>. Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Contral Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.</p>
Structural Reform			
A	New Initiatives planned within organisation	<p>1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.</p> <p>2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments</p>	<p>All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office. Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of</p>
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies		

			<p>City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Nigam level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.</p>
Administrative Reform			
A	<p>1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure</p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
Encouraging PPP			
A	<p>List down the city level project initiatives planned through PPP in the next three years</p>	<p>1)SWM project of NNM is in progress on PPP Model. (2)Construction of Modern Slaughter House. (3)Replacement of low efficiency water pumps to energy efficiency pumps. (4)E-Governance project of NNM services. EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps and Street</p>	<p>GO-No-1-1783/9-1-01-66सा/01,दिनांक 22-6-2002 2-4584 /नौ-1-04-2(9)/2002 दिनांक 13-1-2004 3-4323 / 9-1-2005-66सा/2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा/2001टीसी दिनांक 21-3-2006 Done Act amended. Order issued GO-No- 1855/9-5-08-54EO/2007 dated 6.8.2008. Promote the undertaking of any project for supply of urban</p>

		Lights on PPP model.	infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009.
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2. MoA
 In case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering into tripartite MoA *month / year*
 MOA has already been Signed on 08.01.07

3. List of initiatives taken if any for creation of a Revolving Fund at the city level (please mention in brief bullet points)

4. Any innovations/ good practices in urban governance, project development and service delivery implemented in the city during the reporting period (please mention in brief bullet points)

Signature & Date
 Authorized Signatory
 Urban Local Body

PART III

MONITORING PROJECT IMPLEMENTATION

(To be filled in separately for each project)

1	Project title :	Construction of Branch and Lateral Sewer Line in Northern and Western Zone of Agra	4	Project Bank A/c No: & Name & Address of Bank	A/c 0030000100426752 Panjab National Bank Raja Ki Mandi Agra
2	Project code :	Sewerage AGR-004			
3	Implementing Agency	U.P. Jal Nigam, Yamuna Pollution Control Unit, Agra	5	Project Cost (in Rs. Lakh) - as sanctioned	Rs. 2162.00 Lakhs

All amounts are in Rs. Lakh

6 Capital Contributions to the project and Inflows							
S.No	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting Quarter September 2013	Actual amounts released into Project Account		Commitment pending release from sources or balance project period
					During the last quarter being Reported Oct 2013-Dec 2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1	GoI	1081.00	50.00	1081.00	0.00	1081.00	All the installments have been Released
2	State	432.40	20.00	432.40	0.00	432.40	
3	ULB	648.60	30.00	648.60	0.00	648.60	
4	Others (Specify agency's name)	-	-		-		
	Total	2162.00	100.00	2162.00	0.00	2162.00	

Note for filling table

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule. From the start of the project.

	Total interest accumulated in bank account to date	Rs. ULB - 0.94 lacs
		Rs. UPJN -16.03 lacs
		Total Rs. - 16.97 lacs

7	Monitoring Funds Utilization for the Project				
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	During the last quarter being reported September 2013	During the last quarter being reported Oct 2013-Dec 2013	Cumulative Expenditure as on 31.12.2013		
1	3	3	4	5	6
1	439.00	0.00	439.00	0.00	Project has been completed & Completion Certificates has also been submitted at Gol.
2	429.00	0.00	429.00	0.00	
3	560.63	0.00	560.63	0.00	
4	354.40	0.00	354.40	0.00	
5	314.97	0.00	314.97	0.00	
6	64.00	0.00	64.00	0.00	
Total	2162.00	0.00	2162.00	0.00	
Utilization of funds as % of funds received from all sources for the project as on date					100.00 %

Utilization implied: - drawls from the project bank account for payments pertaining to the project. From the start of the project.

Scheduled completion date of Project as per DPR approved by CSMC : 9/2009				
Actual duration (in months) for project completion : NA				
Estimated time for completion of project as on date : Complete				
Is there a difference between scheduled date of completion and estimated date of completion : Yes				
In case Yes, then what are the reasons for the delay, please select from the list below :				
9	Issues in Project Monitoring and Inspections			
	Sl. No.	List of Issues	Yes/No	
			Brief remarks on the reason for delay	
	i.	Delay related to fund release into Project Account	Yes	Non availability of fund at time. The balance fund received on 06.10.2010, 4 th installment of ULB share.
	ii.	Issues related to cost escalation	No	
	iii.	Delay in tendering process	No	
	iv.	Technical sanction process at state level	No	
	v.	Field level conditions leading to redesign	No	
	vi.	Constraints in supply of equipment/material/technology	No	
	vii.	Technical capacity of ULBs.	No	
viii.	Project Management related issues.	No		
ix.	Any other issues/constraints in project implementation.	No		

Please specify month and year of completion as envisaged in the DPR taking into account the release of first installment of funds from GoI as start date for the project.

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ Gol Officers	Special Secretary Nagar Vikas, U.P. Govt. IRMA
	Date of inspection	05.10.2009 IRMA inspected on 10, 11th March, 2010, 10-11 June, 2010, 11.11.2010/12, 26.05.2011, 09.08.2011 to 11.08.2011, 04.12.2013.
	Issues reported during inspections	Instructions for timely completion of projects with quality were given to the Nodal & implementing Agency so that full benefit of the project is availed by the users.
	Course corrections done	The quality is being maintained by implementing agency.
	Suggestions, if any, for project monitoring and MIS	NIL

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

1	Project title :	Construction of Agra Sewerage Scheme Phase-I Part-I (Central and Tajganj Zone)	3	Project Bank A/c No: & Name & Address of Bank	A/C No-0030000100437952 Punjab National Bank, Raja ki Mandi, Agra
2	Project code :		4	Project Cost (in Rs. Lakh) - as sanctioned	Rs. 19592.00 lakhs
3	Implementing Agency	U.P. Jal Nigam, Yamuna Pollution Control Unit, Agra			

All amounts are in Rs. Lakh

6 Capital Contributions to the project and Inflows							
S.No	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting Quarter September 2013	Actual amounts released into Project Account		Commitment pending release from sources or balance project period
					During the last quarter being reported (Dec 2013)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1	Gol	9000.00	50*	8100.00+900.00*=9000.00	0.00	9000.00	0.00
2	State	5192.00	20*	4674.60	0.00	4674.60	517.40
3	ULB	5400.00	30*	5638.80	0.00	5638.80	-238.80
	Total	19592.00	100%	19313.40	0.00	19313.40	278.60

* **Note:** -The Central Scheme is restricted to Rs. 9000 Lakhs and ULB proportionate share is 5400 Lakhs. The balance share of Central & ULB share is to be borne by State Govt.

* 10 % of ACA (Rs. 900.00 Lakhs) which was holdup by Gol, has been released by GoUP.

Note for filling table

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

From the start of the project.

	Total interest accumulated in Bank Account as on date	ULB - 21.00 Lakhs
		UPJN- 189. 61 Lakhs
		Total – 210.61 Lakhs

7		Monitoring Funds Utilization for the Project			(All amounts are Rs. in Lakhs)	
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment	
	During the last quarter being reported September 2013	During the last quarter being reported Oct 13-Dec 13	Cumulative Expenditure as on 31.12.2013			
1	2	3	4	5	6	
1 (A)	10469.52	248.01	10717.53	104.20	10% of held up ACA has to be release by GoI	
2 (B1)	1292.87	-	1292.87	20.00		
3 (B2)	1674.69	-	1674.69	50.00		
4 (B3)	1548.91	25.34	1574.25	55.00		
5 (B4)	1632.56	96.55	1729.11	-		
6 (B5)	1004.82	-	1004.82	50.00		
7 (C)	391.24	-	391.24	-		
8	777.25	-	777.25	-		
Total	18791.86	369.90	19161.76	279.20		
Utilization of funds as % of funds received from all sources for the project as on date					99.21 %	

Scheduled completion date of Project as per DPR approved by CSMC : 31.03.2012			
Actual duration (in months) for project completion : 33 Month			
Estimated time for completion of project as on date : 31.03.2014			
Is there a difference between scheduled date of completion and estimated date of completion : Yes (24 Months)			
In case Yes, then what are the reasons for the delay, please select from the list below :			
11. Issues in Project Monitoring and Inspections			
Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
9	i. Delay related to fund release into Project Account	No	(i) Delay in availability of STP Land. Pkg. B4. (ii) Delay due to non availability of funds in time. (iii) Delay in permission for laying of sewer line from NHAI, Railway and Horticulture Department. (iv) Due to non-receipt of permission for laying of sewer line from Defense. (v) Due to delay in shifting of GAIL Pipe line obstructing the alignment of sewer line at Water works crossing.
	ii. Issues related to cost escalation	No	
	iii. Delay in tendering process	No	
	iv. Technical sanction process at state level	No	
	v. Field level conditions leading to redesign	N/A	
	vi. Constraints in supply of equipment/material/technology	N/A	
	vii. Technical capacity of ULBs.		
	viii. Project Management related issues.		
	ix. Any other issues/constraints in project implementation.		

Please specify month and year of completion as envisaged in the DPR taking into account the release of first installment of funds from GoI as start Date for the project.

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ GoI Officers	SLNA and IRMA inspection.
	Date of inspection	Dec. 2012 (SLNA), 23.12.2009, 10/11.03.2010, 9/10.06.2010, 11.11.2010, 17.02.2011, 26.05.2011, 23.05.2011 (SLNA), 09.08.2011 to 11.08.2011, 18.08.2011, 20.08.2011 (SLNA), 05.09.2011 & 06.09.2011 (CPHEEO), 04.12.2013
	Issues reported during inspections	Delay in Const. of 24 MLD STP.
	Course corrections done	Being Pursued.
	Suggestions, if any, for project monitoring and MIS	NIL

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

1. Project title :	Water Supply for Agra City	3. Project Bank A/c No : & Name & Address of Bank	A/c No-003000010043116 Punjab National Bank, Raja Ki Mandi, Agra
2. Project code :	AGR-005		
3. Implementing Agency :	World Bank Unit-I, U.P. Jal Nigam, Agra	4. Project Cost (in Rs. Lakh)-as sanctioned	Rs. 8270.50 lakhs

All amounts are in Rs. Lakh

5. Budget Allocation by ULB / parastatal agency :	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. 0.64 lakh

6. Sl. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter (September 2013)	Capital Contributions to project and Inflows ³		Commitment pending release from source for balance project period
					Actual amounts released into Project Account		
					During the last quarter being reported (Dec 2013)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7 (5+6)	8=(3-7)
1	GOI	4135.25	50%	3721.72+413.53*=4135.25	0.00	4135.25	0.00
2	State	1654.10	20%	1654.08	0.00	1654.08	0.02
3	ULB	2481.15	30%	2481.15	0.00	2481.15	0.00
4	Others(<i>specify agency's name</i>)	-	-	-	0.00	-	-
	Total	8270.50	100%	8270.48	0.00	8270.48	0.02

Note: * 10 % of ACA (Rs. 413.53 Lakhs) which was holdup by GoI, has been released by GoUP.

³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial time frames.

(2) Actual dates/amounts for all sources should correspond to dates/amounts of actual inflow in the project bank account.

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project.

Total interest accumulated in bank account As on date	ULB U.P. Jal Nigam Total	18.77 Lakhs 90.09 Lakhs 108.86 Lakhs
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Monitoring Funds Utilization for the project					
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter September 2013	During the last quarter being reported Oct 13 -Dec 13	Cumulative Expenditure as on 31.12.2013		
1.	2	3	4=(2+3)	5	6
1.	24.84	0.00	24.84	NIL	10% of held up ACA has to be release by GoI
2.	112.29	0.00	112.29	NIL	
3.	3184.17	0.00	3184.17	0.64	
4.	1007.52	0.00	1007.52	NIL	
5.	1311.04	0.00	1311.04	NIL	
6.	393.97	0.00	393.97	NIL	
7.	NIL	0.00	NIL	NIL	
8.	236.12	0.00	236.12	NIL	
9.	113.55	0.00	113.55	NIL	
10.	19.77	0.00	19.77	NIL	
11.	84.28	0.00	84.28	NIL	
12.	345.15	0.00	345.15	NIL	
13.	884.51	0.00	884.51	NIL	
14.	552.65	0.00	552.65	NIL	
Total	8269.86	0.00	8269.86	0.64	
Utilization of funds as % of funds received from all sources for the project as on date					99.99 %

⁵ Utilisation implies – draws from the project bank account for payments pertaining to the project.

⁶ From the start of the project.

All amounts are in Rs. lakhs

8.	Project Implementation Monitoring									
List of all tender packages proposed for the project		Cost (in Rs. Lakh)			Project Start in		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On Completion	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	Commissioning of Distribution System	45.00	37.80	NA	15.11.08 18.07.09	25.11.08/30.01.09 03.03.09/08.09.09	Under Progress	60%	24.01.09, 29.04.09, 02.05.09 07.03.10	31.03.2014
2.	Building works	145.00	96.57	NA	15.11.08	16.02.09	"	50%	15.08.09	31.03.2014
3.	Laying of Distribution System in different zones	2531.96	2100.00	NA	28.03.08 15.11.08 29.04.09, 18.02.09 21.10.09 09.03.10 21.10.09	02.04.08/ 12.01.09, 13.01.09/ 15.09.09 15.02.10 09.03.10 15.02.10	"	90%	30.06.08, 17.07.09 12.04.09, 14.06.10 22.03.10 25.03.10 18.03.10	31.03.2014
4.	Construction of New OHT, CWR, Pump House & Chloronome	937.28	932.05	NA	10.02.09 23.12.08	01.03.09 03.10.09 15.12.09	"	98%	28.02.2010 02.10.2010 14.12.2010	31.03.2014
5.	Replacement of Rising Mains.	726.90	650.00	NA	13.08.09	01.11.09	"	95%	28.02.2010	31.03.2014
6.	Laying of Rising mains 19 km.	568.02	380.00	NA	15.11.08	12.01.09	"	95%	-	31.03.2014
7.	Re-cycling of waste water system in both water works	139.09	115.89	NA	10.02.09	01.03.09	Work not started	-	31.12.09	31.03.2014
8.	Construction of new intake well.	76.05	393.77	NA	11.12.09	28.01.10	Completed	100%	-	30.06.2012
9.	Rain water harvesting/ recharging	23.50	46.80	NA	29.04.09	29.09.09	Completed	100%	28.06.10	28.02.2011
10.	Repair of old CWR 2 Nos	80.88	27.60	NA	02.03.09	01.11.09	Under Progress	30%	31.01.10	31.03.2014
11.	Repair of OHT – 5 Nos.	103.33	88.50	NA	03.11.08	01.03.09	Completed	100%	16.02.09 03.03.09	31.03.2012
12.	Supply & Installation of Pumping Plants including Misc. works	906.71	750.00	NA	10.02.09 23.12.09	15.03.09	Under Progress	80%	28.02.10	31.03.2014
13.	Supply & Installation of SCADA system	995.00	953.00	NA	15.03.09	15.02.10	Under Progress	80%	15.02.10	31.03.2014
14.	Miscellaneous works such as road re-instatement etc.	991.78	991.78	NA	-	N.A.	Under Progress	L.S.	-	31.03.2014
	Total	8270.50	7563.76							

	Scheduled completion date of Project as per DPR' approved by CSMC: <u>month / year</u>: <i>Sept. 2010</i>		
	Actual duration (in months) for project completion : 26 Months		
	Estimated time for completion of project on date : <u>month / year</u>: <i>31.03.2014</i>		
	Is there a difference between schedule date of completion and estimated date of completion : <u>Yes</u>		
9.	In case Yes, then what are the reasons for the delay, please select from the list below :		
	Sl. No.	List of Issues	Yes/No
	i.	Delay related to fund release into Project Account	Yes
	ii.	Issue related to cost escalation	No
	iii.	Delay in tendering process	Yes
	iv.	Technical sanction process at state level	No
	v.	Field level conditions leading to redesign	No
	vi.	Constraints in supply of equipment materials/technology	No
	vii.	Technical capacity of ULBs	No
	viii.	Project Management related issues.	No
	ix.	Any other issue / constraints project implementation	No
			First installment released to UPJN in Sept- 2008, & amount of fourth installment partially received on 23-08-2011,04-10-2011,24-12-2011, 20-04-2013 (1) Rates of pipes have been increased considerably (2) Rates of material in the market as well as labor have been increased considerably Process for single package tender, as directed by U.P. Govt. was started in May 08 & ended in Nov. 2008 and that too in rejection of tender due to higher bid. First tender, under different packages, was approved on 26.12.08 hence works started in Jan. 2009 - - - - - (1) Shortage of Funds. (2) Non Availability of clear water for testing/ commissioning of some laid distribution system CWR, & OHT Etc. (3) Dates of anticipated completion of works is March, 2014. (4) The revised estimate submitted to state Govt. to get sanctioned & allotment of funds to complete all the balance works.

All amounts are in Rs. Lakh

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from Gol as start Date for the project.

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ GoI Officers	Jyeshtha Mulyankan Adhikari, Mulyankan Prabhag, Rajya Niyojan Sansthan U.P., Lucknow
	Date of inspection	21.03.2012 to 23.03.2012
	Issues reported during inspections	The work is late by 39 months
	Course corrections done	The works are late due to delay in release of funds and shortage of funds as part of IV installment i.e. Rs. 868.40 lacs, Rs. 165.41 lacs and Rs. 620.29 has been released on 23.08.2011, 04.10.2011 and 24.12.2011 & 20.04.2011 respectively, all efforts are being made to complete the project by 31.12.2014 with the condition of availability of required funds.
	Suggestions, if any, for project monitoring and MIS	

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

1.	Project title:	Municipal Solid Waste Management in Agra City.		3.	Project Bank A/c No: & Name & Address of Bank	A/c No-030000100426761 Punjab National Bank, Raja ki Mandi, Agra
2.	Project code:	AGR-0010		4.	Project Cost (in Rs. Crore) – as sanctioned	Rs. 3083.99 Lakhs
3.	Implementing Agency:	C&DS, Up Jal Nigam, Agra				

All amounts are in Rs. Lakh

5. Budget Allocation by ULB / parastatal agency :	
Allocation in ULB / parastatal agency budget for this project in current financial year 2013-14	Rs.480.00 lakh

6. Capital Contributions to project and Inflows ³							
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept-2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported Oct 13 - Dec 13	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7 (5+6)	8=(3-7)
1	Gol	1541.99	50%	1387.80+154.20*=1542.00	0.00	1542.00	-0.01
2	State	616.80	20%	616.80	0.00	616.80	0.00
3	ULB	925.20	30%	925.19	0.00	925.19	0.01
4	Others(<i>specify agency's name</i>)						
	Total	3083.99	100%	3083.99	0.00	3083.99	0.00

Note: * 10 % of ACA (Rs.154.20 Lakhs) which was holdup by Gol, has been released by GoUP.

³ Note (for filling table):

(4) Quarter is defined to be aligned with the financial time frames.

(5) Actual dates/amounts for all sources should correspond to dates/amounts of actual inflow in the project bank account.

(6) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project.

Total interest accumulated in bank account to date	ULB C&DS Total	7.39 Lakhs 128.198 Lakhs 133.58 Lakhs
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7. Monitoring Funds Utilization for the Project					
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter Up to Sep 2013	During the last quarter being reported Dec 2013	Cumulative Expenditure as on 31.12.2013		
1	2	3	4	5	6
1.	836.75	15.53	852.28	557.697	10% of held up ACA has to be release by GoI
2.	1201.64	65.91	1267.55	313.644	
3.	16.94	0.00	16.94	73.093	
Total	2055.33	81.44	2136.77	944.434	
Utilization of funds as % of funds received from all sources for the project as on date					69.28 %

All amounts are in Rs. lakhs

⁵ Utilisation implies – draws from the project bank account for payments pertaining to the project.

⁶ From the start of the project.

10. Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programme			
	Rapid Training Program under JNNURM in Agra	Number of Official Trained	-	9
		Number of Non Official Trained		
	Orientation Programme for Project Implementation Unit (PIU) and Project Management Unit	Number of Official Trained	-	5
		Number of Non Official Trained		
	International Conference Local Governance for Sustainable & Safe Cities	Number of Official Trained	-	1
		Number of Non Official Trained	-	74
	Training Program on Project Planning & implementation under JNNURM	Number of Official Trained	-	5
		Number of Non Official Trained		
	Capacity Building for Urban Local Bodies in developing PPP Projects.	Number of Official Trained	-	1
		Number of Non Official Trained		
	Rapid Training Program under JNNURM in Lucknow	Number of Official Trained	-	7
		Number of Non Official Trained	-	-
	Urban Rain Water Harvesting	Number of Official Trained	-	2
		Number of Non Official Trained	-	
	Domestic Water Treatment	Number of Official Trained	-	2
		Number of Non Official Trained	-	
	PMES	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Fundamental Computer Training	Number of Official Trained	-	
		Number of Non Official Trained	-	84
	Training Programme on Regulatory and policy framework for market development for Renewable Energy	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Training Programme on Urban Forestry	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	Training Programme on Environment Friendly Solid Waste Management	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	Training Programme on Double Entry Accounting System	Number of Official Trained	-	16
		Number of Non Official Trained		
	Training Programme on Urban Governance	Number of Official Trained	-	2
		Number of Non Official Trained		
	Training Programme on Bench marking Urban Services	Number of Official Trained	-	1
		Number of Non Official Trained		
	Water Supply & Sewerage Treatment Plant Management	Number of Official Trained	-	1
		Number of Non Official Trained		
	Municipal Finance Management	Number of Official Trained	-	12

		Number of Non Official Trained	-	
	Municipal Accounts Management	Number of Official Trained	-	20
		Number of Non Official Trained	-	
	Integrated Municipal Solid Waste Management :2010	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Training of E-Procurement	Number of Official Trained	-	4
		Number of Non Official Trained	-	7
	Training Programme on Sustainable Water Management	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Urban Housing and Habitat Policy - 2007	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Scientific Convention Urban Transformation –The road ahead	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Study Tour at Bangluru	Number of Official Trained	-	
		Number of Non Official Trained	-	90
	Orientation Program on Urban Transport Strategy	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	Third training programme on GIS	Number of Official Trained		1
		Number of Non Official Trained	-	-
	Training programme on “Planning provision and Management of Urban Infrastructure”	Number of Official Trained		1
		Number of Non Official Trained	-	-
	International Workshop On towards Second Generation of City development plan	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	World e-Government Organization of Cities and Local Governments	Number of Official Trained	-	-
		Number of Non Official Trained	-	1
	Orientation Program on Urban Governance/JnNURM	Number of Official Trained	-	2
		Number of Non Official Trained	-	-
	Orientation Program on Urban Transport Strategies: Agenda for Reforms	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	Training Programme on ‘Laws in Urban Governance’	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	National Workshop Programme on Street Vendor Policy and Legal Framework	Number of Official Trained	-	1
		Number of Non Official Trained	-	-
	Solid waste & Plastic waste	Number of Official Trained	-	2
		Number of Non Official Trained	-	-
	Orientation Program on Urban Governance/JnNURM	Number of Official Trained	-	2
		Number of Non Official Trained	-	
	Orientation Program on Urban Transport Strategies: Agenda for	Number of Official Trained	-	1
		Number of Non Official Trained		

	Reforms			
	Training Program on 'Laws in Urban Governance'	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Training Program on E - governance	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Environmental Legislation for Disaster Risk Management	Number of Official Trained	-	1
		Number of Non Official Trained	-	
	Training Program on Instigated Solid Waste Management	Number of Official Trained	-	5
		Number of Non Official Trained	-	
2.	Workshop National Seminar on Urban Reforms	Regional Level	-	
		National Level	-	
		State Level	-	3
	National Workshop on PEARL	Regional Level	-	
		National Level	-	
		State Level	-	1
	National Conference on JNNURM	Regional Level	-	
		National Level	-	
		State Level	-	2
	Cultural, Heritage Religious Cities	Regional Level	-	
		National Level	-	
		State Level	-	2
	Workshop to discuss the implementation of e-governance in ULB under JNNURM	Regional Level	-	
		National Level	-	
		State Level	-	1
	Workshop on finalization of Toolkit for preparation of Heritage DPR	Regional Level	-	
		National Level	-	
		State Level	-	1
	Experience sharing Workshop on Strengthening peer learning Networks in India Urban Sector-Pear Program under JNNURM	Regional Level	-	
		National Level	-	
		State Level	-	1
	National Conference on JNNURM	Regional Level	-	
		National Level	-	
		State Level	-	2
	Workshop on Urban Governance	Regional Level	-	
		National Level	-	
		State Level	-	
	Urban Governances, Management & Municipal Finance	Regional Level	-	2
		National Level	-	
		State Level	-	2

	International Workshop On towards Second Generation of City development plan	Regional Level		
		National Level	-	1
		State Level		
	World e-Government Organization of Cities and Local Governments	Regional Level	-	
		National Level	-	1
		State Level	-	
	National Workshop Programme on Street Vendor Policy and Legal Framework	Regional Level	-	
		National Level	-	1
		State Level	-	
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ GoI Officers	IRMA / Dy advisor CPHEEO/ Mission direction JNNURM. / Consultant planning commission
	Date of inspection	26.05.2011, 10.08.2011 / 21.05.2011 / 13.06.2011 / 10.12.2011 / 04.02.2012/ 01-06-2013/04.12.2013
	Issues reported during inspections	Instructions for timely completion of projects with proper quality control were given to the implementing Agency so that full benefit of the project is availed by the users.
	Course corrections done	Yes
	Suggestions, if any, for project monitoring and MIS	-

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

Quarterly Progress Report for Allahabad City

UTTAR PRADESH

JNNURM

Time Period: Oct 2013 to Dec 2013

This Report comprises

State Level	
UTTAR PRADESH	
City Level report	
ALLAHABAD	
.....	
Report Project Level	
WATER SUPPLY	1- Water Supply for Allahabad City Part-I 2- Water Supply for Allahabad City Part-II
SEWERAGE	Construction of SPS & Sewage Treatment Plants in Zone-D of Allahabad City.
SOLID WASTE MANAGEMENT	Municipal Solid Waste Management in Allahabad City

PART II

PROGRESS AT CITY LEVEL

(to be filled in separately for each JNNURM city in the State)

Name of City : ALLAHABAD

1.	Mandatory Reforms at City Level		
	Commitment as per the MoA for the current financial year (Report as per milestone committed in the MoA)	Progress made during the Quarter (Oct 2013 to Dec 2013)	Cumulative progress as on 31-12-2013
a)	Implementation of Accounting Reforms		
	Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM or otherwise		Municipal financial accounting manual is complete and has already been adopted.
	GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		Go No 4094/9-5-2008-119/2007 dated 2 Sep'2008 issued indicating a cutoff date for full migration of municipal accounting system from 1.04.2009.
	Training of personnel	Every month, education Program regarding DEAS is organized for all employees. Training has been conducted by SLNA in May 2011.	Achieved Dedicated personnels are working with FLC & getting job training. Besides this regular training is given to all concern staff.
	Appointment of field-level consultant for implementation at the city-level	Appointed	As per new guidelines by directorate of UP Local Bodies FLC has been appointed.
	Notification of cut-off date for migrating to the double-entry accounting system	Migrated to DEAS	Notified and total migration from 1-4-2009 as per government order but both the systems (i.e. single & double entry systems) are being maintained simultaneously as directed by the govt. Nagar Nigam switched over to DEAS since FY 2008-09
	State year from which ULB will commence preparation of outcome budgets	It will be commenced by the budget of F.Y.2013-14.	It will be commenced by the budget of F.Y.2013-14.
	State year in which ULB will undertake Credit rating	Credit Rating B+	In FY 2008-09 credit Rating B+ done by CARE
B)	Property Tax reforms		
	Elimination of exemptions	Done	Related to State Govt. Few Buildings are exempted like Mandir, Masjid, Gurudwara, Church, Kabristan, Shamshan Ghat, Graveyard etc

	Migration to Self-Assessment System of Property Taxation	Done	Self assessment for the residential buildings is already implemented and for non residential amendments has been made in Act & rules are being formulated.
	Establish Taxpayer education programmed	Ward wise/ Zonal wise camps organized. Print and electronic media is being used for the education.	Tax payers can calculate tax and see calculation & all property tax details through our website http://www.allahanabnagarnigam.in and Tax payment module describes it own benefit and option for mode of payment.
	Achievement of 85% Coverage Ratio	Done, In FY 2013-14 Total Assessed Properties was 184244 and Properties covered under PT Net was same. General Survey is recent and day to day updating was done.	In FY 2013-14 Total Assessed Properties was 184244 and Properties covered under PT Net was same. General Survey is recent and day to day updating was done.
	Achievement of 90% Collection Ratio for current demand	In FY 2013-14 (till Dec'13) 1-Current Demand----Rs. 3142.92 lakhs 2-Arrear Demand----Rs. 157.08 Lakhs 3-Total Demand----Rs. 3300.00 lakhs 4-Total Collection ----Rs. 2237.76 lakhs 5-Collection Ratio----67.81 %	In FY 2012-13 1-Current Demand----Rs. 2539.61 lakhs 2-Arrear Demand----Rs. 425.35 Lakhs 3-Total Demand----Rs. 2964.96 lakhs 4-Total Collection ----Rs. 2807.88 lakhs 5-Collection Ratio----94.70 %
	Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year (exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)	In FY 2013-14 (till Dec'13) 1- Arrear Demand----Rs. 157.08 lakhs. 2- Arrear Collection---Rs. 74.57 lakhs 3-Collection Efficiency- - 47.47 %	In FY 2012-13 1- Arrear Demand----Rs. 425.35* lakhs. *Additional arrear of Rs. 202.01 laks due to judgment by Hon. High Court on PIL of yr 2011-12 against increased House Tax. 2- Arrear Collection---Rs. 345.25 lakhs 3-Collection Efficiency- - 81.17 %
c)	Reforms in levy of user charges		
	1-The State should set up a body for recommending a user charge structure.	Parking, Open grounds user charge being collected	Nagar Nigam Board has formulated byelaws for user charges for different O&M services. A committee has been constituted under the chairmanship of Municipal commissioner for recommending a user charges. Water charges, Sewerage charges, SWM charges, Parking, Open grounds user charge are in structure boundary.

	<p>2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. Please specify the timeline for each service separately</p> <p>(i) Water Supply and Sewerage (ii) SWM</p>	<p>Due to increase in realization in O&M cost is achieved.</p>	<p>Achieved. Proper Tally accounting system is based upon budget course.</p>
	<p>3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UfW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both.</p> <p>i. Non-Revenue Water (NRW) ----- 12 ii. Un-accounted for Water (UfW) ----- 28</p>	<p>Implementation of rehabilitation of WS scheme and as per commitment target will achieve.</p> <p>In the quarter being reported a state level Service level bench mark meeting regarding services and user charges in various sectors like WS, Sewerage and SWM has been organized by ASCI / SLNA at Lucknow.</p>	<p>Implementation of rehabilitation of WS scheme and as per commitment target will achieve. A committee has been constituted under the chairmanship of Municipal commissioner for water Audit, Leakage, NRW and UFW. All aspects are being implemented by ANN and rest will be implemented by Completion of the Water Supply Project.</p>
	<p>4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %)</p> <p>Water Supply ----- 93% Sewerage ----- 70%</p>	<p>Water Supply & Sewerage In FY 2013-14 (till Dec'13) 1-O&M Expenditure---Rs. 26.19 Cr. 2-O&M Income----Rs 24.58 Cr. 3-O&M Recovery---93.86%</p> <p>Solid Waste Management</p> <p>In FY 2013-14 (till Aug'13)</p> <ul style="list-style-type: none"> • O & M Expenditure- Rs 357.51 Lakh. • . O&M Income---61.77 Lakh . 	<p>Water Supply & Sewerage In FY 2012-13 1-O&M Expenditure---Rs. 37.74 Cr. 2-O&M Income----Rs 37.33Cr. 3-O&M Recovery---98.91%</p> <p>Solid Waste Management UC Collection was started since Jan 2012 In FY 2012-13 1-O&M Expenditure---Rs.624.49 Lakhs 2-O&M Income----181.01 Lakhs 3-User Charges Collection -- 28.97%</p>
d)	Implementation of E-Governance in municipalities		
	<p>1-Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP Based on the National Mission Mode Project of Government of India, the design document will be prepared.</p>	<p>MEDD has been prepared. Provision in state level software solution. ULB level DPR based on Uttar Pradesh State Level software Solution is approved on 24th Feb'12 in CSMC, by GoI. MoA has already been signed by ULB/State/GoI.</p>	<p>Achieved ULB level DPR based on Uttar Pradesh State Level software Solution is approved on 24th Feb'12 in CSMC, by GoI. MoA has already been signed by ULB/State/GoI.</p>

2-Assessment of MEDD against National E-Governance Standards (E.g. Scalability, intra-operability & security standards etc.)	Completed.	Achieved Same as National Mission Mode Projects (NMMP). Full assessment of MEDD against standards will be done by proposed by SIC under e-Governance Project.
3-Finalization of Municipal E-Governance implementation action plan for the city	Action plan for all modules of e-Governance has been finalized and action plan is being implemented by Nagar Nigam.	Achieved Action plan for all modules of e-Governance has been finalized and action plan is being implemented by Nagar Nigam Action Plan has been incorporated in E-Governance state level DPR which has already been sanctioned by GoI and individual DPR which is prepared by Nagar Nigam has approved by GoI on 24 th Feb' 12 in 'CSMC by GoI
4-Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems	E-Governance modules are being used for every section in Nagar Nigam and every section is linked with each other.	Achieved e-Governance modules are being used for every section in Nagar Nigam and every section is linked with each other which meet out the purpose of BPR. Full Realization of BPR will be done in implementation Phase of E-Governance Project.
5-Appointment of Software consultant(s) / agency for development, deployment And training	M/s UPECL Ltd (for Computerization). and M/s CE Info systems (For GIS) has been appointed as Software consultant(s) / agency for development, deployment And training	Achieved M/s UPECL, M/s CE Info systems have been appointed as Software consultant(s) / agency for development, deployment And training
6-Exploring PPP option for different E-Governance services	PPP option has been adopted in various e-Governance services.	Achieved. PPP option has been adopted for few e-Governance modules. Cyber Citizens Points have been authorized by Nagar Nigam to provide civic services. Full Realization of PPP will be done in implementation Phase of E-Governance Project.

7-Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	<ul style="list-style-type: none"> ➤ Property tax Services are being provided online through Nagar Nigam website http://www.allahabdnagarnigam.in ➤ Online payment through payment gateway. ➤ Self Assessment Form (Residential / semi residential), Annual value calculator, and Monthly rates for ARV are also available at Nagar Nigam website. ➤ Computerized/online Bills are being generated on the basis of GIS based Property tax system ➤ Kiosk facility for the payment (Cheque/ Draft) and relevant information, 	Property tax Services are being provided online through website. One can see his/her bill at Nagar Nigam website www.allahabdnagarnigam.in and also can deposit dues using debit/credit cards. At Nagar Nigam Head Office, online cash counters are in function. All 5 Zonal Offices are connected with Head Office of ANN.
Water Supply & Other utilities	<ul style="list-style-type: none"> ➤ Water Supply & Other utilities Services are being provided offline Computerized Bills are being generated. ➤ All zones are connected trough lease line. 	<ul style="list-style-type: none"> ➤ Water Supply & Other utilities Services are being provided offline Computerized Bills are being generated . ➤ All zones are connected trough lease line..

	Accounting	<ul style="list-style-type: none"> ➤ The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual. ➤ The Opening Balance Sheet as of 1st April 2006 is audited and adopted by Nagar Nigam Board. ➤ The balance sheet of FY 2009-10 and FY 2010-11 have been finalized ➤ Provisional balance sheet of FY 2011-12 is also prepared. 	<p>The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual.</p> <p>All financial management and accounting aspects were covered in the finalization of OBS. Registers completed, but valuations of assets are under process. Only notional values are being assigned by ULB.</p> <p>The external audit of opening balance sheet as on 1.04.2006, 2006-07 and half yearly balance sheet of 2007-08 has been audited by M/s Vinay Krishna, CA and the further balance sheet of 2007-08 and 2008-09 is audited by independent CA firm.</p>
	Birth & Death Registration	<ul style="list-style-type: none"> ➤ Online / Offline computerized facility is being provided to citizens. ➤ Online Registration of Birth / Death service is available for hospitals also. ➤ Application status is also available at Nagar Nigam Website. ➤ Data of issued certificates has been digitized since Nov 2006 	<p>Online / Offline computerized facility is being provided to citizens. Online Registration of Birth / Death service is available for hospitals also. Application status is also available at Nagar Nigam Website.</p> <p>Data of issued certificates has been digitized since Nov 2006</p>

	Citizen's Grievance Monitoring	<ul style="list-style-type: none"> ➤ Web based application software is being used. ➤ Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. ➤ When complaint resolve, a SMS is sent to complainer for the resolving status. Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented since May 2010. 	<p>Web based application software is being used. Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. When complaint resolve, a SMS is sent to complainer for the resolving status. Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented since May 2010.</p>
	Personnel Management System	<ul style="list-style-type: none"> ➤ Web based application Software is being used. ➤ PMS is prepared and uploaded to Nagar Nigam website. ➤ Centralized PIS is also available at Directorate website. ➤ Employee Payroll Management System is also implemented. 	<p>Web based application Software is being used. PMS is prepared and uploaded to Nagar Nigam website. Centralized PIS is also available at Directorate website. Employee Payroll Management System is also implemented. Employee salary, Pension, PF, Bonus is maintained by Nagar Nigam Project Management System.</p>

Procurement and Monitoring of projects			
	<ul style="list-style-type: none"> • E-Procurement 	<ul style="list-style-type: none"> ➤ Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Nigam website. ➤ Evaluations of bids are being done through district level software solution. ➤ For fully e-Procurement system, proposals are being invited from the firms. 	<p>Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Nigam website. Evaluations of bids are being done through district level software solution. For fully e-Procurement system, proposals are being invited from the firms.</p>
	<ul style="list-style-type: none"> • Project / Ward Work 	<ul style="list-style-type: none"> ➤ At Nagar Nigam Level, For Project Management, One Project Management System Software has been prepared, which is under execution. ➤ Monitoring of JnNURM projects is being done by modified Project Management Information System (PMIS) 	<p>At Nagar Nigam Level, For Project Management, One Project Management System Software has been prepared, which is under execution. Monitoring of JnNURM projects is being done by modified PMIS System.</p>

	Building Plan Approval	<ul style="list-style-type: none"> ➤ Allahabad Development Authority provides this facility offline / online facility through its website http://ada.iita.ac.in/ ➤ ADA provided other citizen services like Enquiry details, application tracking, Enforcement Complaint etc. ➤ IVRS facility is being provided through web based application software. ➤ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. ➤ A state level software has been prepared named “Avasbandu’ for Building Permissions ➤ Digitization of building data is being updated regularly. ➤ Web based software has been procured by M/s UPECL Ltd. for the digitations of buildings data. 	Allahabad Development Authority provides this facility offline / online facility through its website http://ada.iita.ac.in/ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. State level software has been prepared named “Avasbandu’ for Building Permissions Digitization of building data is being updated regularly. Web based software has been procured by M/s UPECL Ltd. for the digitations of buildings data.
	Health Programs		
	<ul style="list-style-type: none"> • Licenses 	<ul style="list-style-type: none"> ➤ Computerized Licenses are being issued through application software. ➤ Online system for business Licenses are also being provided to citizens. ➤ For fully Online facility Web based application software has been procured by M/s UPECL Ltd., which is under execution 	Achieved. Computerized licenses are being issued through application software. Online system for business Licenses are also being provided to citizens.

	<ul style="list-style-type: none"> • Solid Waste Management 	<ul style="list-style-type: none"> ➤ Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally. ➤ The other financial aspects of SWM like user charges etc covered by this application. 	<p>Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally.</p>
	Any Other Module RTI, Property Lease & Rent, Tender System	Online/ offline both facility are being provided to citizens.	Online/ offline both facility are being provided to citizens.
e)	Earmarking of funds for basic services to the poor		
<p>BUDGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for ‘Services to the Poor’ ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for ‘Services to Poor’. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----20% ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income -----20% ➤ Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure -----20% 	<p>Separate outcome budget has been prepared for 2012-13 for BSUP.</p> <p>Total 25% Municipal Development budget of the year 2013-14 is allocated for BSUP.</p>	<p>I- 25% Separate Budget has been prepared for 2012-13 for BSUP for developmental works.</p> <p>Total 25% Municipal Development budget of the year 2012-13 was Allocated for BSUP.</p>	

f)	Basic Services to Urban Poor	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows:</p> <p>1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services</p> <p>2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land</p> <p>3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows:</p> <p>1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services</p> <p>2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land</p> <p>3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>
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Optional Reform

SI No	Commitment as per the MoA	Progress during the Quarter (October 2013-December 2013)	Cumulative progress as 31.12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days.	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online
B	Maximum Reduction of Average time for Building Sanction		

			<p>facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated-04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.</p>
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storied Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will

			<p>verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the</p>
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			Developer will not include the Density & FAR of EWS/ LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.
Earmarking of Land for EWS/LIG Housing and a system of cross subsidy			
A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.	<p>Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and</p>
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		

			F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>	Nagar Nigam is following the byelaws framed by Govt. in National Building Code	. Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Control Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.
Structural Reform			
A	New Initiatives planned within organisation	1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.	All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office. Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies	2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments	

			<p>Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Nigam level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.</p>
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Administrative Reform			
A	1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems	As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure	A committee was constituted vide GO no. 1495/9-1-2006 dated 12, Sepe 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.
Encouraging PPP			
A	List down the city level project initiatives planned through PPP in the next three years	1)SWM project of NNM is in progress on PPP Model. (2)Construction of Modern Slaughter House. (3)Replacement of low efficiency water pumps to energy efficiency pumps. (4)E-Governance project of NNM services.	GO-No- 1- 1783/-9-1-01-66सा/01, दिनांक 22-6-2002- 4584 / नौ-1-04-2(9) / 2002 दिनांक 13-1-2004 3-4323 / 9-1-2005- 66सा / 2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा / 2001टीसी दिनांक 21-3-2006

		EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps and Street Lights on PPP model.	Done Act amended. Order issued GO-No- 1855/9-5-08-54EO/2007 dated 6.8.2008. Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009.
--	--	--	--

<p>2. MoA</p> <p>In case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering into tripartite MoA</p> <p><u>Month / Year</u> 08-01-2007</p>
<p>3. List initiatives taken if any for creation of a Revolving Fund at the city level (<i>please mention in brief bullet point</i>)</p>
<p>⇒ No</p>
<p>4. Any innovations / good practices in urban governance, project development and service delivery implemented in the city during the reporting period (<i>please mention in brief bullet point</i>)</p>
<p>⇒ Door to Door collection of Solid Waste started for 80 wards.</p> <p>⇒ Some parks beatification started at PPP.</p> <p>⇒ Operation & Maintenance of Shelter Homes at PPP.</p> <p>⇒ Bus Stand at PPP</p> <p>⇒ 19 Over head tank, 4 Nos. Clear water reservoir & 33 Tube Wells are operational for public utility (Water Supply Part-I)</p> <p>⇒ 18 Over head tank, 3 Nos. Clear water reservoir & 46 Tube Wells are operational for public utility (Water Supply Part-II)</p>

Signature & Date
Urban Local Body²

² In case of cities with multiple ULBs, the concerned person from the largest ULB in the cost should sign on behalf of all ULBs in the urban agglomeration

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

Quarter Ending 31-12-2013

(ALLAHABAD WATER SUPPLY PART-I)

(Sanctioned Cost Rs. 89.69 Cr.)

1	Project Title:	ALLAHABAD WATER SUPPLY Part-I	3	Project Bank A/c No:	A/c 1001000100625024
2	Project code:	Water Supply		& Name & Address of Bank	PNB, Colonelganj, Allahabad.
	Implementing Agency:	U.P. Jal Nigam	4	Project Cost (in Rs. Lakhs)- as Sanctioned	Rs. 8969.00 Lakhs

All amounts are in Rs. Lakhs

5. Budget Allocation by ULB / parastatal agency							
Allocation in ULB / parastatal agency budget for this project in current financial year							
6. Capital Contributions to the project and inflows ³							
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported October 2013-December 2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7 = (5+6)	8= (3-7)
1	Gol	4484.50	50%	4484.50	0.00	4484.50	0.00
2	State	1793.80	20%	1793.80	0.00	1793.80	0.00
3	ULB	2690.70	30%	2690.70	0.00	2690.70	0.00
4	Other						
	Total	8969.00		8969.00	0.00	8969.00	0.00

³ Note (For filling table) :

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amount for all sources should correspond to date / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending released for current financial year and should be linked to project implementation schedule.

⁴ From start of the project

	Total interest accumulated in bank account to date	At ULB Level Rs. 22.93 Lakhs
		At UP J.N Rs. 102.65 Lakhs
		Total Rs. 125.58 Lakhs

All amounts are in Rs. lakhs

7. Monitoring Funds Utilisation⁵ for the project

Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter (Sep 13)	During the Last Quarter being reported (Oct 13- Dec 13)	Cumulative Expenditure as on 31-12-2013		
1	2	3	4 = (2+3)	5	5
1	192.720	0.00	192.720		All installments have been released by MoUD
2	241.830	0.00	241.830		
3	375.320	0.00	375.320		
4	668.180	0.00	668.180		
5	436.480	0.00	436.480		
6	363.730	0.00	363.730		
7	1255.680	0.00	1255.680		
8(A)	86.020	0.00	86.020		
8(B)	21.330	0.00	21.330		
9	646.580	0.00	646.580		
10	426.670	0.00	426.670		
11	349.410	0.00	349.410		
12	412.440	0.00	412.440		
13	201.380	0.00	201.380		
14	147.440	0.00	147.440		
15	14.320	0.00	14.320		
16	32.500	0.00	32.500		
17	28.800	0.00	28.800		
18	281.230	0.00	281.230		
19	0.000	0.00	0.000		
20	2191.940	-87.90	2104.04		
Total	8374.000	-87.90	8286.10		
Utilisation of funds as % of funds received from all sources for the project as on date					92.38 %

1-Utilisation implies – draws from the project bank account for payments pertaining to the project

2-From the start of the project

All amounts are in Rs. Lakhs

8. Project Implementation monitoring

List all tender packages proposed for the project		Cost (in Rs. lakhs			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release Date	Tender Award Date	(Work not started / Under Progress / completed)	% of work completed (Physical Progress)	Schedule date (as per DPR)	Estimated completion date
(i)	R.C.C. C.W.R.	193.72	182.70	-	4/12/07	12/2/2008		100%	18-04-08	30.11.2012
	Dariyabad 1000 Kl.						complete			
	Kareli 2000 Kl.						complete			
	Bashir Park 1500 Kl.						complete			
	Swroop Rani Park 1465 Kl.						complete			
(ii)	R.C.C. Over Head Tank	275.00	247.00	-	4/12/07	12/2/2008			17-04-08	30.06.2012
	Gaus Nagar 2000 Kl./20 M						Complete	100%		
	Kashari Mashari 2500 Kl./22 M						Complete	100%		
(iii)	R.C.C. Over Head Tank	381.50	380.65	-	4/12/07	12/2/2008			18-04-08	31-08-2011
	Chakiya 1200 Kl./18 M						Complete	100%		
	Rajrooppur 750 Kl./18 M						Complete	100%		
	Beniganj 1000 Kl./18 M						Complete	100%		
	Kalindipuram 1200Kl./18 M						Complete	100%		
	Bhwapur 1500 Kl./20 M						Complete	100%		
(iv)	R.C.C. Over Head Tank	725.00	636.00	-	4/12/07	12/2/2008			18-04-08	31.07.2010
	Meera Patti 2000 Kl/18 M						Complete	100 %		
	Neam Sarai 2500 Kl./22 M						Complete	100 %		
	Sulem Sarai 2500 Kl./22 M						Complete	100 %		
	Jyantipur 2500 Kl/22 M						Complete	100 %		
	Adarsh Nagar 2500 Kl/22 M						Complete	100 %		

(v)	R.C.C. Over Head Tank	481.34	440.00	-	4/12/07	12/2/2008			18-04-08	31.08.11
	Mumfordganj 2000 Kl/18 M						complete	100%		
	Katra 1875 Kl./22 M						complete	100%		
	Kamla Nagar 2000 Kl/18 M						complete	100%		
	Ashok Nagar 2000 Kl./22 M						Complete	100%		
(vi)	R.C.C. Over Head Tank	387.43	348.07	-	4/12/07	12/2/2008			18-04-08	31.03.12
	Om Gayatri Nagar 2550 Kl/22 M						complete	100%		
	Katju Ka Bagh 2000 Kl/20 M						Complete	100%		
	Bhairahna Park 2000 Kl./20 M						Complete	100%		
(vii)	Laying of pipe line in colonganj, Civil line, Atala, lukerganj & Sulem Sarai, Rasoolabad, Phaphamau and Naini Zone of Allahabad city excluding cost of material including cost of all labour, T&P etc. complete	250.00	207.396	-	18/1/08	6/5/2008	Laid 156 Km. Commissioned 153 km.	100%	14-05-08	30.06.13
(viii) A	Drainage system for back wash arrangement for Khushroobag treatment plant, Changing of filter media of rapid gravity filter at Khushroobagh Water works.	194.85	56.668	-	18/1/08	25-03-08		100%	18-04-08	31.12.11
(viii) B	Repair of O.H.T. & C.W.R.	20.00	19.60			24-03-08	Complete	100 %	11/4/2008	11.04.08
(ix)	Construction of Sepior Engineer quarter (4 Nos.), Zonal office & training center, boundary wall, 33 Nos. pump house, repair of 7 Nos. pump house & Boundary wall at different places in Allahabad city and construction of boosting station at Dariyabad & Kareli C.W.R. & Construction of road at Khushroobagh water works etc complete.	253.370	96.948	-	18/1/08	20-02-08	Complete	100%	18-04-08	30.09.12
								Work complete as per site available.		

(x)	Construction of 145 m deep 350 x 200 mm size T.W. in Allahabad city 51 Nos.	421.77	-	-	16/01/08	-	18 Nos. Rebores T.W complete 33 Nos. New T.W. complete & 33 Nos. commissioned	100%	-	15.06.10
(xi)	(a) Supply and installation of Sumersible pumping plant of different capacity 74 Nos.	311.64	293.33	-	16/01/08	28-03-08	102 Nos. received & 102 Installed & 102 commissioned	100%	-	31.03.11
	(b) Supply of spare starter and shaft 6 Nos.	33.70	26.26	-	16/01/08	28-03-08	Complete	100 %	-	-
(xii)	Supply and installation of different capacity of _ccessorie centrifugal pumping plant at Zonal pumping station 22 Nos.	377.31	334.97	-	18/01/08	28-03-08	22 Nos. Pump received & 22 Installed & 22 commissioned	100 %	-	30.11.12
	Kareli & Dariyabad 6 Nos.	111.15	100.06	-	23.08.08		6 Nos. received & 6 Installed & 6 commissioned	100 %	10.09.08	30.06.11
(xiii)	(a) Construction of sub-station at following pumping station									
	(i) Rani Mandi 11/0.44KV, 400 KVA 1 No.	54.73	43.92	-	18/02/08	14-03-08	Received	-	-	30.11.12
	(ii) Swroop Rani Park 11/0.44 KV, 250 KVA 1 No.	50.57	39.55	-	18/02/08	14-03-08	Received	100%	-	31.10.11
	(iii) Supply and installation of 11/0.44 KV, 100 KVA transfer and other misc. work in zone-3 6 Nos.	10.80	10.80	-	18/02/08	14-03-08	Received	100%	-	31.03.11
	(iv) Kareli 11/0.44 KV, 250 KVA 1 No.	53.02	50.14		23-08-08	05-09-08	Received	100%	-	31.10.11
	(v) Dariyabad 11/0.44KV, 160 KVA 1 No.	48.83	45.39		23-08-08	05-09-08	Received	100%	-	31.10.11
(xiv)	Supply and installation of Auto matic control _cces 148 Nos.	148.00	135.72	-	18/01/08	29-03-08	148 Nos. received 148 Nos. installed	100%	-	31.10.11
(xv)	Supply of Three phase 415 volt A.C. suuply 60 KVA Genreator mounted on trolley _ccessor with all _ccessories for zone-3 2 Nos.	14.40	14.25	-	18/01/08	11/3/2008	Complete	100 %	30.09.08	30.09.09

(xvi)	Supply of 50 H.P. Blower and 25 H.P. aear compreser at Khushroobagh pumping station 1 Set	30.00	29.98	-	18/01/08	22-8-2008	Complete	100%	-	31-03-2010
(xvii)	(a) Supply and installation of electro machinacle type clorinator 51 Nos.	20.40	11.22	-	18/01/08	25-03-08	51 Nos. received installed	100 %	-	31-03-2010
	(b) Supply of vaccum type clorinator and repairing 1 Job	10.00	4.00	-	18/01/08	25-03-08	supplied		-	31-03-2010
(xviii)	Power connection	282.09	-	-	-	-	37 Nos.	100 %	-	31-07-2013
(xxi)	Meter system	595.00	-	-	-	-	-		-	31-01-2014
(xx)	Material (Package-7 pipe, specials etc. and road cutting	2972.18	-	-	-	-		100 %	-	31.10.11
	Total	8707.80								
	Contingence 3%	261.23								
	Total	8969.034								
	Say	8969.00								

Schedule completion date of Project as per DPR⁷ approved by CSMC: 03/2010

Actual duration (in months) for project completion : 24 Months

Estimated time for completion of Project as on date: *(January 2014)*

If there a difference between schedule date of completion and estimated date of completion: *-Yes*

In case Yes. then what are the reasons for the delay, please select from the list below.

Sl. No.	List of Issue	Yes / No	Brief remarks in the reason for delay
i.	Delay related to fund release into Project Account	Yes	Delay in Release of fund.
ii.	Issue related to cost escalation	No	
iii.	Delay in tendering process	No	
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment / materials/ technology	No	
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues.	No	
ix.	Any other issues / constraints in project implementation.	Yes	Delay in availability of land for construction of OHT/CWR / TW

⁷Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from Gol as start date for the project

10. Status of Various Initiatives :				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained		
		Number of Non Official Trained		
2	Work shops			
		National Level		
		State Level		
		Regional Level		
3	Other) Please specify Key initiatives)			

11. Issues in Project Monitoring and Inspections			
Sl. No.	Particulars	Carried out by SLNA/ GoI/State Govt.	Remark.
1	Inspections carried out by SLNA / GoI Officers	-	-
2	Date of Inspection	-	-
3	Issues reported during Inspections	-	-
4	Course correction done	-	-
5	Suggestions, if any, for project monitoring and MIS	-	-

⁸ In case of Projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participation in the project.

QUARTERLY PROJECT PROGRESS TRACKING SHEET

Project Name – **Allahabad Water Supply Scheme Part-1**

Project Code

Project Cost - **Rs 89.69 Crore**

PHYSICAL PROGRESS									
S. No..	Components(Package wise)	Approved Cost (Rs. In Cr.)	Awarded Cost (Rs. In Cr.)	Cumulative Physical Progress (%)					
					Up to March2013	Financial Year 2013-14			
						Q1 (Apr-Jun) Cumulative Targeted	Q2 (July-Sept) Cumulative Targeted	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
1	Package - 1	1.937	1.827	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
2	Package-2	2.750	2.470	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
3	Package-3	3.815	3.806	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
4	Package-4	7.250	6.360	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
5	Package-5	4.813	4.400	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
6	Package-6	3.874	3.4807	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
7	Package-7	2.50	2.0739	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
8	Package-8 (a)	1.948	0.567	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
9	Package-8 (b)	0.200	0.196	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	

10	Package-9	2.534	0.969	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
11	Package-10	4.217	4.217	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
12	Package-11	3.453	3.196	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
13	Package-12	4.885	4.350	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
14	Package-13	2.744	1.898	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
15	Package-14	1.480	1.357	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
16	Package-15	0.144	0.143	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
17	Package-16	0.300	0.299	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
18	Package-17	0.304	0.152	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
19	Package-18	2.821	-	Targeted	100%	100%	100%	100%	
				Achieved	100%	97%	100%	100%	
20	Package-19	5.950	-	Targeted	100%	100%	100%	100%	
				Achieved	-	-	-	-	
21	Package-20	29.722	27.722	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	

CUMULATIVE FINANCIAL PROGRESS

		Previous Quarter Cumulative (Actual) Up to March 2013	Q1 (Apr-Jun) Cumulative Targeted	Q2 (July-Sept) Cumulative Targeted	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
	Actual Utilization (Rs. In Cr.)					
On Approved cost (Rs. In Cr.)	71.89	80.65	80.65	83.74	78.74	
On awarded cost (Rs. In Cr.)	71.89	80.65	80.65	83.74	78.74	

Note

Q1 stands for 1st quarter of financial Year 2013-14

Each Project to be reported in separate sheet

This information should be send along with the QPR as additional information.

(G.C. Dubey)
Executive Engineer
Second Division
U.P. Jal Nigam, Allahabad

(Pradeep Kumar)
Municipal Commissioner

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

Quarter Ending 31-12-2013

(ALLAHABAD WATER SUPPLY PART-II)

(Sanctioned Cost Rs. 162.34 Cr.)

1	Project Title:	ALLAHABAD WATER SUPPLY Part-II	3	Project Bank A/c No:	A/c No 1001000100637214
2	Project code:	Water Supply		& Name & Address of Bank	PNB, Colonelganj, Allahabad.
	Implementing Agency:	U.P. Jal Nigam (Second Division, Allahabad)	4	Project Cost (in Rs. Lakhs)- as Sanctioned	Original Cost- Rs.16234.00 Lakhs Revised Cost- Rs.15915.22Lakhs

All amounts are in Rs. Lakhs

5.	Budget Allocation by ULB / parastatal agency						
Allocation in ULB / parastatal agency budget for this project in current financial year					Rs. <u>2435.10</u> Lakhs		
6. Capital Contributions to the project and inflows³							
S.No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter (Sep- 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31-12-2013	
1	2	3	4	5	6	7 = (5+6)	8= (3-7)
1	Gol	7957.61	50%	7161.85+795.76*=7957.61	0.00	7957.61	0.00
2	State	3183.05	20%	3183.05	0.00	3183.05	0.00
3	ULB	4774.56	30%	4774.56	0.00	4774.56	0.00
4	<i>Other</i>						
	Total	15915.22	100%	15915.22	0.00	15915.22	0.00

Note: 10% of ACA (Rs. 795.76 Lakhs) which was holdup by Gol, has been released by GoUP.

³ Note (For filling table):

- (1) Quarter is defined to be aligned with the financial year time frames
- (2) Actual dates / amount for all sources should correspond to date / amounts of actual cash inflow in the project bank account
- (3) Amounts committed pending released for current financial year and should be linked to project implementation schedule.

⁴ From start of the project

	Total interest accumulated in bank account to date	At ULB Level Rs. 38.07 Lakhs
		At UP JN Level Rs. 132.12 Lakhs
		Total Rs. 170.19 Lakhs

All amounts are in Rs. lakhs

7. Monitoring Funds Utilisation⁵ for the project

Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for Next Installment
	Up to end of last reporting Quarter Sep 2013	During the Last Quarter being reported Oct 13- Dec 13	Cumulative Expenditure as on 31-12-2013		
1	2	3	4 = (2+3)	5	5
1 (L&T)	9482.60	490.40	9973.00	--	
2 (A.C. pipe)	724.25	-22.19	702.06	--	
3 (Road Cutting)	1955.40	0.00	1955.40	--	
4 (Leak Detection)	0.00	0.00	0.00	--	
5 (SCADA)	300.00	0.00	300.00	--	
6 (Metering)	0.00	0.00	0.00	---	
7 (power Connection)	206.25	0.00	206.25	--	
8 (Contingencies)	144.76	0.92	145.68	--	
Total	12813.26	469.13	13282.39		
Utilization of funds as % of funds received from all sources for the project as on date					83.46 %

⁵ Utilization implies – draws from the project bank account for payments pertaining to the project

⁶ From the start of the project

Note:- Rs. 849.65 Lacs balance of the payment made as mobilization advance is included in the total expenditure.

All amounts are in Rs. Lakhs

8. Project Implementation monitoring

List all tender packages proposed for the project		Cost (in Rs. lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release Date	Tender Award Date	(Work not started / Under Progress / completed)	% of work completed (Physical Progress)	Schedule date (as per DPR)	Estimated completion date
1	Works of T.W., C.W.R, O.H.T. and pipe laying (Distribution system & rising main) on Turn-key basis	11090.00	12190.00	-	12.02.09	15-10-09	Testing in Progress	98%	Mar-2011	Mar-2014
2	Supply of A.C. pressure pipe	919.45	-	-	-	-	-	100%	Mar-2011	Complete
3	Road reinstatement	1509.66	-	-	-	-	-	100%	Mar-2011	Complete
4	Leak Detection system	285.00	-	-	-	-	-	-	Mar-2011	Mar-2014
5	SCADA system	445.55	-	-	-	-	-	-	Mar-2011	Mar-2014
6	Metering system	1330.00	-	-	-	-	-	-	Mar-2011	Mar-2014
7	Power connection	335.56	-	-	-	-	-	95%	Mar-2011	Mar-2014
8	Contingencies	318.78	-	-	-	-	-	50%	Mar-2011	Mar-2014
	Total	16234.00								

* Tender is Under Finalization.

(G.C. Dubey)
Executive Engineer
Second Division
U.P. Jal Nigam, Allahabad

(Pradeep Kumar)
Municipal Commissioner

Schedule completion date of Project as per DPR⁷ approved by CSMC: 03/2011

Actual duration (in months) for project completion : 39 Months

Estimated time for completion of Project as on date: (March 2014)

If there a difference between schedule date of completion and estimated date of completion: -Yes

In case Yes. Then what are the reasons for the delay, please select from the list below.

Sl. No.	List of Issue	Yes / No	Brief remarks in the reason for delay
i.	Delay related to fund release into Project Account	Yes	Delay in Release of fund.
ii.	Issue related to cost escalation	No	
iii.	Delay in tendering process	No	
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment / materials/ technology	No	
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues.	No	
ix.	Any other issues / constraints in project implementation.	Yes	Delay in availability of land for construction of OHT/CWR / TW

⁷Please specify month and year of completion as envisaged in the DPR, taking into accounts the release of first installment of funds from Gol as start date for the project

10. Status of Various Initiatives :				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained		
		Number of Non Official Trained		
2	Work shops			
		National Level		
		State Level		
		Regional Level		
3	Other) Please specify Key initiatives)			

11. Issues in Project Monitoring and Inspections			
Sl. No.	Particulars	Carried out by SLNA/ GoI/State Govt.	Remarks
1	Inspections carried out by SLNA / GoI Officers		
2	Date of Inspection		
3	Issues reported during Inspections		
4	Course correction done		
5	Suggestions, if any, for project monitoring and MIS		

⁸ In case of Projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participation in the project.

QUARTERLY PROJECT PROGRESS TRACKING SHEET

Project Name – Allahabad Water Supply Scheme Part-2

Project Code

Project Cost - Rs 162.34 Crore

PHYSICAL PROGRESS									
S.No..	Components(Package wise)	Approved Cost (Rs. In Cr.)	Awarded Cost (Rs. In Cr.)	Cumulative Physical Progress (%)					
					Up to March2013	Financial Year 2013-14			
					Previous Quarter Cumulative (Actual)	Q1 (Apr-Jun) Cumulative Targeted	Q2 (July-Sept) Cumulative Targeted	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
1	Works of T.W., C.W.R, O.H.T. and pipe laying (Distribution system & rising main) on Turn-key basis	110.90	121.90	Targeted	100%	100%	100%	100%	
				Achieved	95%	97%	98%	98%	
2	Supply of A.C. pressure pipe	9.19	-	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
3	Road cutting and reinstatement	15.09	-	Targeted	100%	100%	100%	100%	
				Achieved	100%	100%	100%	100%	
4	Leak Detection system	2.85	-	Targeted	50%	50%	50%	50%	
				Achieved	-	-	-	-	
5	SCADA system	4.45	-	Targeted	50%	50%	50%	50%	
				Achieved	-	-	-	-	
6	Metering system	13.30	-	Targeted	80%	80%	80%	80%	
				Achieved	-	-	-	-	
7	Power connection	3.35	-	Targeted	100%	100%	100%	100%	
				Achieved	95%	97%	97%	97%	
8	Contingencies	3.18	-	Targeted	50%	50%	50%	50%	
				Achieved	50%	50%	50%	50%	

CUMULATIVE FINANCIAL PROGRESS

		Previous Quarter Cumulative(Act ual) Up to March2013	Q1 (Apr-Jun) Cumulative Targeted	Q2 (July-Sept) Cumulative Targeted	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
	Actual Utilization (Rs. In Cr.)					
On Approved cost (Rs. In Cr.)	79.61	124.22	125.31	128.13	131.03	
On awarded cost (Rs. In Cr.)	79.61	124.22	125.31	128.13	131.03	

Note

Q1 stands for 1st quarter of financial Year 2013-14

Each Project to be reported in separate sheet

This information should be send along with the QPR as additional information.

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

Quarter Ending 31-12-2013

(ALLAHABAD SEWERAGE SCHEME PHASE-I, ZONE-D)

(Sanctioned Cost Original-Rs. 35598.00 Lakhs / 33826.00 Lakhs / Revised Cost– 38330.00 Lakhs)

1	Project Title:	ALLAHABAD Sewerage Scheme Zone-D Phase-I	3	Project Bank A/c No:	A/c No -1011000100638596
2	Project code:			& Name & Address of Bank	PNB, Civil Lines, Allahabad
	Implementing Agency:	U.P. Jal Nigam	4	Project Cost (in Rs. Lakhs)- as Sanctioned	Original -Rs. 33826.00 lakhs Revised – Rs. 38330.00 lakhs

<i>All amounts are in Rs. Lakhs</i>								
5.	Budget Allocation by ULB / parastatal agency							
	Allocation in ULB / parastatal agency budget for this project in current financial year					Rs. <u>2536.89</u> Lakhs		
6. Capital Contributions to the project and inflows								
S.No.	Sources	Commitment based on approved project cost	Commitment based on Revised Project cost	% of total project cost	Actual release up to end of last reporting quarter Sept-2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
						During the last quarter being reported Oct 13-Dec 13	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7 = (5+6)	8= {3(b)-7}
1	Gol	16913.00	16913.00	50%	10993.45 + 1691.30* =12684.75	0.00	12684.75	4228.25
2	State	6765.20	11269.20	20% +100%	9577.90	0.00	9577.90	1691.30
3	ULB	10147.80	10147.80	30%	10147.80	0.00	10147.80	0.00
4	Other							
	Total	33826.00	38330.00	100%	32410.45	0.00	32410.45	5919.55

Note: The additional cost as approved in revised Project cost is included in State share as 100%.

** 10 % of ACA (Rs.1691.30 Lakhs) which was holdup by Gol, has been released by GoUP.*

³ **Note** (For filling table) :

(1) Quarter is defined to be aligned with the financial year time frame

(2) Actual dates / amount for all sources should correspond to date / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending released for current financial year and should be linked to project implementation schedule.

⁴ From start of the project

	Total interest accumulated in bank account to date	At ULB Level Rs. 55.00 Lakhs
		At UP JN Level Rs 364.00 Lakhs Total: Rs. 429.00 lakhs

All amounts are in Rs. Lakhs

7.	Monitoring Funds Utilisation⁵ for the project
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Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter Sep 2013	During the Last Quarter being reported Oct 13- Dec 13	Cumulative Expenditure as on 31-12-2013		
1	2	3	4 = (2+3)	5	5
CB No. 2/GM/2009-10	18001.19	-	18001.19	5919.55	3 rd UC is pending at Gol since 06.12.2013
For 150 mm dia sewer I 18 CB's	1616.91	32.28	1649.19		
Road Reinstatement	2597.86	25.53	2623.39		
Misc. / Contingencies	180.06	4.37	184.43		
Centage	2435.75	-	2435.75		
Total	24831.77	62.18	24893.95	5919.55	
Utilization of funds as % of funds received from all sources for the project as on date					76.80 %

⁵ Utilization implies – draws from the project bank account for payments pertaining to the project

⁶ From the start of the project

All amounts are in Rs. Lakhs

8. Project Implementation monitoring

List all tender packages proposed for the project		Cost (in Rs. lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release Date	Tender Award Date	(Work not started / Under Progress / completed)	% of work completed (Physical Progress)	Schedule date (as per DPR)	Estimated completion date
1	Sewerage Works	23677.52	28700.00	-	28.07.2009	30.09.2009	Under Progress	63%	Mar-2012	Mar-2014
2	Supply of 150 mm Ø RCC Pipe	1573.86	103.16	-	26.11.2009	27.03.2010	Completed	100%	Mar-2012	Mar-2014
3	Laying of 150 mm Ø sewer		1470. 70		27.11.2009	30.04.2010	Under Progress	68%	Mar-2012	Mar-2014
4	Laying of 150 mm Ø sewer	1178.18			15.05.2010	002.07.2010	Under Progress	75%	March 2012	Mar-2014
5	Misc. / Contingencies									
i	Road Reinstatement Charges (To be paid to ANN/ PWD)	6323.19					Under Progress	75%	Mar-2012	Mar-2014
ii	Power Connection Charges	245.00							Mar-2012	Completed
iii	Contingencies	659.96								
iv	Administration	168.29	-	-	-	-	-	-	-	-
Total		33826.00								

**(R. K. Tripathi)
General Manager**

**(Pradeep Kumar)
Municipal Commissioner**

Schedule completion date of Project as per DPR⁷ approved by CSMC: 02/2012

Actual duration (in months) for project completion : 57 Months

Estimated time for completion of Project as on date: (**March 2014**)

In there a difference between schedule date of completion and estimated date of completion: - yes

In case Yes. then what are the reasons for the delay, please select from the list below.

Sl. No.	List of Issue	Yes / No	Brief remarks in the reason for delay
i.	Delay related to fund release into Project Account	No	
ii.	Issue related to cost escalation	No	
iii.	Delay in tendering process	Yes	Tender approved on 30-09-09 and as per tender work period is 3 years so date of completion as per CB is Oct. 2012
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment / materials/ technology	No	
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues.	No	
ix.	Any other issues / constraints in project implementation.	Yes	<ol style="list-style-type: none">1. STP Land has been eroded by the river. Required 41 Ha adjacent land has been made available informally by the Dist. Authorities only in January 2011. So activity of Rajapur SPS, STP & ring bund delayed.2. Construction of Mori Gate SPS & its rising main is held up due to delay in transfer of defence land which has been transferred only in the month of July 2011. So activity of Moigate SPS & its rising main was delayed.

⁷Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from Gol as start date for the project

10. Status of Various Initiatives :				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained		
		Number of Non Official Trained		
2	Work shops			
		National Level		
		State Level		
		Regional Level		
3	Other) Please specify Key initiatives)			

11.	Issues in Project Monitoring and Inspections	
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Sl. No.	Particulars	Carried out by SLNA/ GoI/State Govt.	Remarks
1	Inspections carried out by SLNA / GoI Officers	SLNA-12th MARCH 2010, 29 & 30.5.11 GOI – 28th -31th Dec. 2010, 14th Sept 2011	
2	Date of Inspection		
3	Issues reported during Inspections		
4	Course correction done		
5	Suggestions, if any, for project monitoring and MIS		

**(R. K. Tripathi)
General Manager**

**(Pradeep Kumar)
Municipal Commissioner**

⁸ In case of Projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participation in the project.

QUARTERLY PROJECT PROGRESS TRACKING SHEET

Project Name – Sewerage Works in Sewerage District D of Allahabad

City

Project Code

Project Cost - Rs 383.30 Crore (Revised)

PHYSICAL PROGRESS									
S. No	Components(Package wise)	Approved Cost (Rs. In Cr.)	Awarded Cost (Rs. In Cr.)	Cumulative Physical Progress (%)					
					Upto March2013	Financial Year 2013-14			
					Previous Quarter Cumulative (Actual)	Q1 (Apr-June) Cumulative Targeted	Q2 (July-Sept) Cumulative Targeted	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
1	Package - 1	236.78	287.00						
	Sewer (200-1400 mm ø)			Targeted	125000m	130000m	130000m	130000m	
				Achieved	89950 m	96950 m	97000 m	97300m	
	Desilting & Rehabilitation of Sewer			Targeted	5000 m	5400 m	5400 m	5400 m	
				Achieved	2110 m	2110 m	2110 m	2925 m	
	Renovation of Existing SPS			Targeted	100%	100%	100%	100%	
				Achieved	95%	95%	95%	95%	
	Construction of New SPS			Targeted	95%	95%	95%	95%	
				Achieved	95%	95%	95%	95%	
	Rising Mains			Targeted	7850 m	7850 m	7850 m	7850 m	
				Achieved	7850 m	7850 m	7850 m	7850 m	
	Construction of Ring Bund			Targeted	70%	80%	80%	80%	
				Achieved	40%	45%	45%	45%	
	Construction of STP			Targeted	70%	80%	80%	80%	
	Achieved	60%	61%	61%	61%				

2	Package - 2	27.52	27.52						
	Sewers (150 mm ø)			Targeted	85000 m	95000 m	95000 m		
				Achieved	62650 m	62780 m	62980 m		
3	Misc.								
	Road Reinstatement	63.23			80%	85%			
	Power Connection	2.45			100%	100%			
	Contingencies	6.60							
	Administration	1.68							
	Additional Cost to be borne by the State	20.68							
	Centage	24.36							
	Total	383.30							

Note :-

- 1- Construction of Rajapur STP, Rajapur SPS & ring bund has not been taken due to the resign of the STP site into the river. Informally by the dist. Authorities only in January 2011. So activity of Rajapur SPS, STP & ring bund delayed.
- 2- Construction of Mori gate SPS & its riding main is held up due to delay in transfer of defense land which in under process.
- 3- Work was held up during monsoon season.

CUMULATIVE FINANCIAL PROGRESS

		Previous Quarter Cumulative(Actual) Up to March 2013	Q1 (Apr-June) Cumulative Actual	Q2 (July-Sept) Cumulative Actual	Q3 (Oct-Dec) Cumulative Targeted	Q4 (Jan-March) Cumulative Targeted
	Actual Utilisation (Rs. In Cr.)					
On Approved cost (Rs. In Cr.)	238.23	24116.74	423.48	584.99	62.18	
On awarded cost (Rs. In Cr.)	238.23	24116.74	423.48	584.99	62.18	

Note

Q1 stands for 1st quarter of financial Year 2013-14

Each Project to be reported in separate sheet

This information should be send along with the QPR as additional information.

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

Quarter Ending 31.12.2013

(MUNICIPAL SOLID WASTE MANAGEMENT IN ALLAHABAD)

(Sanctioned Cost Rs. 3041.49 Lakhs)

1	Project Title:	Integrated Municipal Solid Waste Management in Allahabad	3	Project Bank A/c No.:	A/C No-1001000100631900
2	Project code:			Name & Address of Bank:	PNB Colonel Ganj Allahabad
	Implementing Agency:	C&DS U.P. Jal Nigam Unit-10, Allahabad	4	Project Cost (in Rs. Lakhs)- as Sanctioned	Rs. 3041.49 Lakhs

All amounts are in Rs. Lakhs

5.	Budget Allocation by ULB/Parastatal agency
	<i>Allocation in ULB/parastatal agency budget for this project in current financial year</i> Rs. 684.34 lakhs

6. Capital Contributions to the project and inflows³							
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last reporting quarter Sept-2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported Oct 2013 – Dec 2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7 = (5+6)	8 = (3-7)
1.	Gol	1520.75	50%	1368.67+152.08*= 1520.75	0.00	1520.75	0.00
2.	State	608.29	20%	608.29	0.00	608.29	0.00
3.	ULB	912.45	30%	912.45	0.00	912.45	0.00
4.	Others	-	-	-		-	-
Total		3041.49		3041.49	0.00	3041.49	0.00

Note: * 10 % of ACA (Rs.152.08 Lakhs) which was holdup by Gol, has been released by GoUP.

	Total interest accumulated in bank account to date	At ULB Level	Rs. 30.91 Lacks
		At U.P. JN Level	Rs. 38.86 Lacks

All amount are in Rs. Lakhs

7. Monitoring Funds Utilisation ⁵ for the project:					
Tender Package No.	Up to end of last reporting Quarter Sept-2013	During the last quarter being reported (Dec 2013)	Cumulative Expenditure as on 31.12.2013	Estimated expenditure for next quarter	Expected time to request for next Installment
1	2	3	4	5	6
1	2660.00	0.00	2660.00	379.89	10% of held up ACA has to be release by GoI
Total	2660.00	0.00	2660.00	379.89	
Utilisation of funds as % of funds received from all sources for the project as on date					87.45 %

All amounts are in Rs. Lakhs

8. Project Implementation Monitoring:

List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On Completion	Tender Release Date	Tender Award Date	(Work Not Started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	Project Integrated in all respect	3041.49	-	-	14.09.09	11.11.09	Work Started: 1. Levelling, Dressing & Fixing of level 2. SLF 3. Boundary Wall 4. Admin Building 5. Compost Pad 6. Internal Road 7. Monsoon Shed 8. Curring shed & Packing shed in Process 9. Door to door waste collection in 80 ward 10. RDF Shed 11. Eco brick Shed 12. -1. Weigh bridge 2 Nos. Installed 13. Bobcat-2Nos., Vehicle & T&P, Compactor-2Nos., Dumper Placer-11Nos, Tricycle-800, D.P. Bins-85, Autotipper-46, Gen Set 480 KVA-1 Set at C.P. bins-830, Wids Renovation 3, Refuse Collector 7, Hook Loader 2, Haulage Vehicle 3 are complete supplied site. 14. 2 Nos Preshorting Machines are in running from oct 2011 15. Processing lines are in running 16. Compost store room 17. Transfer station 18. Platform for Bins	100% 100% 80% 100% 100% 80% 100% 99% 100% 100% 70% 100% 100% 60% 75% NIL	31.03.11	31.01.2014
Total		3041.49								

* Tender is finalized in PPP model & awarded to M/s Allahabad waste Processing Company L imited karailabag Allahabad

* Concession Agreement between ULB and Concessionaire is completed.

Schedule completion date of Project as per DPR⁷ approved by CSMC: 22-02-2008

Actual duration (in months) for project completion: 36 Months

Estimated time for completion of Project as on date: January 2014

In there a difference between schedule date of completion and estimated dated of completion: Yes

In case Yes. Then what are the reasons for the delay, please select from the list below.

Sl. No.	List of Issue	Yes/No	Brief remarks in the reason for delay
i.	Delay related to fund release into Project Account	No	3 rd Utilization has been submitted but fund has not allotted
ii.	Issue related to cost escalation	No	-
ii.	Delay in tendering process	Yes	3 P model Policy of execution has been decided later
iv.	Technical sanction process at state level	No	-
v.	Field level conditions leading to redesign	No	-
vi.	Constraints in supply of equipment/materials/technology	No	-
vii.	Technical capacity of U LB s	No	-
viii.	Project Management related issues.	No	-
ix.	Any other issues/constraints in project implementation.	Yes	Land acquired and handed over to selected operator to start the work on dated 15.05.2010 (actually), fund has not released in schedule time, due to this delay in implementation of Project

10. Status of Various Initiatives:				
S.No.	Prgramme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number if Official Trained	3 Nos.	3 Nos.
		Number if Non Official Trained	5 No.	5 No.
2.	Workshops			
		National Level	1 No.	1 No.
		State Level	1 No.	1 No.
		Regional Level	1 No.	1 No.
3.	Other (Pleaser specify key initiatives)			

11.	Issues in Project Monitoring and Inspections	
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Sl. No.	Particulars	Remark		
		Carried out by SLNA	Gol	State Govt.
1	Inspection Carried out by SLNA/GOI Officers		IRMA, Director CSMC (GOI), P.I.U. SLNA	P.I.U. SLNA Lucknow state Planning officers,
2	Date of Inspection		20.10.10, 24.12.10, 02/2011, 19.09.2011, 25.02.2012	22.12.2011
3	Issued reported during inspections		Yes & complied	Yes & complied
4	Course Corrections done		Yes	Yes
5	Suggestions, if any, for project monitoring and MIS		N.A.	N.A.

(Y.P. Panday)
Project Manager

(Pradeep Kumar)
Municipal Commissioner
Signature & Date
Authorised Signatory

Quarterly Progress Report
For
Sub-mission for Urban Infrastructure and Governance

JnNURM
For
UTTAR PRADESH

Time Period: Oct 2013 to Dec 2013

This Report comprises

City level report	
KAN PUR	
.....	
Project Level Report	
<i>KAN-001</i>	<i>SOLID WASTE MANAGEMENT</i>
<i>KAN- 014</i>	<i>SEWERAGE WORKS IN INNER OLD CITY AREA</i>
<i>Project code</i>	<i>SEWERAGE WORKS PHASE II (Sewerage Treatment)</i>
<i>Project code</i>	<i>SEWARAGE (DISTRICT-4, PHASE-III)</i>
<i>KAN-010</i>	<i>WATER SUPPLY IN INNER OLD CITY AREA</i>
<i>Project code</i>	<i>WATER SUPPLY PHASE II</i>

Report Submitted by
KANPUR MUNICIPAL CORPORATION

Signature of CEO, SLNA
Designation, SLNA

Mandatory Reforms at City Level		
Commitment as per the MoA for the current financial year (Report as per milestone committed in the MoA)	Progress made during the Quarter Oct 13 – Dec 13.	Cumulative progress as on 31.12.2013
a) Implementation of Accounting Reforms		
Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM or otherwise	Chart of account as per UPMAM prepared and implemented.	Municipal financial accounting manual is complete and has already been adopted.
GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system	Go No 1552/9-5-2011-119/2007 dated 18 March 2011 issued indicating a cutoff date for full migration to Accrual based double entry system from 01.04.2011 along with existing single entry system	Go No4094/9-5-2008-119/2007 dated 2 June 2008 issued indicating a cutoff date for full migration of municipal accounting system from 1.04.2009.
Training of personnel	Training to zonal officers, tax superintendents, zonal cashier and head cashier has been given for cash collection software integrated with financial accounting.	Second stage in house training DONE with the help of Chartered Accountant and software developer. Accounts training are organized to the concerned employees regarding DEAS. Regular Trainings are being conducted by SLNA. Training to accountants, computer operator of KNN and AO, AAO and accountant of Jalkal department has been given for adoption of new chart of account as per UPMAM and working on integrated software system.
Appointment of field-level consultant for implementation at the city-level	M/s D Ganguli & Co. Chartered Accountants is supervising DEAS on regular basis.	Chartered accountant has been appointed (ARSAN and Co.) in June 2008 as consultant. M/s D Ganguli & Co. Chartered Accountant appointed for SUPERVISION OF Accrual Based Double Entry for F Y 2011-12
Notification of cut-off date for migrating to the double-entry accounting system	Books of accounts maintained on new charts of accounts as per UPMAM w.e.f. 01.04.2012.	Notified and total migration from 1-4-2009 as per government order but both the systems (i.e. single & double entry systems) are being maintained simultaneously as directed by the govt. Fully migration to accrual based double entry system and preparation of financial statement on accrual basis from 01.04.2011.

	State year from which ULB will commence preparation of outcome budgets	UPMAM detailed accounting code based Budget for year 2013-14 has been prepared.	From FY 2007-08 Nagar Nigam commenced preparation of Outcome Budget. For FY 2010-11 outcomes Budget as per provisional financial statement has been prepared.
	State year in which ULB will undertake Credit rating	Done	In FY 2008-09 Credit Rating done By CARE rating as0 “ BB+ ”
b)	Property Tax reforms		
	Elimination of exemptions	Amendment in legislation	Related to State Govt.
	Migration to Self-Assessment System of Property Taxation	Stakeholder consultations Preparation of Draft legislation Approval of the Cabinet/ Government Final enactment of the legislation by Legislature Notification Preparation and notification of appropriate subordinate legislation	Self assessment has been implemented. Self assessment for the residential buildings is already implemented and for non residential it is the formulation stage at the state govt.
	Establish Taxpayer education programmed	Setting up a website for property tax issues/ FAQs etc	Ward wise camps organized. Print and electronic media is being used for the education. Meeting With Various association of industry and marketing done. Tax payers can calculate tax and see calculation through our website http://kmc.up.nic.in other related information is also posted on the site.
	Achievement of 85% Coverage Ratio	Achieved Coverage 100%	Achieved 100% in FY 2012-13 Kanpur Nagar Nigam has completed GIS based PT survey and it is being updated regularly. This achievement has been published in the JnNURM best practices collection published by Indian Institute of Urban Affairs, New Delhi. It has been awarded by Geospatial today and GIS development also.

<p>Achievement of 90% Collection Ratio for current demand</p>	<p>In FY 2013-14 (Dec 13) provisional details of PT Collection is given below. 1-Net Realizable Demand-Rs. 7559.20 lakhs 2-Total PT Collection-Rs. 4881.02 lakhs 3- Collection Efficiency- 64.57 %</p>	<p>In FY 2010-11 The details of PT Collection is given below. 1-Net Realizable Demand----Rs. 6507.00 lakhs 2-Total PT Collection-----Rs. 6173.21 lakhs 3- Collection Efficiency: 95.00 % In FY 2011-12 details of PT Collection is given below. 1-Net Realizable Demand----Rs. 6613.75 lakhs 2-Total PT Collection-----Rs. 6221.10 lakhs 3- Collection Efficiency: 94.06 % In FY 2012-13 provisional details of PT Collection is given below. 1-Net Realizable Demand-Rs. 6872.00 lakhs 2-Total PT Collection-Rs. 6598.03 lakhs 3- Collection Efficiency- 96 %</p>
<p>Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year (exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)</p>	<p>In FY 2013-14 (Dec 13) provisional details of Arrear Collection is given below. 1-Arrear Demand-Rs.273.97 lakhs 2-Arrear Collection- Rs. 205.48 lakhs 3-Balance Arrear Rs. 104.96 lakhs 4-Collection Efficiency 75.00 %</p>	<p>Efforts were made to achieve total outstanding arrears are less than 10 %. In FY 2010-11 1-Arrear Demand- Rs.814.00 lakhs 2--Arrear Collection-Rs. 735.00 lakhs 3-Balance Arrear Rs. 79.00 lakhs In FY 2011-12 1-Arrear Demand-Rs.334.00 lakhs 2-Arrear Collection-Rs. 331.00 lakhs 3-Balance Arrear- Rs.3.00 lakhs In FY 2012-13 1-Arrear Demand-Rs.392.65 lakhs 2-Arrear Collection- Rs. 284.01 lakhs 3-Balance Arrear Rs. 108.64 lakhs</p>

c)	Implementation of E-Governance in municipalities		
Appointment of state level technology consultant as state technology advisor.	MEDD has been prepared.	Achieved. Initially Price Waterhouse Coopers (PWC) has done "as-is" survey. Subsequently IIT Kanpur was appointed and submitted the ULB level DPR of each UIG City for E-Governance. Ministry of Urban Development, GOI made changes in directives and issued new guidelines in Dec 2009 and directed each state to prepare the state level uniform E-Governance architecture vide its letter numbered No: K-14012/119/09NURM I With regards to this, UD department of GoUP appointed IIT Kanpur as State Level Technology Consultant in 2009 with Kanpur Nagar Nigam (KNN) as lead ULB for testing and trial of state wide E-Governance Platform. State Level DPR has been approved to in February, 2011. National informatics centre (NIC) has been given responsibility for implementation of DPR and development of different E-Governance modules as per the approved DPR along with capacity building. NIC has developed an Action Plan and has started working on it, NIC has developed and deployed Birth and Death while Grievance Redressal , E Procurement and Property tax is under User Acceptance Testing Phase.	
Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP Based on the National Mission Mode Project of Government of India, the design document will be prepared.	Completed	Achieved. MEDD has been prepared. E-Governance state Level Software Solution for Uttar Pradesh (Kanpur Nagar Nigam / State Level Nodal agency) has been approved (Rs. 23.61 Crore) by GoI on 20.12.2010, NIC has been given the responsibility to deliver the turnkey project , NIC has given the project plan which is approved by the State Government and GOI.	
Finalization of Municipal E-Governance implementation action plan for the city	Completed	Achieved Prepared and part of the state level DPR submitted to the MoUD, GOI,DPR has been approved and NIC is selected as State Implementation Consultant. 1. As per memorandum of agreement with KMC GOI, State KMC has initiated the implementation of E Governance obligations. E Governments Foundation Bangalore was selected through tendering process to implement the 15 modules .the progress of which is already stated in this recommendation compliance matrix 1.0 2. As per revised guideline of Government of India the Statewide E Governance DPR was submitted to GOI which has been sanctioned .The responsibility of implementation has been given to NIC. 3. The present system will be up scaled in the new version developed by State Level Implementation Agency.	

<p>Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems</p>	<p>Completed</p>	<p>Achieved. Relocation of Zonal Division is the part of BPR, LAN connectivity between zones and HO and has been completed however Zone wise internal networking in process ,All 6 Zones are internally well connected .HP Xeon Rack Server and Oracle based web application is purchased and being used for maintaining database of integrated E-Governance Application of E Government Foundation Bangalore.</p> <ol style="list-style-type: none"> 1. Defined Stake holder committee for success of E Governance System , Municipal Commissioner is the chairman of the committee. 2. Defined a Administrative coordinator for the E Governance Cell .Additional Municipal :- Commissioner is taking the responsibility of this. 3. Defined a Techno functional coordinator for the E Governance Cell .Information Technology Officer is taking the responsibility of this activity. 4. Defined a Nodal Officer of each module, Knn has identified the functional rich and computer literate person as Nodal officer for the a module. 5. Along with the Nodal officer of the module, two person are for the support of him and provide the time to time training to the staff. 6. KNN has outsourced six computer trainers for six zones who are available throughout the office time to literate the staff in computer and help them in running the software application. 7. Computer operators have been given the responsibility to verify the transactions like online transaction through payment gateway , ECS verification etc 8. Relocation and integration of zones has already been done as part of BPR , It has been connected through LAN / RFID .At HQ 5 Xeon servers have place for maintaining Database Server /Application Server /GIS Server / Mail Exchange Server / Back Up server . 9. A Complete Change is the work force of all the concerned depts. Is in process to strengthen the redesigned process of e- governance system. 10.To capture real time data from SWM management site a RFID link tower has been established with related connectivity infrastructure.
<p>Appointment of Software consultant(s) / agency for development, deployment And training</p>	<p>Completed</p>	<p>E- Government Foundation, Bangalore has been appointed as software consultant / development Agency which is the Municipal ERP implementation company and has expertise in Municipal Domain and has worked for more than 200 ULBs across the country. The web based application has been implemented on Java Language and Oracle Database . The Agency has been given contract for implementation and 3 Years handholding support post implementation. State Level Implementation responsibility has been given to NIC as per approved DPR.</p>

Exploring PPP option for different E-Governance services	Ward wise citizen service centers to be run on PPP model.	Achieved Cyber citizen points are providing various E -Governance services like Death& Birth Certificates/Property Tax / Citizen Grievance on PPP model. At present 29 private “Cyber Citizen Points” have been authorized by KMC to provide Municipal e-services. Provision for ward wise services with PPP have been made in the DPR.
Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	Integrated Property Tax and Jalkal application is under development.	Achieved. GIS based integrated Property tax System is being used, GIS Map is being updated with identified new properties. Bill generation and receipt can be generated through the Property tax software. Property tax software is linked with the KNN's website / User can make self assessment and view tax calculation method for his property and can see outstanding due and make online payment through the website. Multiple Payment option through Zonal Offices , Online payment gateway and through Cyber Citizen Point is there for ease in payment Various Analytical reports for MIS are generated. SMS based information System is being used for mobile no. registration and SMS is being sent to property owner for any objection, change in Name, House no. and ARV.
Accounting	Accounting software is being prepared by CMC Ltd which will be integrated with E-Governance Software modules developed by NIC.	Achieved DEAS is being managed through Web based Integrated ERP, day to day data is being punched on regular basis. Software is being used. RTGS/NEFT System has been implemented to ensure all payment through electronic mode only.
Birth & Death Registration	NIC has developed and deployed Birth and Death	Achieved. A web based application is being used. Every zone has system to register the Death Birth and issue Certificates. Birth and Death data is digitized Since 1971.

Citizen's Grievance Monitoring	Completed	<p>Achieved.</p> <p>Web based software is being used for grievance at Head quarter and Zonal offices , Jalkal and A2Z along with Toll free help lines. Software has the advance features of SMS , Voice Recording , Complaint Upload ,feedback ,complaint escalation etc and these are in use. Citizen can endorse their complaint, a SMS is sent at the same time to complainer for the acknowledgement and a sms is sent to the related officer detailing about the complaint and mobile no of complainer , when complaint resolve , a sms is sent to complainer for the resolving status.</p> <p>Interactive voice recording System (IVRS) has been implemented and complainer can directly call to concern Head of department after selection of appropriate option, the recording of the interaction is being monitored periodically to ensure compliance.</p>
Personnel Management System	Completed	<p>Achieved</p> <p>All Employees data has been entered into the application , the data is being updated regularly, ECS is being transferred through software and Monthly pay slip is being given to employee. State wide Personnel Information System (PIS) Data has also been uploaded in state web portal.</p>
Procurement and Monitoring of projects		
<ul style="list-style-type: none"> E-Procurement 	Achieved.	Venders can download EoI forms and other related information through Nagar Nigam website. State E-Tendering Application, developed by NIC is under implementation phase , in which vendor and KMC authorities will have digital certificates for complete tender process online.
<ul style="list-style-type: none"> Project / Ward Work 	Achieved.	Estimate creation, Measurement book and contractor /Supplier billing is being done through Integrated Software Project Management and Evaluation is done with modified PMES System. Ward Works management system software is ready and is being used.
Building Plan Approval	Achieved. Digitations of building data being done through KDA.	KDA has developed a software to apply online for building plan approval .KMC has developed system for recording the new building plan data while issuing NOC for future use in property tax assessment.
Health Programs		
<ul style="list-style-type: none"> Licenses 	Achieved	Achieved. Software for various trade licenses is in use.
<ul style="list-style-type: none"> Solid Waste Management 	Achieved	An application is being used by A2Z and KNN .GPRS devise is plugged in every vehicle of A2Z and is being monitored regularly.

	Any Other Module (Legal Cases Management System)	Achieved	Software for legal monitoring system is being used Other three systems:- Asset Management System, Estate Management System and File Tracking Systems. 25 Ward offices are being modernize and RF Connectivity is being provided to ensure E Governance services of Municipal Corporation at citizen's door step.
d)	Reforms in levy of user charges		
	The State should set up a body for recommending a user charge structure.	Parking, Open grounds user charge being collected. User charges collection has been started for door to door collection of garbage.	A committee has been constituted under the chairmanship of Municipal commissioner for recommending a user charges. KNN has formulated byelaws for user charges for different services / use of resources.
	Establishment of proper accounting system for each service so as to determine the O&M cost separately. Please specify the timeline for each service separately Water Supply, Sewerage and SWM	Achieved	The Accounting System to determine the O&M Cost is already established in water supply, sewerage & SWM.
	Please indicate plan for reduction in Non-Revenue Water (NRW) and Unaccounted for Water (UfW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both. Non-Revenue Water (NRW)-12 Unaccounted for Water (UfW)-28	As per commitment.	A committee has been constituted under the chairmanship of Municipal commissioner for water Audit, Leakage, NRW and UFW. All aspects are being implemented by KNN and rest will be implemented by Completion of the Water Supply Project.

	<p>Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %)</p> <p>Water Supply ----- 70%</p> <p>Sewerage ----- 70%</p>	<p>In the FY 2013-14 (Dec 13) the provisional income received from the all sources water tax, charges, sewer tax/ charges and others is Rs. 4593.23 Lakhs while provisional expenditure incurred on all items establishment, O&M and other is Rs. 4738.02 lakhs. Thus the department is able to achieve cost recovery up to 96.94%</p>	<p>110 LPCD in FY 2009-10 and achievements of service standard is subject to the implementation of project. No Volumetric metering at this point but govt. has issued GO for implementation for Water meters in UIG cities. In the adoption of new rentals full recovery of O&M cost of water supply and sewerage will be achieved.</p> <p>Now the figure of collection charges for SWM has gradually climbed up to average Rs. 1.10 Lakhs Per day.</p> <p>In the FY 2010-11 the income received from the all sources-water tax, charges, sewer tax/ charges and other was Rs. 5390.95 Lakhs while expenditure incurred on all items establishment, O&M and other was Rs. 5346.15 lakhs. Thus the department is able to achieve cost recovery up to 101%.</p> <p>In the FY 2011-12 the income received from the all sources-water tax, charges, sewer tax/ charges and other was Rs. 6553.70 Lakhs while expenditure incurred on all items establishment, O&M and other was Rs. 6028.44 lakhs. Thus the department is able to achieve cost recovery up to 109%.</p> <p>In the FY 2012-13 the provisional income received from the all sources water tax, charges, sewer tax/ charges and others is Rs. 6805.02 Lakhs while provisional expenditure incurred on all items establishment, O&M and other is Rs. 6715.11 lakhs. Thus the department is able to achieve cost recovery up to 101%</p>
e)	Earmarking of funds for basic services to the poor		

<p>BUDGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for 'Services to the Poor' ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for 'Services to Poor'. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> • Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income 20 % • Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income 20% • Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure 20% 	<p>Separate Municipal Fund For Urban poor have been created.</p> <p>In process at state level</p> <p>25% Allocation made in Budget for FY 2013-14</p> <p style="text-align: center;">-----do-----</p>	<p>Separate Municipal Budget code has been developed.</p> <p>20% Allocation made in Budget for FY 2010-11. 25% Allocation made in Budget for FY 2011-12. 25% Allocation made in Budget for FY 2012-13</p>
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f)	<p>Basic Services to Urban Poor</p>	<p>Several Integrated Schemes focused on the poor are being implemented such as:-</p> <p>Basic Services to urban poor's-Work is under process for 32319 houses. 4583 constructed houses are allotted All 7 Point Charter Services have already been covered.</p> <p>➤ Swarnim Jayanti Rojgar Yojana-108 groups got the Revolving fund under this scheme.</p> <p>Step Up training programme- 5752 have been provided vocational training such as – (Ac fridge repairing, Shoe and leather goods making, Security guard, Beauty care etc).</p> <p>Kanpur Municipal Corporation developed 28 shelter homes at different locations of municipal boundaries 89 Community halls have also been constructed.</p> <p>Government of India has launched Rajiv Awas Yojna (RAY)” for providing security of tenure and improved housing at affordable prices. House Hold survey has been completed in all 434 poor settlements. Including number of family, household, infrastructure Gaps, land tenure, dwelling units, Two projects have been sanctioned under Rajiv Gandhi Awas Yojna (RAY) named Habansh Mohal Malin Basti project - 48 Houses Pokhar Pur Malin Basti Project- 80 Houses</p>	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows:</p> <p>1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services</p> <p>2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land</p> <p>3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas.</p> <p>The above three schemes have been closed in March 2012.</p> <p>Basic Services to urban poor's-Work is under process for 32319 houses. 4583 constructed houses are allotted All 7 Point Charter Services have already been covered.</p> <p>Swarnim Jayanti Rojgar Yojana-108 self help groups have got loan under this scheme.</p> <p>Step Up training programme- 5752 have been provided vocational training such as – (Ac fridge repairing, Shoe and leather goods making, Security guard, Beauty care etc).</p> <p>Kanpur Municipal Corporation developed 28 shelter homes at different locations of municipal boundaries 89 Community halls have also been constructed.</p> <p>Government of India has launched Rajiv Awas Yojna (RAY)” for providing security of tenure and improved housing at affordable prices. House Hold survey has been completed in all 434 poor settlements. Including number of family, household, infrastructure Gaps, land tenure, dwelling units, Two projects have been sanctioned under Rajiv Gandhi Awas Yojna (RAY) named Habansh Mohal Malin Basti project - 48 Houses Pokhar Pur Malin Basti Project----- 80 Houses</p>
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Optional Reform

Sl No	Commitment as per the MoA	Progress made during the Quarter (Oct 13- Dec 13)	Cumulative progress as on 31.12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days. Time reduction to 7 & 10 days respectively is to be achieved by year 2011-12	<p>Kanpur Development Authority has modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of KDA and Awas Bandhu. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on KDA's website -kdaindia. co.in as well as on the website of Awas Bandhu- awas.up.nic.in.</p> <p>Citizens can apply online through KDA's website for Building plan approval. All the departments have been integrated. Full integrated building plan approval system shall be developed at State level software.</p> <p>The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. No Building Plan made to get sanctioned up to 100sqm area in built up area city, incase of single residential plots of KDA scheme up to 300sqm, the allottee is given standard building plan , at the time of registry and following setbacks as per building bylaws. The sanction of building plan is not required. Map sanctioned up to 300sqm vacant plots same day as deemed sanction.</p>
B	Maximum Reduction of Average time for Building Sanction		
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	<p>For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.</p> <p>As per the govt. G.Os. Kanpur development authority is</p>	<p>Rain water harvesting bye laws are part of the building bye laws and it is responsibility of KDA to implement the RWH in constructed houses / buildings. The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. The GoUP revised the Building</p> <p>Byelaws in 2008 and also issued G.O. in this regard. KDA</p>

		<p>implementing rain water harvesting in all buildings those area is above than 300 sq. mt.</p> <p>Approximately 957 buildings plans map have been sanctioned with RWH provisions.</p> <p>Group housing sanctioned-52 till now</p> <p>Under construction-15</p> <p>R.W.H. Completed in -48 buildings</p>	<p>adopted the byelaws in 2009. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum.</p> <p>As per the govt. G.Os. Kanpur development authority is doing implementing rain water harvesting in all buildings those area is above than 300 sq. mt.</p> <p>Approximately 957 buildings plans map have been sanctioned with RWH provisions.</p> <p>Group housing sanctioned-52 till now</p> <p>Under construction-15</p> <p>R.W.H. Completed in -48 buildings</p>
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Earmarking of Land for EWS/LIG Housing and a system of cross subsidy

A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules	Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no.
B	Amendment of the existing legislation and notification		

C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects	<p>framed by GoUP.</p> <p>A target for EWS houses/ plots is 2600 in 2012-13.</p> <ul style="list-style-type: none"> • 100 have been completed while 886 is in progress. • A target for LIG houses/ plots is 1000 in 2012-13 out of which 204 is completed and 474 is in progress. 	<p>3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Housing & Urban Development Department of the GoUP has announced Housing Policy 2009. GoUP has made reservation of 20% of saleable land for economically weaker sections (EWS) and low income group (LIG) for Housing Board & Development Authorities and private developers for upcoming townships.</p> <p>A target for EWS houses/ plots is 2600 in 2012-13.</p> <ul style="list-style-type: none"> • 100 have been completed while 886 is in progress. • A target for LIG houses/ plots is 1000 in 2012-13 out of which 204 is completed and 474 is in progress.
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>	KDA and Kanpur Nagar Nigam are following the byelaws framed by Govt. in National Building Code.	Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Contral Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.
Structural Reform			
A	New Initiatives planned within organisation	1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.	Decentralization of Zonal Offices has been completed. Core services such as sanitation, sewerage, property tax demand and collections, registration and redressal of complaints are being maintained at Zonal Offices.
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies		Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009

		<p>2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments</p>	<p>22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer in KNN has been created.</p>
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Administrative Reform

A	<p>1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure.</p> <p><u>Staff Training</u> A Workshop on “<u>In search of Excellence</u>” has been organized at Conference Room, KNN on 04/06/2013 and we are going to conduct fortnightly workshop for KNN’s Officers with help of Dynamic Success Academy, Kanpur. As part of execution of e-governance DPR sanctioned by GOI , four batches of 50-50 officials(50*4) have been trained in <u>Computer</u></p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental only one post of Environmental Engineer has created at KNN level, but still there is some shortage of Technical & Managerial staffs in ULB level and Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
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<p><u>Literacy</u> at New Horizons Computer Institute, Kakadeo, Kanpur in coordination with NIC, Lucknow.</p> <p>A Workshop on Disciplinary Action- Rules and Procedures, dealing with disciplinary cases, awareness of the law on unfair dismissal and the statutory minimum procedures, enquiries etc held on 15/03/2013 Center For Excellence, KMC.</p> <p>Sachivalya Training</p> <ul style="list-style-type: none"> ❑ Approx 23 officials (20 Clerks and 3 Peon) have attend the training on different modules Institute of Secretariat Training and Management , Civil Lines, Disaster Management ❑ For Implement Capacity Building Programme pertaining disaster management, a meeting held on 27/02/2013 at Conference room, Collectorate Office in coordination with Facilitator of UP Disaster Management Authority, Lucknow and finally 2 councilor and 3officers have been selected as Master Trainer after attending the 4 days training at Lucknow. ❑ A training program to improve the writing / drafting skills and errorless presentation named <u>WRITE WELL</u> has been designed and organized for 35-35 official in three batches. ❑ Another Workshop for Senior officers on Personality Development is being conducted to improve their personality traits. ❑ Training regarding EIS & Cash Collection modules are continuing at KNN CENTRE FOR EXCELLENCE ❑ “City Manager’s” Capacity Building Training Programme --Two Batch of 20-20 KNN Officials who attended City Manager’s 	<p>Several workshops and training programmes have been organised inhouse for different E-Governance Modules and Arc GIS 9.2 at regular interval by consultant appointed for development of respective software (NIIT) and PIU team. Accounting staff are being trained by the FLC. KNN also sending its staff members for different training workshops on PPP, Urban Management, service level benchmarking, change management, contract management etc conducted.</p> <p>A Workshop on “<u>In search of Excellence</u>” has been organized at Conference Room, KNN on 04/06/2013 and we are going to conduct fortnightly workshop for KNN’s Officers with help of Dynamic Success Academy, Kanpur.</p> <p>As part of execution of e-governance DPR sanctioned by GOI , four batches of 50-50 officials(50*4) have been trained in <u>Computer Literacy</u> at New Horizons Computer Institute, Kakadeo, Kanpur in coordination with NIC, Lucknow .</p> <p>A Workshop on Disciplinary Action- Rules and Procedures, dealing with disciplinary cases, awareness of the law on unfair dismissal and the statutory minimum procedures, enquiries etc held on 15/03/2013 Center For Excellence, KMC.</p> <p>Sachivalya Training</p> <ul style="list-style-type: none"> ❑ Approx 23 officials (20 Clerks and 3 Peon) have attend the training on different modules Institute of Secretariat Training and Management , Civil Lines, Disaster Management ❑ For Implement Capacity Building Programme pertaining disaster management, a meeting held on 27/02/2013 at Conference room, Collectorate Office in coordination with Facilitator of UP Disaster Management Authority, Lucknow and finally 2 councilor
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<p>Training Programme at ASCI, Hyderabad on dt.11-15th July, 2011 &19-23rd Sept.2011 respectively.</p> <ul style="list-style-type: none"> ❑ <u>Communication Skills Training-</u> Three Batches of 20-20 have been trained in Communication Skills at KNN CENTRE FOR EXCELLENCE . ❑ <u>Software Usage Training for</u> A) Double Entry Accounting System, B) License, C) Payroll, D) Works Management, E) Document Management System, F) Finance & Accounts <p><u>Reduction in Establishment Expenditure</u> Expenditure to revenue will be further reduced because of no new recruitment policy. KNN has outsourced staffs through certified agencies for reduce establishment expenditure as well following PPP projects are being implemented to reduce establishment expenditure.</p> <ul style="list-style-type: none"> ❑ Solid Waste Management. ❑ O&M of streetlights & parks. ❑ 24 Cyber Cafe have been authorized to provide the Municipal E-services <p><u>Management Review Systems</u> Weekly meetings are being organized under the chairmanship of Municipal Commissioner of Kanpur for inter-agencies coordination and time bound execution of the projects</p>	<p>and 3officers have been selected as Master Trainer after attending the 4days training at Lucknow.</p> <ul style="list-style-type: none"> ❑ A training program to improve the writing / drafting skills and errorless presentation named <u>WRITE WELL</u> has been designed and organized for 35-35 official in three batch. ❑ Another Workshop for Senior officers on Personality Development is being conducted to improve their personality traits. ❑ Training regarding EIS & Cash Collection modules are continuing at KNN CENTRE FOR EXCELLENCE ❑ “City Manager’s” Capacity Building Training Programme --Two Batch of 20-20 KNN Officials who attended City Manager’s Training Programme at ASCI, Hyderabad on dt.11-15th July, 2011 &19-23rd Sept.2011 respectively. <p><u>Communication Skills Training-</u> Three Batches of 20-20 have been trained in Communication Skills at KNN CENTRE FOR EXCELLENCE.</p>
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Encouraging PPP

A	List down the city level project initiatives planned through PPP in the next three years	<p>In KNN, following PPP projects are being implemented to reduce establishment expenditure.</p> <ul style="list-style-type: none"> • Solid Waste Management. • O&M of streetlights & parks. • 24 Cyber Cafe have been authorized to provide the Municipal E-services • E-Governance project of NNM services. • EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps 	<p>In KNN, following PPP projects are being implemented to reduce establishment expenditure.</p> <ul style="list-style-type: none"> • Solid Waste Management. • O&M of streetlights & parks. • 24 Cyber Cafe have been authorized to provide the Municipal E-services • E-Governance project of NNM services. <p>EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps</p>
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2. MoA

In case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering into tripartite MoA month / year
08.01.2007

3. List initiatives taken if any for creation of a Revolving Fund at the city level (please mention in brief bullet points)

- Request letter and proposal have sent to the State Govt.
- ...
- ...

4. Any innovations / good practices in urban governance, project development and service delivery implemented in the city during the reporting period (please mention in brief bullet points)

1-Maintenance and beautification of PARKS on PPP basis 2 Administrative zones reorganized creating Ganga Heritage Zone 3-Zonal offices decentralized. 4- Financial Administrative Powers delegated to Zonal Officers/Deputy Commissioners & Additional Commissioners.

Signature & Date

(D.K.Singh)
Municipal Commissioner
Urban Local Body¹

¹ In case of cities with multiple ULBs, the concerned person from the largest ULB in the city should sign on behalf of all ULBs in the urban agglomeration

1. SOLID WASTE MANAGEMENT

1.	Project title:	Solid Waste Management In Kanpur City	3.	Project Bank A/c No: & Name & Address of Bank	A/C 0727000101134996 Punjab National Bank Swaroop Nagar, Kanpur
	Project code:	KAN-001			
2	Implementing Agency:	U. P. Jal Nigam C&DS Unit -05, (Construction and Design Services Unit) , Kanpur	4.	Project Cost (in Rs. Laths) – as sanctioned	Rs. 5623.79 Lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 281.21 Lakhs

All amounts are in Rs. Lakhs

6. Capital Contribution to the project and inflows							
S. No	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13 to Dec 13)	Total to date as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8= (3-7)
1	GoI	2811.90	50	2530.69+281.19*=2811.88	0.00	2811.88	0.02
2	State	1124.75	20	1124.75	0.00	1124.75	0.00
3	ULB	1687.14	30	1687.14	0.00	1687.14	0.00
4	Others (specify)	-	-	-	-	-	-
	Total	5623.79	100	5623.77	0.00	5623.77	0.02

Note: * 10 % of ACA (Rs.281.19 Lakhs) which was holdup by GoI, has been released by GoUP.

All amounts are in Rs. Lakhs

	Total interest accumulated in bank account as on date (ULB Level)	Rs. In Lakhs	127.70
	(UP Jal Nigam)	Rs. In Lakhs	40.08
	Total	Rs. In Lakhs	167.78

7 Monitoring Funds Utilization for the project					
Tender package No.	Actual amounts utilized in the project			Estimated Expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter Sept 2013	During the last quarter being reported (Oct-13 to Dec-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1	2314.35	0.00	2314.35	0.00	Project is complete. Completion Certificate is likely to be submitted in January 2014. The unspent balance Rs. 21.50 Lacs deposited in SLNA Account.
2	3287.92	0.00	3287.92	0.00	
Total	5602.27	0.00	5602.27	0.00	
Utilization of funds as % of funds received from all sources for the project as on date					100 %

8 Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate with contingency	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1	Collection Storage and Transportation	3064.82	3064.79	0.00	30.03.10	29.07.10	Completed	100%	Mar-08	Dec-11
2	Processing, Disposal Facilities of MSW	2558.97	2559.00	0.00	08.04.08	04.08.08	Completed	100%	Mar-08	Dec-11
3	Contingency	-	-	-	-	-		-		
Total		5623.79	5623.79	-	-	-		100%		

9

Scheduled completion date of project as per DPR approved by CSMC : month/year - March-2008

Actual duration (in months) for project completion : 12 Months

Estimated time for completion of project as on date : month/year - Project is Complete

In there a difference between schedule date of completion and estimated date of completion : Yes

In case Yes, then what are the reasons for the delay, please select from the list below :

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into Project Account	Yes	Fund received delayed..
2	Issues related to cost escalation	No	Cost covered in PPP mode from operator (M/s A2Z Infrastructure Limited)
3	Delay in tendering process	Yes	Scheme converted in P.P.P. Model
4	Technical in tendering process	No	
5	Technical sanction process at state level	No	
6	Field level conditions leading to redesign	No	
7	Constraints is supply of equipment/material/technology	No	
8	Project Management related issues.	No	
9	Any other issues/constraints in project implementation	Yes	Delay in land Possession.

10 Status of Various Initiatives :				
S No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained	--	02
		Number of Non Official Trained	--	--
2	Workshops			
		National Level		
		State Level		
	For sanitation inspector's regarding Public health	Regional Level	--	03
3	Other (Please specify key Initiatives)			

Issues in Project Monitoring and Inspections	
Particulars	Remarks
Inspections carried out by SLNA/GoI Officers	--
Date of Inspection	--
Issues reported during Inspections	--
Course corrections done	--
Suggestions, if any, for project monitoring and MIS	--

Signature & Date

(M.K.Singh)
Project Manager
Project Implementing Agency

Signature & Date

(D.K.Singh)
Municipal Commissioner
Urban Local Body¹

2. SEWERAGE WORKS IN INNER OLD AREA

1.	Project title:	Sewerage Works in Inner Old Area of Kanpur City (Part-I)	3.b	Project Bank A/c No: & Name & Address of Bank	A/c- 0727000101136471 Punjab National bank, Swaroop Nagar Kanpur
	Project code:	KAN-014			
2	Implementing Agency:	U.P JAL NIGAM	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Rs. 19088.22 Lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 2389.03 Lacs

All amounts are in Rs. Lakhs

6 Capital Contribution to the project and inflows							
S. No	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter (Sept 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13 to Dec 13)	Total to date as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8
1	GoI	9544.11	50	8587.57+954.41*=9541.98	0.00	9541.98	2.13
2	State	3817.64	20	3816.78	0.00	3816.78	0.86
3	ULB	5726.47	30	5726.47	0.00	5726.47	--
4	Others (specify)			---	---	---	--
	Total	19088.22	100	19085.23	0.00	19085.23	2.99

Note: * 10 % of ACA (Rs.954.41 Lakhs) which was holdup by GoI, has been released by GoUP.

Total interest accumulated in bank account as on date (ULB Level)	Rs. In lacs	64.72
(UP Jal Nigam)	Rs. In lacs	128.49
TOTAL	Rs. In lacs	193.21

7- Monitoring Funds Utilization for the project					
Tender Package No.	Actual amounts utilized in the project Rs in lakhs			Estimated expenditure for next quarter (Jan-14 to Mar-14)	Expected time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported (Oct-13 to Dec-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1	1725.999	--	1725.999		10 % of held up ACA has to be release by Gol.
2	1798.837	--	1798.837		
3	1560.550	--	1560.550		
4	1533.431	--	1533.431		
5	1726.738	--	1726.738		
6	711.357	--	711.357		
7	598.500	--	598.500		
8	435.460	--	435.460		
9	95.630	--	95.630		
10	197.212	--	197.212		
11	223.450	--	223.450		
12	118.900	--	118.900		
13	127.073	--	127.073		
14	492.693	--	492.693		
15	195.820	--	195.820		
16	82.490	--	82.490		
17	87.870	--	87.870		
18	117.700	--	117.700		
19	278.820	--	278.820		
20	71.460	--	71.460		
21	84.828	--	84.828		
22	334.543	--	334.543		
23	72.450	--	72.450		
24	--	--	-		

7- Monitoring Funds Utilization for the project					
Tender Package No.	Actual amounts utilized in the project Rs in lakhs			Estimated expenditure for next quarter (Jan-14 to Mar-14)	Expected time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported (Oct-13 to Dec-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
25	39.680	--	39.680		
26	67.770	--	67.770		
27	58.130	--	58.130		
28	52.570	--	52.570		
30	250.376	--	250.376		
31	31.490	--	31.490		
Road restoration Charges	2126.050	--	2126.050		
RCC pipes	550.293	--	550.293		
Work executed as per sanctioned estimate but not included in above packages	2082.850	--	2082.850		
Sub Total	17931.020	--	17931.020	--	
Note : The works of package no. 29 has been included in package No. 24					
<u>E & M WORKS (18 Packages)</u>					
1	69.070	--	69.070		
2	93.370	--	93.370		
3	81.130	--	81.130		
4	96.600	--	96.600		
5	86.250	--	86.250		
6	30.880	--	30.880		
7	32.110	--	32.110		
8	12.460	--	12.460		
11	29.300	--	29.300		
12	69.309	--	69.309		
13	33.499	--	33.499		

7-	Monitoring Funds Utilization for the project				
Tender Package No.	Actual amounts utilized in the project Rs in lakhs			Estimated expenditure for next quarter (Jan-14 to Mar-14)	Expected time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported (Oct-13 to Dec-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
14	84.020	--	84.020		
15	12.760	--	12.760		
16	56.080	--	56.080		
17	-	--	-		
18	26.230	--	26.230		
19	11.560	--	11.560		
20	82.520	--	82.520		
Work executed as per sanctioned estimate but not included in above packages including power connection.	130.500	--	130.500		
Sub Total	1037.648	--	1037.648	-	
Contingency	81.527	--	81.527	-	
G.Total	19050.20	--	19050.20	-	
Note :	The works of package no. 9 & 10 have been included in the package no. 31 of civil works; hence these packages have been deleted from E&M works.				
	Utilization of funds as % of funds received from the all sources for the project as on date : 99.81%				

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Scheduled Date (as per DPR)	Estimated completion date
CIVIL WORKS (30 Packages)										
	Survey, Investigation, design, supply, laying & jointing, commissioning of socketed and spigotted ISI marked and confirmed to latest IS specification RCC NP3 / PSC pipe with rubber rings.		-	-		-			-	-
1	2000 mm dia - 8930 m (Cantt Area : 1)	3150.00	3139.00	-	28.1.08	01.10.08	Under Progress	54%	30.06.2011	31.03.2014
2	700 to 1600 mm dia - 8540 m (Rawatpur to Phoolbagh via company bagh chauraha & Macrobartganj :1)	1510.00	1507.00	-	28.1.08	01.10.08	Under Progress	86%	30.06.2011	31.03.2014
3	1000 to 1100 mm dia - 9580 m (Between Lakhanpur & Beniapur Via Bithoor road :I)	1370.00	1368.00	-	28.1.08	01.10.08	Completed.	100%	31.12.2010	31.03.2012
4	1000 to 1400 mm dia - 3520 m (Chunniganj via company bagh chauraha & LIC Chauraha : I)	482.00	738.35	-	28.1.08	01.10.09	Under Progress	97%	30.09.2010	31.03.2014
5	1200 to 1400 mm dia - 3710 m Rawatpur to Govind Nagar Area : III)	544.00	591.75	-	28.1.08	15.12.08	Completed	100%	31.12.2010	31.03.2013
6	1400 to 1600 mm dia - 2450 m (Ramade via to Jajmau area : II)	540.00	538.00	-	28.1.08	01.10.08	Completed	100%	30.06.2010	31.03.2012
7	1000 to 1200 mm dia - 3850 m (Ramadevi to Jajmau area: I)	366.00	566.00	-	28.1.08	26.03.09	Completed	100%	30.09.2010	31.10.2011
8	500 to 1100 mm dia - 3110 m (Rawatpur to Govind Nagar Area : II)	201.75	200.75	-	28.1.08	05.01.09	Completed	100%	31.03.2010	29.02.2012
9	800 mm dia - 1800 m (Rawatpur to Govind Nagar Area : II)	114.00	-	-	28.1.08	-	Under Progress	14%	30.09.2010	31.03.2014
	Laying & Jointing of NP3 RCC socketed& spigotted pipes with rubber rings including exca-vation,timbering & bedding etc complete with appurtenant works in following area									
10	600 to 800mm dia-3950 m (Munshipurwa to Transport	179.00	177.00	-	28.1.08	01.10.08	Completed		30.09.2010	31.12.2013

8.		Project Implementation Monitoring								
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed	% of work Completed (Physical Progress)	Scheduled Date (as per DPR)	Estimated completion date
	Nagar Area : V)							100%		
11	600 mm dia - 4020 m (Munshipura & Transport Nagar Area : I)	209.00	205.00	-	28.1.08	02.09.08	Completed	100%	30.06.2010	31.03.2011
12	800 mm dia - 2450 m (Ramadevi Area : I)	124.00	122.00	-	28.1.08	02.09.08	Completed	100%	30.06.2010	31.03.2011
13	350 to 800 mm dia - 4110 m (Munshipurwa & Transport Nagar Area : III)	188.00	184.00	-	28.1.08	02.09.08	Under Progress	95%	30.09.2010	31.03.2014
14	500 to 1200 mm dia - 3950 m (Munshipurwa & Transport Nagar Area : IV)	129.00	127.00	-	28.1.08	01.10.08	Under Progress	95%	30.09.2010	31.03.2014
15	500 to 700 mm dia - 3080 m (Munshipura to Transport Nagar Area : II)	152.00	148.00	-	28.1.08	02.09.08	Completed	100%	30.09.2010	31.03.2011
16	700 mm dia - 2000 m (Ramadevi Area : I)	89.00	82.74	-	28.1.08	05.04.08	Completed	100%	30.09.2010	30.06.2011
17	1100 mm dia - 1020 m (Jajmau Area : IV)	83.00	81.43	-	28.1.08	05.04.08	Completed	100%	30.09.2010	31.03.2011
18	1100 mm dia - 805 m (Jajmau Area : V)	84.00	84.00	-	28.1.08	05.04.08	Under Progress	80%	30.09.2010	31.03.2014
19	300 to 1000 mm dia - 1650 m (Jajmau Area : III)	101.00	101.00	-	28.1.08	02.09.08	Under Progress	90%	30.09.2010	31.03.2014
20	200 to 900 mm dia - 1950 m (Jajmau Area : II)	75.00	62.17	-	28.1.08	05.04.08	Under Progress	95%	30.09.2010	31.03.2014
21	250 to 700 mm dia 2140 m. (Jajmau Area : I)	70.00	68.80	-	28.1.08	05.04.08	Under Progress	92%	30.09.2010	31.03.2014
22	Renovation of rising main from tannery waste P.S. Survey, Investigation laying of PSC pipe - 200 to 700 mm dia - 4.60 km	481.00	326.45	-	18.2.08	01.11.09	Under Progress	100%	31.12.2010	30.06.2013
23	Renovation of 5 mld UASB STP : Construction of sump cum pump house, generator room and laying of 350 mm dia PSC pipe	61.00	72.45	-	18.2.08	30.12.08	Completed	100%	30.09.2010	30.09.2011
24	Aerators for 5 mld UASB STP : Supply & installation of floating / diffused aerators for reducing the sulphide of the effluent of 5 mld UASB STP	37.00	-	-	18.2.08	-	This work is not being carried out.	-	-	-
25	Boundary along SDB at Jajmau	37.00	37.00	-	18.2.08	30.04.08	Under progress.	96.5%	30.06.2010	31.03.2014
26	Renovation / repair, replacement of existing civil works at 130 mld STP	37.00	37.00	-	18.2.08	01.09.08	Completed.	100%	30.06.2010	31.03.2011

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Scheduled Date (as per DPR)	Estimated completion date
27	Replacement of existing weir plate by FRP plates PST, FST 130 mld STP	61.00	61.00	-	18.2.08	07.07.08	Completed.	100%	30.06.2010	31.01.2011
28	Renovation / Repair / Replacement of existing civil works at 36 mld CETP	65.00	64.11	-	18.2.08	13.05.08	Completed	100%	30.06.2010	30.06.2012
30	Survey, soil testing, Design, Supply and execution of augmentation of existing 130 mld STP by 43 mld including all works	1767.00	1967.87	-	18.2.08	21.12.09	Under progress.	55%	20.06.2011	31.03.2014
31	Survey, soil testing, design, supply and execution of main sewage pumping station, laying of rising main and construction of 15 mld STP at Bainiapuar	2265.20	1598.88	-	18.2.08	07.03.09	Under Progress.	26%	31.03.2011	31.03.2014
Total		14571.95	14256.75							
Note : The works of package no. 29 has been included in package No. 24										
E & M WORKS (18 Packages)										
PUMPING STATION										
(A)	Renovation of Existing SPS & Other appurtenant works (11 nos)									
1	Guptarghat, Nawabganj & Parmat SPS	85.000	70.27	-	24.05.08	15.12.08	Completed	100%	30.09.2010	30.06.2011
C.S.P.S.										
2	Pumping plant & Accessories	102.000	94.55	-	20.2.08	13.05.08	Completed.	100%	30.06.2010	31.08.2010
3	HT, LT Panel & Transformer	88.000	74.42	74.42	20.2.08	01.05.08	Completed.	100%	30.06.2009	31.03.2012
4	Bar screen for CSPS	100.000	97.20	-	-	-	Completed.	100%	31.12.2010	31.03.2012
5	TWWC system 04 nos	109.000	106.74	-	20.2.08	-	Completed.	100%	30.06.2010	30.09.2010
LAKHANPUR S.P.S.										
6	Pumping plants and accessories	65.400	31.73	-	24.05.08	15.11.08	Under progress.	90%	30.06.2010	31.03.2014
7	Sub station	43.000	29.80	-	24.05.08	-	Under progress.	90%	30.06.2010	31.03.2014
25 MLD S.P.S.										
8	Pumping plants and accessories	11.700	12.46	-	15.04.08	01.07.08	Completed.	100%	30.06.2010	31.08.2010
(B)	TREATMENT PLANTS									
(a)	Rehabilitation, Renovation works for 130 mld STP & Sub Station									
11	Aerators	29.500	27.50	27.50	15.04.08	01.06.08	Completed.	100%	30.06.2009	31.03.2012
12	RSPH, TEPH, Sludge pumps	111.300	-	-	27.05.08	04/11	Completed.	100%	30.09.2010	31.12.2013

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Scheduled Date (as per DPR)	Estimated completion date
	& connected accessories									
13	Rack classifier, Organic pump, PST & FST	38.400	37.60		31.05.08	31.08.08	Completed.	100%	30.06.2010	31.03.2011
14	Transformer, OCB, Control Panel, Steel tubular pole and measuring equipments	100.000	83.99	83.99	10.03.08	13.05.08	Completed.	100%	30.06.2009	31.03.2012
(b)	Rehabilitation, Renovation works for 5 mld STP & Sub Station									
15	Pumping plants and accessories	16.000	13.74	-	21.11.08	-	Completed.	100%	30.09.2010	31.03.2011
(c)	Rehabilitation, Renovation works for 36 mld UASB STP & Sub Station									
(c)	Rehabilitation, Renovation works for 36 mld UASB STP & Sub Station									
16	Replacement of PP of IPS, MPS, Flush Mixture, Centrate, Excess sludge pump, filtrate pump & clear water pump with connected accessories	53.000	59.91	-	10.07.08	18.11.08	Completed.	100%	30.09.2010	31.03.2012
17	Replacement of air blower & cooling tower etc	26.000	-	-	21.11.08	-	-	100%	30.09.2010	31.10.2013
18	Replacement of fibre arms of doamestic / tannery bar screen and connected works	26.500	26.23	26.23	10.03.08	03.06.08	Completed.	100%	31.03.2009	31.03.2012
19	Replacement of ACBs, Old steel tubular poles, capacitor panel etc	18.000	11.00	11.00	24.03.08	06.08.08	Completed.	100%	30.06.2009	31.03.2012
20	180 KVA DG set for TWWC and 250 KVA DG set for Lakhanpur SPS, 400 KVA for Main pumping Station & 45 KVA for 5 mld STP	86.000	84.05	-	10.03.08	05.06.08	Under progress.	95%	30.06.2010	31.03.2014
	Total (E&M works)	1108.800	754.45	223.14						
Note :	1- The works of package no. 9 & 10 have been included in the package no. 31 of civil works, hence these packages have been deleted from E&M works.									
	2- The completion date has been revised due to reasons conveyed to Director Local Bodies, Lucknow by Chief Engineer (Kanpur Zone), U.P. Jal Nigam, Kanpur									
	3- Revised DPR of Sewerage Works in Inner Old area of Kanpur (Part-I) amounting Rs. 256.76 Cr. has been sent to Director Local Body, Lucknow on dt. 26-11-2013 by Chief Engineer (NURM). It is forwarded by SLNA to Nagar Vikas Bibhag for necessary action.									
	4- Handing over note for 20.27 km. sewer line (12.24 km vide lett. No. 3368/M-23/7 dt. 10-10-2012, 4.64 km. vide letter no. 2918/M-23/11 dt. 27-11-2012 and 3.38 km. vide letter no. 3944/M-23/12 dt. 30-11-2012) has been sent to Jal Kal Vibhag, Kanpur Nagar Nigam.									
	Grand Total	15680.75	15011.20	223.14						

9. Scheduled completion date of project as per DPR approved by CSMC: MARCH 2011
Actual duration (in month) for project completion: 36 MONTH
Estimated time for completion of project as on date : March 2014
Is there a difference between schedule date of completion and estimated date of completion : YES
 In case Yes, then what are the reasons for the delay, please select from the list below

S.NO	List of issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into project Account	Yes	4 th Installment of Rs. 23.89 Cr. has been late released.
2	Issues related to cost escalation	No	--
3	Delay in tendering process	No	--
4	Technical in tendering process	No	--
5	Technical sanction process at state level	No	--
6	Field level conditions leading to redesign	No	--
7	Constraints in supply of equipment/material/technology	No	--
8	Project Management related issues	No	--
9	Any other issues/constraints in project implementation	Yes	Required land 4.485 hectare for 15 mld STP has been made available on dt. 31.12.2010 and in Feb-2011.

10. Status of Various Initiatives:				
S.NO	Programme	Item	Actual Status (In number)	
			During the last Quarter	Cumulative since inception of the mission
1.	Type of capacity Building Programmes	Number of official Trained	--	02
		Number Of Non official trained	--	--
2.	Workshops	National Level	--	
		State Level	--	
		Regional Level	--	03
3.	Other (Please specify keys initiatives)		--	--

11. Issues in Project Monitoring and Inspection		
S. No	Particulars	Remark
1	Inspection carried out by SLNA/GoI Officers	--
2	Date of Inspection	--
3	Issues reported during Inspection	--
4	Course correction done	--
5	Suggestions, if any, for project monitoring and MIS	--

Note: Pipe testing reports and material testing reports has already been sent to the office of Director, Local Body, Lucknow.

Signature & Date

(Rajesh Kumar)
Project Manager
Project Implementing Agency

Signature & Date

(D.K.Singh)
Municipal Commissioner
Urban Local Body¹

3. SEWERAGE WORKS PHASE II
(SEWERAGE TREATMENT)

1.	Project title:	210 MLD Sewage Treatment Plant at Bingawan, Kanpur (PART-II)	3.b	Project Bank A/c No: & Name & Address of Bank	A/c No 072700 0101140021 Punjab National Bank Swaroop Nagar, Kanpur
	Project code:				
2	Implementing Agency:	U. P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Original Cost-----Rs. 10100.45 Lakhs Revised Cost-----RS. 14196.00 Lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 3030.17 Lacs

All amounts are in Rs. lakhs

6 Capital Contribution to the project and inflows									
S. No	Sources	Commitment based on approved project cost	Commitment based on approved revised project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period	
						During the last quarter being reported (Oct 13 to Dec 13)	Total to date as on 31.12.2013		
1	2	3	4	5	6	7	(6+7)=8	(4-8)=9	
1	GoI	5050.23	5050.23	50	3282.63+505.02*=3787.65	0.00	3787.65	1262.58	
2	State*	Cost	2020.09	4630.53*	20	1515.06+2610.44* = 4125.50	0.00	4125.50*	505.03
		Centage	-	1485.11**		1485.11**	0.00	1485.11**	0.00
3	ULB	3030.13	3030.13	30	2272.59	0.00	2272.59	757.54	
	Total	10100.45	14196.00	100	11670.85	0.00	11670.85	2525.15	

*The additional cost as approved in revised Project cost is included in State share (column 2) out of which centage paid by state Govt to Implementing agency directly has been shown separately.

Note: * 10 % of ACA (Rs.505.02 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account as on date	(ULB Level)	Rs.	139.57 Lakhs
	(UP Jal Nigam)	Rs.	28.81 Lakhs
TOTAL		Rs.	168.38 Lakhs

7- Monitoring Funds Utilization for the project					
Tender Package No.	Actual amounts utilized in the project Rs in lakhs			Estimated expenditure for next quarter (Jan-14 To Mar-14)	Expected time to request for next Installment
	Upto end of last reporting Quarter	During the last quarter being reported (OCT-13 to DEC-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
Total Tender package	10185.74	0.00	10185.74	2525.15	
Centage	1485.11	0.00	1485.11	--	
Total	11670.85	0.00	11670.85		
Note:	Utilization of funds as % of funds received from the all sources for the project as on				100.00 %

8.		Project Implementation Monitoring								(Rs. In Lakhs)	
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion		
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Scheduled Date(as per DPR)	Estimated completion date	
1-	210 mld Sewage Treatment Plant at Bingawan, Kanpur	10100.45	12709.59	--	23.11.09	23.12.10	--	83%	12/2011	31/03/2014	
	(a)		12706.19								
	(b)		3.40								
2-	Sanction Revised estimate cost , centage etc.)	4095.55	-	-	-	-	-	-	-	-	
TOTAL		14196.00		--							

Note :

1. Revised D.P.R. amounting to Rs. 141.96 Crores has been sanctioned by U.P. Govt.
2. The completion date has been revised due to non availability of fund.

9. Scheduled completion date of project as per DPR approved by CSMC: *DECEMBER 2011*

Actual duration (in month) for project completion: 27 months

Estimated time for completion of project as on date : Mar-2014

Is there a difference between schedule date of completion and estimated date of completion : Yes

In case Yes, then what are the reasons for the delay, please select from the list below

S.NO	List of issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into project Account		Non availability of funds.
2	Issues related to cost escalation	Yes	Estimate revised due to cost escalation.
3	Delay in tendering process	Yes	In view of sanction accorded by MoUD, GoI, tenders, based on open technology were floated. But the rates offered by the bidders for the construction of STP were too high. Hence, the tenders were rejected and re-invited. This delayed the tendering process.
4	Technical in tendering process		
5	Technical sanction process at state level		
6	Field level conditions leading to redesign		
7	Constraints in supply of equipment/material/technology		
8	Project Management related issues		
9	Any other issues/constraints in project implementation		

10. Status of Various Initiatives:				
S.NO	Programme	Item	Actual Status (In number)	
			During the last Quarter	Cumulative since inception of the mission
1.	Type of capacity Building Programmes	Number of official Trained		
		Number Of Non official trained	--	
2.	Workshops	National Level		
		State Level	--	
		Regional Level	--	
3.	Other (Please specify keys initiatives)		--	

11. Issues in Project Monitoring and Inspection		
S. No	Particulars	Remark
1	Inspection carried out by SLNA/GoI Officers	--
2	Date of Inspection	--
3	Issues reported during Inspection	--
4	Course correction done	--
5	Suggestions, if any, for project monitoring and MIS	--

Signature & Date

(Rajesh Kumar)
Project Manager
Project Implementing Agency

Signature & Date

(D.K.Singh)
Municipal Commissioner
Urban Local Body¹

4. SEWARAGE (DISTRICT-4, PHASE-III)

1.	Project title:	SEWERAGE WORKS IN SEWERAGE DISTRICT-4, (PART-III)	3.b	Project Bank A/c No: & Name & Address of Bank	A/c No -0727000101140881 Punjab National Bank Swaroop Nagar Kanpur
	Project code:				
2	Implementing Agency:	U. P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Rs. 20736.00 lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 11368.00 Lacs

All amounts are in Rs. lakhs

6. Capital Contribution to the project and inflows							
S. No	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13 -Dec 13)	Total to date as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8
1	GoI	10000.00	*50%	4000.00+1000.00*=5000.00	0.00	5000.00	5000.00
2	State	4515.20	*20%	2257.60	0.00	2257.60	2257.60
3	ULB	6220.80	30%	3110.40	0.00	3110.40	3110.40
	Total	20736.00	100	10368.00	0.00	10368.00	10368.00

Note: * 10 % of ACA (Rs.1000.00 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account as on date (ULB Level)	Rs.	94.46 lakhs
(UP Jal Nigam)	Rs.	25.71 lakhs
Total		120.17 lakhs

7- Monitoring Funds Utilization for the project					
Tender Package No.	Actual amounts utilized in the project Rs in lakh			Estimated expenditure for next quarter (JAN-14 to MAR-14)	Expected time to request for next Installment
	Upto end of last reporting Quarter	During the last quarter being reported (OCT-13 to DEC-13)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1	10368.00	0.00	10368.00	10368.00	
Utilization of funds as % of funds received from the all sources for the project as on date: -----100.00%					

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Schedu led Date (as per DPR)	Estimated completion date
1	Sewerage Works in Sewerage District – IV	20736.00	21195.00	-	26.02.09	13.11.09	Work is in progress.	<ul style="list-style-type: none"> ➤ 108.00 km. Sewer laid. ➤ 71% progress in construction of STP has been made. ➤ 65% progress in Sazari (42 mld capacity) Sewage Pumping Station. ➤ 65% progress in each of 2 pumping stations has been made. 	Mar-2012	31.03.2014
TOTAL		20736.00	21195.00							

Note :

1. The completion date has been revised due to non availability of fund.
2. Revised DPR of Sewerage Works in District – IV Part(III) amounting Rs. 248.05 Cr. was sent to Director Local Body, Lucknow on dt. 06-08-2012 by Chief Engineer (NURM). Some suggestions have been suggested by Director Local Body, Lucknow accordingly action has been taken and send it to Director Local Body on dt. 08-04-2013. It is forwarded by SLNA to Nagar Vikas Bibhag for necessary action.

9. **Scheduled completion date of project as per DPR approved by CSMC:** MARCH 2012

Actual duration (in month) for project completion:

Estimated time for completion of project as on date : March 2014

Is there a difference between schedule date of completion and estimated date of completion : *Yes*

In case Yes, then what are the reasons for the delay, please select from the list below

S.NO	List of issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into project Account	Yes	Non availability of funds.
2	Issues related to cost escalation		
3	Delay in tendering process		
4	Technical in tendering process		
5	Technical sanction process at state level		
6	Field level conditions leading to redesign		
7	Constraints in supply of equipment/material/technology		
8	Project Management related issues		
9	Any other issues/constraints in project implementation		

10. Status of Various Initiatives:				
S.NO	Programme	Item	Actual Status (In number)	
			During the last Quarter	Cumulative since inception of the mission
1.	Type of capacity Building Programmes			
		Number of official Trained	--	
		Number Of Non official trained	--	--
2.	Workshops			
		National Level	--	
		State Level	--	
		Regional Level	--	
3.	Other (Please specify keys initiatives)		--	--

11. Issues in Project Monitoring and Inspection		
S. No	Particulars	Remark
1	Inspection carried out by SLNA/GoI Officers	--
2	Date of Inspection	--
3	Issues reported during Inspection	--
4	Course correction done	--
5	Suggestions, if any, for project monitoring and MIS	--

Signature & Date

(A S Gaud)
Project Manager
Project Implementing Agency

Signature & Date

(D.K.Singh)
Municipal Commissioner
Urban Local Body¹

5. WATER SUPPLY IN INNER OLD CITY AREA

1.	Project title:	Kanpur Water Supply Scheme for Inner Old Area Of Kanpur City	3.b	Project Bank A/c No: & Name & Address of Bank	A/c No- 0727000101135001 PNB Swaroop Nagar Kanpur
	Project code:				
2	Implementing Agency:	U.P JAL NIGAM,	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Original Cost----Rs. 27094.89 Lakhs Revised Cost----Rs. 34079.87 Lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 2095.43 Lakhs

All amounts are in Rs. Lakhs

6. Capital Contribution to the project and inflows								
S. No	Sources	Commitment based on approved project cost	Commitment based on revised project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
						During the last quarter being reported Oct. 13 to Dec. 13	Total to date as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	(5+6)=7	3(b)-7=8
1	GoI	13547.45	13547.45	50%	12192.69+1354.75*=13547.44	0.00	13547.44	0.01
2	State	5418.98	10308.47	20%+70%	10308.54	0.00	10308.54	-0.07
3	ULB	8128.46	10223.95	30%+30%	8128.46	2095.49	10223.95	0.00
	Total	27094.89	34079.87	100%	31984.44	2095.49	34079.93	-0.06

*Note: *The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%. out of which Additional Cost is mentioned separately.*

Note: * 10 % of ACA (Rs.1354.75 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account as on date	(ULB Level)	Rs.	80.16 lakhs
	(UP Jal Nigam)	Rs.	410.05 lakhs
TOTAL			490.21 lakhs

7	Monitoring Funds Utilization for the project				
Tender Package No.	Actual amounts utilized in the project Rs in lakh			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported Oct 2013-Dec 2013	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1	7072.37	205.58	7277.95	500.00	N.A.
2	237.38	0.00	237.38	180.00	N.A.
3	6900.47	451.67	7352.14	300.00	N.A.
4	958.25	27.34	985.59	50.00	N.A.
5	1512.66	0.00	1512.66	300.00	N.A.
6	342.8	0.00	342.80	20.00	N.A.
7	347.64	21.75	369.39	20.00	N.A.
8	339.36	0.00	339.36	20.00	N.A.
9	521.3	18.23	539.53	20.00	N.A.
10	413.54	23.05	436.59	20.00	N.A.
11	315.49	5.00	320.49	20.00	N.A.
12	250.39	0.00	250.39	20.00	N.A.
13&14	159.5	3.88	163.38	20.00	N.A.
15	407.74	0.00	407.74	20.00	N.A.
16 & 17	31.07	0.00	31.07	20.00	N.A.
18	290.35	0.00	290.35	10.00	N.A.
19	51.71	1.51	53.22	20.00	N.A.
20	44.41	0.00	44.41	20.00	N.A.
21	118.11	0.00	118.11	20.00	N.A.
22	125.28	3.85	129.13	10.00	N.A.
23	349.09	9.17	358.26	10.00	N.A.
24	277.66	0.00	277.66	8.00	N.A.
25	238.53	0.00	238.53	5.00	N.A.
26	247.42	0.00	247.42	10.00	N.A.
27	152.43	0.00	152.43	7.00	N.A.
28	74.09	17.91	92.00	3.00	N.A.

29	81.75	0.10	81.85	3.00	N.A.
30	85.75	0.00	85.75	3.00	N.A.
31	41.78	0.00	41.78	1.00	N.A.
32	120.89	27.56	148.45	9.00	N.A.
33	421.99	26.68	448.67	5.00	N.A.
34	292.56	0.00	292.56	5.00	N.A.
35	333.66	0.00	333.66	5.00	N.A.
36	23.11	0.50	23.61	5.00	N.A.
37	145.49	0.00	145.49	5.00	N.A.
For power connection to KASCO	1093.12	19.74	1112.86	500.00	N.A.
Contingencies	324.73	0.00	324.73	10.00	N.A.
Consultancy fee	131	0.00	131.00	0.00	N.A.
Leak Detection Works		0.00		60.00	N.A.
Centage Utilized	3624.88	0.00	3624.88		
Total	28499.74	863.52	29363.26	2264.00	
Utilization of funds as % of funds received from all sources for the project as on date					86%

8.		Project Implementation Monitoring								
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/under Progress/Completed)	% of work Completed (Physical Progress)	Scheduled Date(as per DPR)	Estimated completion date
1	Supply laying & jointing feeder main.	6028.34	5535.15 + 2036.50	-	1- 18-10-2007 2- 20-2-2008 3- 31-3-2008 4- 02-5-2008 5- 11-08-08 6- 8-12-08 (Pipe supply & laying) 7- 17-1-09 (Pipe laying)	1- Not Accept 2- Not Accept 3- Not Accept 4- Canceled due to high rate 5- Canceled due to high rate 6- Supply tender approved on May-09 7- Laying tender approved on Sep-09	under progress	72%	31.12.11	31.03.14
2	Construction Raw water intake works at Barrage site	465.40	465.40	-	1- 18-10-2007 2- 20-2-2008 3- 31-3-2008 4- 02-5-2008 5- 11-08-08 6- 28-2-09	1- Not Accept 2- Not Accept 3- Not Accept 4- Canceled due to high rate 5- Not Accept 6- 26-9-09	under progress	96%	31.12.11	31.03.14
3	Supply laying & jointing pipe line distribution system.	4294.66	-	-	Procurement process is under progress as per Material Manager, UPJN Lko, contract agreement.		Laying of Pipe line under progress	78%	31.12.11	31.03.14

4	Construction of CWR/OHT, pump house, panel room & rising main at DPS, Nawabganj ward-45, Indira Gandhi park Pandu nagar ward-34, Pani ki Tanki Darshanpurwa ward-47 & 72, Gita park Braham nagar ward-11 & 51, Humayun bagh ward-78 & 99, Ganesh Udyan Phoolbagh ward-100 & 101	1045.00	975.7 + Escalation	-	21.01.08	01.8.08	under progress	92%	26.3.10	31.03.14
5	Construction of 200 mld Treatment Plant at Barrage site.	3056.72	2220 + Escalation	-	21.01.08	20-5-08	under progress	96%	19.05.10	31.03.14
6	Construction of CWR, P.H., OHT & Panels rooms at Central park Gita nagar ward-87 & 56, Uncha park Shastri nagar ward-27 & 82.	417.52	366.36 + Escalation	-	20.02.08	01.8.08	under progress	93%	21.6.10	31.03.14
7	Construction of CWR, P.H., OHT & Panels rooms at Takiya park prem nagar ward-94, Ramlila ground Govind nagar ward-98, Shastri park Juhi ward- 35.	630.52	415.53 + Escalation	-	20.02.08	01.8.08	under progress	93%	05.5.10	31.03.14
8	Construction of CWR, P.H., OHT & Panels rooms at Nehru park Ajit Ganj ward-108, N Block Kidwai nagar ward- 90 & 55	459.52	412.37 + Escalation	-	"	01.8.08	under progress	93%	21.6.10	31.03.14
9	Construction of CWR, P.H., & Panels rooms at Nirala Nagar ward-7 & 79, Rajiv Park Juhi ward- 25 & 23, Park Rattu Ka purwa ward- 12, 23, 95 & 75	806.52	660.12 + Escalation	-	11.08.08	18.11.08	under progress	93%	31.12.11	31.03.14
10	Construction of CWR, P.H., & Panels rooms at Pili building Shastri nagar ward-27 & 82, Ramlila park Narain purwa ward- 61 & 89, Mohanlal park Bhairoghat ward-76, 4,13, Chunniganj ZPS ward-5, 107, Rambagh park ward-41 & 65	650.52	553.8+ Escalation	-	"	30.12.08	under progress	93%	30.06.10	31.03.14
11	Construction of CWR, P.H., & Panels rooms Hemukulani park ward-38 & 47, Homeopathic Hospital ward-21&50, Bansmandi ZPS ward-35&2, Colonelganj ZPS ward-110, Swaroop nagar ZPS ward-22, Halsey road ZPS ward-102, 106, P. Shivnarain Park ward-15	600.52	545.33+ Escalation	-	20-1-09	05/2009	under progress	93%	17.2.11	31.03.14

12	Construction of CWR, P.H., & Panels rooms at Shivnarain park ward-15, colonel sehgal park ward-59, Civil line ward-103,101,106, Beconganj ward-104,40, Feelkhana ward-92, Malviya park ward-97, Babu puwa ward-54,31	519.52	482.76+ Escalation	-	11.08.08	02.1.09	under progress	93%	30.07.10	31.03.14
13	Renovation of 200 & 80mld WTP	218.80	199.00	-	07.8.09	27.9.10	completed	100%	30.07.10	31.12.13
14	Renovation of settling tank and clarifier	301.00	79.69	-	07.8.09	27.9.10	completed	100%	30.07.10	31.12.13
15	Re construction of CWR	526.90	466.90+ Escalation	-	21.01.08	15.05.08	under progress	93%	14.05.10	31.03.14
16 & 17	Residential building & Non residential building	102.00	86.80	-	March-2010	16.5.10	under progress	93%	31.12.11	31.03.14
18	R/W Pumping Plant	267.00	269.78	-	1. 16-2-10 2. 23-3-10	13.5.08	under progress	93%	31.12.11	31.03.14
19	Sub station	259.00	116.18	-	16-2-10	16.6.10	under progress	93%	31.12.11	31.03.14
20	Pumping Plant	420.00	-	-	1. 16-2-10 2. 23-3-10	16.6.10	under progress	93%	31.12.11	31.03.14
21	Sub station	352.00	189.2	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14
22	Pumping Plant at Uncha park Sastri Nagar, Halsey road, P road, Deendayal Nagar	284.00	109.71	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14
23	Pumping Plant at Manas park kakadeo cw-8, Gurunanak Park cw-11, Narayanpurwa cw-14, Chunniganj cw-18, Ramleela park Acharya nagar cw-22, Nagar Nigam dump Jhakarkati cw-23, Babupurwa sw-09	340.00	283.24	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14
24	Pumping Plant at Kalpi road cw-12, Vikramaditya cw-29, Ramleela ground sw-2, sastri park juhi sw-6, civil lines cw-30 & Becon ganj cw-31	281.70	207.92	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14
25	Pumping Plant at Swaroop nagar cw-06, colonelganj cw-27, Tikoniapark parwati bagla road cw-28, Harbanshmohal cw-37, Saeedbaba park sw-08, Takiya park premnagar cw-26	314.00	222.24	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14
26	Pumping Plant at Vishnupuri cw-03, Coolibazar cw-32, Juhi Niralanagar sw-04, N. block Vyayamshala sw-10, Baradevi sw-05	308.00	247.63	-	14.2.08	25.9.08	under progress	93%	24.04.10.	31.03.14

27	Pumping Plant Jhoola park parwati Bagala road cw-17, Rattu ka purwa sw-05	144.00	142.24	-	14.2.08	25.9.08	under progress	93%	29.03.10	31.03.14
28	Pumping Plant Bansmandi cw-24, Pheelkhana cw-34, Tilaknagar cw-5	93.00	58.93	-	06.11.07	13.5.08	under progress	93%	30.11.09	31.03.14
29	Pumping Plant at Darshanpurwa cw-13, Azadpark cw-1	85.00	80.32	-	06.11.07	13.5.08	under progress	93%	30.11.09	31.03.14
30	Pumping Plant at Indira Gandhi park Pandunagar cw-09	35.00	34.74	-	06.11.07	13.5.08	under progress	93%	30.11.09	31.03.14
31	Pumping Plant at Jawahar Nagar Park cw-19	46.00	41.98	-	06.11.07	13.5.08	under progress	93%	30.11.09	31.03.14
32	Pumping Plant at Chamanganj cw-25, Nanarao park cw-35	147.70	93.11	-	12.3.08	03.9.08	under progress	93%	01.9.10	31.03.14
33	Sub station at CW-26, CW-30 & CW-31, CW-06, 09,12,13,24,27,28,29,37 SW-02, 06 & 08	649.96	386.70	-	12.3.08	03.9.08	under progress	93%	01.9.10	31.03.14
34	Sub station at CW-1, 8,10,11,14,18,19,22,23,25,33,35 & SW-09	632.00	380.00	-	12.3.08	03.9.08	under progress	93%	30.03.10	31.03.14
35	Sub station at CW-03, 17, 32 SW-04, 05 & 10	318.70	209.00	-	12.3.08	03.9.08	under progress	93%	1.12.09	31.03.14
36	Sub station at SW-07 (RATTU KA PURWA)	55.00	35.70	-	14.2.08	12.6.09	under progress	93%	31.12.11	31.03.14
37	Replacement of Pumping Plant at Raw water pumping station, Bhaironghat	158.00	117.07	-	21.01.08	01.8.08	under progress	93%	31.12.11	31.03.14
	For power to KESCO	865.00					under progress			
	Contingencies @ 3%	785.36								
	Consultancy Fee @ 0.5%	131.00								
	Total	27094.89								
	Sanctioned Revised Estimate Difference cost (like Road Reinstatement, Centage, Labour Cess etc.)	6984.88								
	Grand Total	34079.87								

9. **Scheduled completion date of project as per DPR approved by CSMC:- December-2010**

Actual duration (in month) for project completion:- 39 months.

Estimated time for completion of project as on date : March 2014

Is there a difference between schedule date of completion and estimated date of completion : Yes

In case Yes, then what are the reasons for the delay, please select from the list below

S.NO	List of issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into project Account	Yes	Project is delayed due to non availability of sufficient funds in time. As the only fifty percent of funds have been released till 03.5.2011 and the GOI & State Share of third installment is received on 03.5.2011. The Partly ACA of 4 th installment received on May-12 and its ULB Share received on Nov.12. The 30% difference amount of revised cost are still awaited.
2	Issues related to cost escalation	Yes	The rate of Road Reinstatement enhanced abruptly, hence the revision of project was unavoidable. Revised estimate has been sanctioned by State Govt.
3	Delay in tendering process	Yes	Tender of feeder main, intake & distribution network was called on turnkey basis, but three times no tender received, fourth time tender received but tendered cost was double from estimated cost. Then after the tender was splited in two parts supply & laying. Now the tenders have been approved by competent authority on July-09. The works in progress.
4	Technical in tendering process	No	
5	Technical sanction process at state level	No	
6	Field level conditions leading to redesign	No	
7	Constraints in supply of equipment/material/technology	No	
8	Project Management related issues	No	
9	Any other issues/constraints in project implementation	Yes	1. Due to delay in release of funds. 2. Non clearance of site of ZPS in time. 3. Appx. 6.0 Km. Feeder main have been laid in parts without testing. Thereafter the contract bond of the executing firm M/s. Doshion Ltd. was rescind due to poor performance. After re-tendering balance work has been allotted to M/s. EMS, Ghaziabad, which will execute feeder main works of Ganga Barrage to Phoolbagh IPS from funds available & rest of the work (From Ganga Barrage to Juhi Gaoushala IPS) will be executed after sanctioning of 2nd revised estimate already submitted to GoUP.

10. Status of Various Initiatives:				
S.NO	Programme	Item	Actual Status (In number)	
			During the last Quarter	Cumulative since inception of the mission
1.	Type of capacity Building Programmes			
		Number of official Trained	--	--
		Number Of Non official trained	--	--
2.	Workshops			
		National Level	--	--
		State Level	--	--
		Regional Level	--	--
3.	Other (Please specify keys initiatives)			

11. Issues in Project Monitoring and Inspection		
S. No	Particulars	Remark
1	Inspection carried out by SLNA/GoI Officers	IRMA
2	Date of Inspection	02.12.13
3	Issues reported during Inspection	Inspection Report Awaited.
4	Course correction done	--
5	Suggestions, if any, for project monitoring and MIS	--

Signature & Date

(P.C.Shukla)
Project Manager
Project Implementing Agency

Signature & Date

(D.K. Singh)
Municipal Commissioner
Urban Local Body¹

6. WATER SUPPLY PHASE II

1.	Project title:	WATER SUPPLY PHASE II	3.b	Project Bank A/c No: & Name & Address of Bank	ULB A/c No 0727000101140359 Punjab National Bank Swaroop Nagar Kanpur
	Project code:				
2	Implementing Agency:	U. P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Original Cost---- Rs. 37778.92 lakhs Revised Cost---Rs. 47515.00 lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year (FY 13-14)	Rs. 3372.95 Lakhs

All amounts are in Rs. lakhs

6. Capital Contribution to the project and inflows								
S. No	Sources	Commitment based on approved project cost	Commitment based on approved revised project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
						During the last quarter being reported Oct.13 to Dec.13	Total to date as on 31.12.13	
1	2	3(a)	3(b)	4	5	6	7=(5+6)	3(b)-7=8
1	GoI	18889.46	18889.46	50%	17000.51+1888.95*=18889.46	0.00	18889.46	0.00
2	State	7555.78	14371.31	20%+70%	7555.78+6815.26*=14371.04	0.00	14371.04	0.27
3	*ULB	11333.68	14254.62	30%+30%	10881.67	3372.83	14254.50	0.12
	Total	37778.92	47515.39	100%	44142.17	3372.83	47515.00	0.39

*Note: *The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%. out of which Additional Cost is mentioned separately.*

Note: * 10 % of ACA (Rs.1888.95 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account as on date	(ULB Level)	Rs. in Lacs	157.19
	(UP Jal Nigam)	Rs. in Lacs	531.50
TOTAL		Rs. in Lacs	688.69

7						Monitoring Funds Utilization for the project					
Tender Package No.		Actual amounts utilized in the project Rs in lakh				Estimated expenditure for next quarter	Expected time to request for next Installment				
		Up to end of last reporting Quarter	During the last quarter being reported Oct.13 - Dec.13	Cumulative Expenditure as on 31.12.13							
1	2	3	4=(2+3)	5	6						
1	25807.14	42.74	25849.88	1500.00	NA						
1a	90.76	0.00	90.76								
Road Reinstatement	2971.42	115.89	3087.31								
Consultancy Fee	154.36	0.00	154.36								
Power Connection	512.95	106.87	619.82								
Contingencies	380.33	0.52	380.85								
Total	29916.96	266.01	30182.97	1500.00							
Utilized Centage	4976.74	0.00	4976.74	-							
G. Total	34893.70	266.01	35159.71	1500.00							
Utilization of funds as % of funds received from all sources for the project as on date					74%						

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completi on	Tender Release date	Tender Award date	(Work Not started/under Progress/Co mpleted	% of work Completed (Physical Progress)	Schedule d Date(as per DPR)	Estimated completion date
1	The Single package of the whole work of Kanpur Water Supply Scheme Pahse-II under JNNURM	37778.92	31500.00	-	26.2.2009	26.9.09	Under Progress	78%	Mar-2012	March 2014
	Sanctioned Revised Estimate Difference cost (like Road Reinstatement, Centage, Labour Cess etc.)	9736.37								
Grand Total		47515.39								

Scheduled completion date of project as per DPR approved by CSMC: March-2012

Actual duration (in month) for project completion: 36 Months

Estimated time for completion of project as on date : March 2014

Is there a difference between schedule date of completion and estimated date of completion : No

9. In case Yes, then what are the reasons for the delay, please select from the list below

S.NO	List of issues	Yes/No	Brief remarks on the reason for delay
1	Delay related to fund release into project Account	Yes	Project is delayed due non availability of sufficient funds in time. The Partly ACA of 4 th installment received on May-12 and its ULB Share received on Nov.12. The 30% difference amount of revised cost are still awaited.
2	Issues related to cost escalation	Yes	The rate of Road Reinstatement enhanced abruptly, hence the revision of project was unavoidable. Revised estimate has been sanctioned by State Govt.
3	Delay in tendering process	Yes	Due to Lok-Sabha Election - 2009
4	Technical in tendering process	No	
5	Technical sanction process at state level	No	
6	Field level conditions leading to redesign	No	
7	Constraints in supply of equipment/material/technology	No	
8	Project Management related issues	No	
9	Any other issues/constraints in project implementation	Yes	<ol style="list-style-type: none"> 1. Non clearance of site, due to public agitation. 2. Necessary permission awaited from Railway, Highway authority & Cantt. Board. 3. Laying of 6.5 Km. PSC Feeder Main in Cantonment Area could not be done, because of permission not yet received.

10. Status of Various Initiatives:				
S.NO	Programme	Item	Actual Status (In number)	
			During the last Quarter	Cumulative since inception of the mission
1.	Type of capacity Building Programmes			
		Number of official Trained	--	--
		Number Of Non official trained	--	--
2.	Workshops			
		National Level	--	--
		State Level	--	--
		Regional Level	--	--
3.	Other (Please specify keys initiatives)			

11. Issues in Project Monitoring and Inspection		
S. No	Particulars	Remark
1	Inspection carried out by SLNA/GoI Officers	IRMA
2	Date of Inspection	02.12.13
3	Issues reported during Inspection	Inspection Report Awaited.
4	Course correction done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date

(P.C.Shukla)
Project Manager
Project Implementing Agency

Signature & Date

(D.K. Singh)
Municipal Commissioner
Urban Local Body¹

Quarterly Progress Report for Uttar Pradesh

Under JnNURM Program

Time Period: October 2013-December 2013

This Report comprises

State level report	
Uttar Pradesh	
City level report	
Lucknow	
.....	
Project Level reports	
Project code	Name of Project
	1- Sewerage Project of District – 1 of Lucknow City.
	2- Sewerage Project of District – 3 Part-1 of Lucknow City.
	3- Sewerage Project of District – 3 Part- 2 of Lucknow City.
	4- Water Supply Project Phase -1, Part-1 of Lucknow City.
	5- Water Supply Project Phase -1, Part-2 of Lucknow City.
	6- Solid Waste Management Project of Lucknow City.
	7- Storm Water Drainage Project of Lucknow City.

Part-II

Reforms

Name of City: LUCKNOW

1. Mandatory Reforms at City Level			
	Commitment as per the MoA for the current financial year	Progress made during the Quarter (October 2013-December 2013)	Cumulative progress as on 31.12.2013
a)	Implementation of Accounting Reforms		
	Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM or otherwise		Municipal Financial Accounting manual prepared, in the process of approval. Code's adopt in DEAS.
	GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		GO No-4094/9-5-2008-119/2007 dated June 2, 2008 regarding cutoff date for full migration of municipal accounting system from 1.04.2009 issued.
	Training of personnel	RCUES provides training on property tax certification process also provides the training on GIS based MIS for Tax collection. Nagar Nigam is organizing in house Training Program of the Accounts Department. Trainings at several levels are being conducted with the ASCI, RCUES, UPPAM Academy, SLNA, PMU, PIU etc for service delivery improvisation of the municipal Accounting services.	Being done Since 2006-07 and as per the draft NMAM from September, 2008, detailed TNA assessed by UPAAM. RCUES & SLNA conduct training program for ULB officers as well as employees. In House training of municipal officials being done.
	Appointment of field-level consultant for implementation at the city-level	Appointed	Chartered Accountant firm M/s Asija Associates has been appointed as consultant for financial year 2010-11, 2011-12 & 2012-13.
	Notification of cut-off date for migrating to the double-entry accounting system	Notified and implemented for total migration from 1-4-2009 ,	DEAS has been Notified and implemented for total migration from 1-4-2009 but both the systems (i.e. single & double entry systems) are being maintained simultaneously for the FY 2012-13.
	State year from which ULB will commence preparation of outcome budgets	Outcome budget for FY-2010-11 has been prepared on the basis of various reports from DEAS	Nagar Nigam commenced preparation of Outcome Budget from FY 2007-08.
	State year in which ULB will undertake Credit rating	Credit Rating for 2008 -09 done by CARE	In FY 2008-09 "BB+" Credit Rating done By CARE
b)	Property Tax reforms		

Elimination of exemptions	Done	Categories of properties such as Mandir, Masjid, Gurudwara, Church, Kabristan, Shamshan Ghat, Graveyard etc. only are exempted.
Migration to Self-Assessment System of Property Taxation	Done	Self assessment implemented for residential properties.
Establish Taxpayer education programme	Ward wise/ Zonal wise camps organized. Print and electronic media is being used for the Taxpayer education program.	Tax payers can calculate ARV and property tax on LMC website http://lmc.up.nic.in/ and pay tax by Net-banking.
Achievement of 85% Coverage Ratio	Total numbers of assessed holdings are 482679 out of which 5480 holdings are exempted. Bills have been issued to 477199 properties out of 492980 properties. This indicates coverage ratio of 96.79%.	Achieved. For FY 2012-13 Property Tax Coverage was 90.2 %. For FY 2013-14 (Till August 2013) Property Tax Coverage is 96.79%
Achievement of 90% Collection Ratio for current demand (see item f in Current Status above)	In FY 2013-14 (Till August 2013) 1-Current Demand---Rs. 9315.50 lakhs 2-Arrear Demand---Rs. 134.59 Lakhs 3-Total Demand---Rs. 9450.09 lakhs 4-Total Collection ---Rs. 5125.42 lakhs 5-Collection Ratio---54.20 %	Achieved In FY 2012-13 1-Current Demand---Rs. 9220.76 lakhs 2-Arrear Demand---Rs. 154.24 Lakhs 3-Total Demand---Rs. 9375.00 lakhs 4-Total Collection ---Rs.9240.41 lakhs 5-Collection Ratio---98.35 %
Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year(excl. tax assessments under litigation, but incl. Property Tax / service charge levied on Government properties)	In FY 2013-14 (Till August 2013) 1-Arrear Demand---Rs. 134.59 lakhs 2-Arrear Collection--Rs. 5.07 lakhs 3-Collection Efficiency---3.78 %	In FY 2012-13 1-Arrear Demand---Rs. 154.24 lakhs 2-Arrear Collection--Rs. 24.24 lakhs 3-Collection Efficiency---15.71 %

c)	Reforms in levy of user charges		
	1-The State should set up a body for recommending a user charge structure.	Nagar Nigam Act amendment for introduction of user charges for various services initiated. The User charges for the MSW services have been revised by Executive Committee on December 2012. Consultant engagement for the approach & methodology and its rationalization, for the user charges of various services like WS/Sew/SWM shall be taken as per requirement.	User charges imposed for SWM services. Nagar Nigam also collects user charges for Parking, community centers, open grounds etc.
	2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. (i) Water Supply and Sewerage (ii) SWM	Done.	Achieved. Proper accounting system is based upon the corresponding budget codes. SMW user charges collection being done at concessionaire end through GPRS based hand held devices and deposited in the dedicated Nagar Nigam bank account. Real time updation of collection and deposits in web based MIS.
3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UfW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both. i. Non-Revenue Water (NRW) ---12 % ii. Un-accounted for Water (UfW)--28%	Information System Improvement Plan (ISIP) under SLB amounting Rs. 4.65 cr. Is pending at MoUD, Gol for sanction.	As per commitment. Water Audit and Pressure testing is being done by Jalkal Vibhag, Nagar Nigam.	

	<p>4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %) Water Supply ----- 68 Sewerage ----- 68</p>	<p>Water Supply & Sewerage</p> <p>In FY 2013-14 (Till August 2013) 1-O&M Cost----- Rs.3832.00 Lakhs 2-O&M Income----Rs.3215.00 Lakhs 3-O&M Recovery--84 % (without Electricity charges)</p> <p>SWM User Charges Collection of MSW Services till Sept-13 is Rs 4.07 Cr.</p>	<p>Water Supply & Sewerage</p> <p>In FY 2012-13 1-O&M Cost----- Rs.7831.39 Lakhs 2-O&M Income----Rs.8155.81 Lakhs 3-O&M Recovery---104 % (without Electricity charges)</p> <p>The User charges for the MSW services has been revised by Executive Committee on December 2012. Consultant engagement for the approach & methodology and its rationalization, for the user charges of various services, shall be taken as per requirement.</p>
d)	Implementation of E-Governance in municipalities		
1-Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP Based on the National Mission Mode Project of GoI the design document will be prepared.	e-Governance DPR of Lucknow Nagar Nigam (LNN) has been submitted to MoUD for approval. In addition, LNN has allocated Rs 80.0 Lacs for implementation of e-Governance in the Annual Budget of 2013-2014.	MEDD based on NMMP has been prepared and submitted to Govt. of India for approval.	
2-Assessment of MEDD against National E-Governance Standards	Done	Done.	
3-Finalization of Municipal E-Governance implementation action plan for the city	Action plan for all modules of e-Governance has been finalized and action plan is being implemented by Nagar Nigam.	State level E-Governance DPR, highlighting the State Data Centre, prepared by IIT Kanpur in 2009, has been approved based on the Municipal E-Governances design document of NMMP. DPR of Lucknow has been prepared & submitted to GOI through SLNA. Nagar Nigam has implemented the initial phases of several modules and necessary training of the staff with own resources.	
4-Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems	Reengineering of E-Governance modules related to every activity/section in Nagar Nigam has been incorporated in the DPR. State level DPR as well as the State Level Data Centre has been approved.	Re-Engineering Of Business processes of Accrual Based Accounting System and property tax collection achieved, Tax collection facility is also available through 44 e-Suwidha centre in the municipal limit.	

5-Appointment of Software consultant(s) / agency for development, deployment And training	UPDESCO appointed as Software consultant. The E-Governance DPR prepared by UPDESCO incorporates the development, deployment and training processes.	UPDESCO have been appointed as consultant(s) / agency for development of softwares and applications. Deployment and training is being done in house at LMC level and by the UPAAM at the State level.
6-Exploring PPP option for different E-Governance services	Public Grievance Redressal System is being operated on PPP basis	PPP option has been adopted for few e-Governance modules. Citizen centered cyber facilities at e-suvidha centers for collection of property tax implemented. Full options of PPP will be taken up in the implementation phase of e-Governance Project. In this quarter LMC has implemented e-tendering on PPP model.
7- Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	Data of all the properties uploaded on the website, citizens can access to-date outstanding dues and deposit the same net-banking credit/debit cards. LNN revised the Tax rate and PT. ARV calculator available on website of LNN. LNN revised the Guidance Values and provided self assessment facility for tax payers. Remarkable increase in properties under Tax net of LNN in last six months .	Property tax Services are being provided online through website. Assessment/ARV/Demand posted in Nagar Nigam website http://lmc.up.nic.in/ and dues can be deposited online using debit/credit cards. Online cash counters are operational at Nagar Nigam Head Office, 5 Zonal Offices besides the 44 E-Suvidha centers located in Lucknow Municipal Corporation area.
Accounting	Financial Statements as per new system have been prepared including trial balance, income and expenditure account and balance sheets for FY 2009-10 & 2010-11. Bank Reconciliation Statements in relation to Day-to-day transactions being taken up periodically for FY 2012-13. Provisional Balance Sheet as on 31.03.2011 is to be finalized after adaptation of audited Balance sheet as on 31.03.2010.	OBS for 2011-12 has been prepared in DEAS and improved financial management systems are being integrated with various financial modules.

Water Supply & Other Utilities	Billing/ collection/Receipt Generation process fully computerized and is operational through zonal offices and 44 e-Suwidha Centres..	Billing/ collection/Receipt Generation process fully computerized and is operational through zonal offices and E-Suwidha Centres.
Birth & Death Registration	Certificates are being issued computerized	Online receipt of applications and issue of certificates has been started and certificate with digital signatures being dispatched through e-mail with the time limit of Seven days in birth cases and Ten days in death cases. Offline computerized facility is being provided to citizens at all the Zonal Offices.
Citizen's Grievance Monitoring	<p>Web based Citizen Grievance Monitoring & Redressal System is implemented and successfully operative. Complaints are received through on line portal, telephonically and also personally. Complaints are sent to the concerned personnel through SMS on their mobile. Using web portal, the Departmental Heads and Zonal officers download the complaints, after the compliances is made, the redressal status also fed on the portal. Even the senior Officials can have a stock picture of the status. The same Portal is being used for the monitoring of the system.</p> <p>Each Complaint that is received gets a unique docket no and all the complaints can be tracked by the officers through this web portal.</p> <p>The reminders of the complaints which are not addressed within the prescribe time , is sent to the concerned officer through SMS, Mail etc.IVRS based Citizens Grievance Monitoring & Redressal System has been implemented with Toll Free No.-18001800522.</p>	Web based modules implemented since May 2010 with Toll Free Number IVRS facility. Complaints and suggestions are being recorded online with SMS service to citizens and the concerned official. Duration for resolving the grievances adopted as per the citizen charter. Data has been computerized and facility available online.

Personnel Management System	Database & updation as per the new forms done on DLB website. Stand alone PMS software incorporating several details, pay-roll, pension, PF etc in use of LNN since 2005.	Online PMS implemented & Service book Information, Employee salary, Pension, PF, Bonus, Attendance, VRS, Health, and Leave etc maintained under PMS System. PMS is prepared and uploaded on the Directorate Local Bodies and the Nagar Nigam websites
Procurement and Monitoring of projects		
<ul style="list-style-type: none"> E-Procurement 	E-Tendering Solutions on PPP basis Design-Implement-Operate-Transfer (DIOT) system implemented in LNN. M/s ANTARES SYSTEMS Limited engaged for internet based end to end e-procurement solutions for online tenders/online bids/Electronic auctions. All financial transactions (Tender Fee, EMD, Security etc) are provided online through Payment Gateway	Online e-tendering implemented in Nagar Nigam through M/s Antares systems Ltd., Bangalore on PPP basis. E-Tendering module completely online with all financial transactions of tender fees, EMD & security etc. through NEFT. Digital Signature is mandatory for registered contractors in financial Year 2013-14. LMC awarded all the tenders in last quarter through E-Tendering.
<ul style="list-style-type: none"> Project / Ward Work 	This Module as part of the State/ULB integrated software has been included in the LNN E-Governance DPR. Monitoring of JnNURM is being done through PMIS.	For Project Management at Nagar Nigam level, Project Management System Software has been prepared and is ready for implementation.
Building Plan Approval	Building Plan Approval is regulated by LDA under the BR Act. LNN issues NOC only to LDA. NOC records, collection of dues etc. has been computerized	LDA is working on it. LMC has developed computerized system for recording the database.
Health Programs		
<ul style="list-style-type: none"> Licenses 	Being done by FDA.	Online software is ready for implementation. Computerized Licenses are being issued through application software.
<ul style="list-style-type: none"> Solid Waste Management 	Solid Waste Management is being implemented under the 30 years Concession Agreement through concessionaire on PPP basis. The module incorporates online information/ data flow of hand held User Charge Collection Devices through GPRS based MIS,	Online/GPRS based software prepared & operational by the Concessionaire, downlinks made available at LMC.

e)	Earmarking of funds for basic services to the poor		
	<p>BUDGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ▪ Creation of separate Municipal Fund in the accounting system for 'Services to the Poor' ▪ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for 'Services to Poor'. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> ▪ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----20% ▪ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income ---- 20% ▪ Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure--- 20% 	<p>Done.</p> <p>1-Separate outcome budget has been prepared for 2010-11 for BSUP.</p> <p>2-Delivery of services to poor and non poor targeted as per revenue expenditure.</p> <p>3-Total 25% Municipal Development budget of the year 2013-14 is allocated for BSUP.</p> <p>Max. total revenue Expenditure target for services of poor ---25% for 2013-14</p> <p>Max. own revenue Expenditure target for services of poor ---25% for 2013-14</p> <p>Max. capital revenue Expenditure target for services of poor ---25% for 2013-14</p>	<p>Achieved.</p> <p>Rs. 14 .00 crore earmarked for BSUP in annual budget 2013-14 of LMC</p> <p>25% Municipal Development budget of the year 2012-13 was Allocated for BSUP.</p>
f)	Basic Services to Urban Poor	<p>A workshop was organized in LNN wherein 40 local NGOs working in and for the urban poor participated and exchanged views. The NGOs prioritized their areas of concern related to the infrastructural development in urban poor areas. Community awareness programs were organized in a number of slums with the help of local NGOs. Awareness program on sanitation and cleanliness is being organize for the school children. Organized Free check-up camps for the adolescent girls and the ladies. Iron tablets and the like would be distributed as and when required.</p>	<p>This reform agenda is being implemented with assistance of DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Integrated Scheme focused on the poor are being implemented by State Government encompassing all 7 Point Charter Services has already been covered.</p> <p>Aasara Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services.</p>

Optional Reform

SI No	Commitment as per the MoA	Progress made during the (Oct 13-December 13)	Cumulative progress as on 31.12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days.	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to
B	Maximum Reduction of Average time for Building Sanction		

			that extent.
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	<p>The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment</p>

			<p>of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building; Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the Developer will not include the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.</p>
Earmarking of Land for EWS/LIG Housing and a system of cross subsidy			
A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.	<p>Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is</p>
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		

			<p>required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.</p>
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>	<p>Nagar Nigam is following the byelaws framed by Govt. in National Building Code</p>	<p>Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Contral Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.</p>
Structural Reform			
A	New Initiatives planned within organisation	<p>1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.</p> <p>2-Monthly meetings of departments</p>	<p>All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office.</p>
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies		

		<p>working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments</p>	<p>Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No.1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Vendors. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Nigam level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been</p>
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			created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.
Administrative Reform			
A	<p>1-Rationalization in staff & Human Resource Management</p> <p>2-Staff Training</p> <p>3- Reduction in Establishment Expenditure</p> <p>4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure.</p> <p>Bio-Metric system has been launched for the monitoring of daily attendance of LNN employees.</p> <p>CCTV's have been installed at the key positions of various department of LNN to keep an eye on the working of the employees and now senior officers can have a view whenever they require to check it up.</p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up.</p> <p>In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
Encouraging PPP			
A	List down the city level project initiatives planned through PPP in the next three years	<p>1) SWM project of NNM is in progress on PPP Model.</p> <p>(2)Construction of Modern Slaughter House.</p> <p>(3)Replacement of low efficiency water pumps to energy efficiency pumps.</p>	<p>GO-No- 1. 1783/9-1-01-66सा/01, दिनांक 22-6-2002- 4584/नौ-1-04-2(9)/2002दिनांक 13-1-2004 3-4323/9-1-2005-66सा /2001 टीसी, दिनांक 13-9-2005 174/9-1-2006-66सा/2001टीसी दिनांक 21-3-2006 Done Act amended.</p> <p>Order issued GO-No- 1855/9-5-08-54EO/2007</p>

		<p>(4)E-Governance project of NNM services.</p> <p>(5) EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps and Street Lights on PPP model.</p>	<p>dated 6.8.2008. Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009.</p>
2.	<p>MOA: MOA has been signed by GOI, GOUP & Lucknow Nagar Nigam on 08.01.2007.</p>		
3.	<p>List initiatives taken if any for creation of a Revolving Fund at the city level</p> <ul style="list-style-type: none"> ▪ Zero interest, Revolving fund based on SFC devolutions is in vogue for many years; with a moratorium of 5 years for the repayment/source deduction of the loan installment from the SFC Funds. ▪ Lucknow Municipal Corporation Budget incorporates the provisions for the Local Body contributions of 30% towards the project costs under the UIG component of JNNURM; the same has been incorporated in the budget of FY 2013-14 as well. ▪ Funds of ULB share have been released as a loan to local bodies from the Revolving Fund for the JNNURM Projects. 		

4. Any innovations / good practices in urban governance, project development and service delivery implementation in the city during the reporting period
<ul style="list-style-type: none"> • New web based PGRS with voice logger and IVRS has been introduced for citizens Grievance Monitoring & Redressal with Tel No-0522-230770 and 9415607789
<ul style="list-style-type: none"> • Revised CDP being prepared. Survey has been done. Three workshops organized at Lucknow Municipal Corporation
<ul style="list-style-type: none"> • Online e-tendering implemented in Lucknow Nagar Nigam through M/s Antares Systems Ltd. Bangalore on PPP basis. E-tendering module completely online with all financial transactions like Cost of tender forms & EMD deposits through NEFT/RTGS and Net Banking.
<ul style="list-style-type: none"> • LMC head quarter connected with RF connectivity with NIC (SWAN).
<ul style="list-style-type: none"> • LMC employees attendance recorded by bio- metric attendance monitoring system at head quarter.
<ul style="list-style-type: none"> • All important location of LMC head quarter equipped with CCTV for monitoring of activities of employees and public interaction.
<ul style="list-style-type: none"> • Online Death & Birth registration with digital signature. All hospital of Lucknow city being listed in online data base and User ID & password issued by LNN. All birth & death registration being done online by hospitals
<ul style="list-style-type: none"> • LMC account section is fully computerized. Day to day accounting in DEAS with Tally ERP-9 on intranet being done by all the accounts clerks and officers.
<ul style="list-style-type: none"> • De-Centralization of all Municipal Activities to Zone Level Officials.
<ul style="list-style-type: none"> • All Zonal Offices interlinked with Lucknow Municipal Corporation Head Quarter through WAN and leased lines.
<ul style="list-style-type: none"> • Property Tax Demand, collection, deposits, receipts online, besides the facilities made through 44 E-Suvidha centers.
<ul style="list-style-type: none"> • Animal welfare promotion and health care through PPP for Dogs in the Dog care centre and instituted in Nandishala (for bulls), Shri Krishna Gaushala (for cows), Vanar Vatika (for monkeys), Shwanalaya (for stray dogs), Varaha Vatika (for pigs) in the 52 hectare Kanha Upvan Complex at Amausi.
<ul style="list-style-type: none"> • Common bio Medical Waste Treatment Facility for the Bio Medical Wastes under construction under PPP at village Bindova, Tahsil Mohanlalganj.
<ul style="list-style-type: none"> • International Shooting Range (Indoor and Outdoor) being developed in Amausi.
<ul style="list-style-type: none"> • Traffic Training Park developed for on spot training of the citizen and for professional training of drivers. The facility is initiated to be operated and maintained on PPP.
<ul style="list-style-type: none"> • Solar Traffic Signals with pedestrian priority facility setup and handicapped/disabled at forty one major junctions on PPP in accordance with a corridor management plan.

Signature & Date

(TS K Ambedkar)
Chief Engineer
Lucknow Nagar Nigam

P.K.Srivastava)
Add. Municipal Commissioner
Lucknow Nagar Nigam

(Rakesh Kumar Singh)
Municipal Commissioner
Lucknow Nagar Nigam

**Office Of the General Manager, Gomti Pollution Control Unit, UP Jal Nigam, Lucknow.
Lucknow Sewerage District-I, Under JnNURM Progress Upto 31.12.2013**

1	Project title :	Urban Sewerage of Lucknow City District-I	Project Bank Account No	A/C No. 4483000100007812
	Project code			PNB Gomti Nagar
2	Implementing Agency:	U.P. Jal Nigam	Project Cost	Rs. 23623.00 Lakhs

5. Budget Allocation by ULB / Parastatal Agency

Allocation in ULB / Parastatal Agency budget for this project in current financial year 2013-14	NA
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All amounts are in Rs. Lakhs

6 Capital Contributions to the project and inflows							
S.No.	Sources	Commitment based on approved project cost	% of total project cost	Actual released upto end of last reporting quarter (Sept-13)	Actual amounts released into project account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7(5+6)	8(3-7)
1	GOI	11811.50	50%	11811.48	0.00	11811.48	0.02
2	State	4724.60	20%	4724.60	0.00	4724.60	0.00
3	ULB	7086.90	30%	7086.89	0.00	7086.89	0.01
4	Others						
	Total	23623.00	100%	23622.97	0.00	23622.97	0.03

³ **Note (For filling table)**

- 1 Quarter is defined to be designed with the financial year time frames.
- 2 Actual dates / amounts for all sources should corresponds to date / amounts of actual cash inflow in the project bank account.
- 3 Amount committed pending release for current financial year and beyond should be linked to project implementation schedule starting from project

Total interest accumulated in bank account to date	ULB Level	190.45 lakhs
	Jal Nigam level	113.65 lakhs
	Total:	304.10 lakhs

All amount are in Rs. Lakhs

7		Monitoring Funds Utilisation⁵ for the Project					
Tender / Package No.		Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next installment	
		Up to end of last reporting Quarter (Sept 2013)	During the last quarter being reported (Oct 2013-Dec 2013)	Cumulative expenditure as on 31.12.2013			
1		2	3	4(2+3)	5	6	
(A)	<u>LAYING OF SEWERS</u>						
1	<u>TRUNK SEWER</u>						
a	17/GM(Gomti)07-08	7213.43		7213.43			
b	22/GM(Gomti)11-12 dt. 16.9.11	35.15		35.15			
c	111/PM/TGPCU/11-12 dt. 23.9.11	36.32		36.32			
2	<u>SAADAT GANJ WARD (150 to 200 mm dia branch sewer)</u>						
a	Saadatganj Ward - Group - I 5/GM(Gomti) dt. 7.2.08	92.62		92.62			
b	Saadatganj Ward - Group - II 13/GM(Gomti) dt 20.2.08	89.76		89.76			
c	Saadatganj Ward - Group - III 12/GM(Gomti) dt. 8.02.08	69.13		69.13			
d	Saadatganj Ward - Group - IV 10/GM(Gomti) dt 8.2.08	83.66		83.66			
e	Saadatganj Ward - Group - V 11/GM(Gomti) 8.2.08	55.19		55.19			
f	Saadatganj Ward - Group - VI 9/GM(Gomti) dt 8.2.08	51.79		51.79			
g	Saadatganj Ward - Group - VII 12/GM(Gomti) dt 6.6.08	24.65		24.65			
h	Saadatganj Ward - Group - VIII 1/GM(Gomti) dt 25.4.08	39.82		39.82			
i	Saadatganj Ward-Group-IX(i) 11/PM-1 dated 7.1.08	29.38		29.38			
j	Saadatganj Ward-Group-IX(ii) 8/PM(T) dated 23.10.08	41.21		41.21			
3	<u>MALLAHI TOLA WARD-II (150 to 200 mm dia branch sewer)</u>						
a	Mallahi Tola Ward-II - Group - I 7/GM(Gomti) dt 19.5.08	55.23		55.23			
b	(a) Mallahi Tola Ward-II - Group - II (Part-A) 8/GM(Gomti) dt 8.2.08	34.23		34.23			
c	(b) Mallahi Tola Ward-II - Group - II (Part-B) 14/GM(Gomti) dt 20.2.08	38.37		38.37			
d	Mallahi Tola Ward-II - Group - III 16/GM(Gomti) dt 23.2.08	38.62		38.62			
e	Mallahi Tola Ward-II - Group - IV 1/PM-1 dt 23.4.08	24.56		24.56			
f	Mallahi Tola Ward-II - Zone-III Group - IV 6/PM-/ dt 25.8.08	23.24		23.24			
g	Mallahi Tola Ward-II - Zone-IV Group - VII 6/PM(T)/08-09	30.69		30.69			

4	ZONE-I (150 to 200 mm dia branch sewer)				
a	Group-A 17/GM dt 15.9.08	82.10		82.10	
b	Group-B 20/GM/08-09 dt 11.11.08	166.97		166.97	
c	Group-C (Part-1) 8/PM-1/08-09	36.91		36.91	
d	Group-C (Part-2) 12/PM-1/08-09	35.64		35.64	
e	Group-D 29/GM/08-09 dt 29.11.08	92.82		92.82	
f	Group-E 33/GM/08-09 dt 20.12.08	88.69		88.69	
g	Group-F 24/GM/08-09 dt 28.11.08	79.65		79.65	
h	Group-G 21/GM/08-09 dt 10.11.08	86.74		86.74	
i	Group-H 25/GM/ dt 28.11.08	83.18		83.18	
j	Group-I 34/GM/07-08 dt. 12.1.09	64.58		64.58	
k	Group-J 27/GM/08-09 dt 29.11.08	68.56		68.56	
l	Group-K (Part-1) 10/PM-1/08-09	17.87		17.87	
m	Group-K (Part-2) 9/PM-1/08-09	26.88		26.88	
n	Group-L 18/GM/08-09 dt 20.10.08	65.98		65.98	
o	Group-L-A 25/PM/TGPCU/09-10 dt 30.11.09	35.08		35.08	
p	Group-A-2 Distt-I CBN 4/PM/TGPCU/09-10 Dt. 23.05.09	39.53		39.53	
q	Zone-1 Group C-1-A 1/PM/TGPCU/09-10 dt 20.05.09	25.68		25.68	
r	Zone-1 Group-A-2 CBN 5/PM/TGPCU/09-10 Dt. 06.06.09	27.91		27.91	
s	Zone-1 Distt-I Group-E CBN 2/PM-I/09-10 Dt. 17.07.09 Gomti-I	20.69		20.69	
t	Zone-1 Distt-I Group G-I CB No. 29/PM/TGPCU/09-10 Dt. 30.11.09	38.61		38.61	
5	ZONE-II Part-1 branch sewer) 13/GM(Gomti) dt 4.7.08	436.30		436.30	
6	ZONE-II (150 to 200 mm dia branch sewer)				
a	Zone-II Part-2 Branch Sewers 11/GM (Gomti) dt 30.5.08	508.93		508.93	
b	Zone-II Part-3 Distt-I CBN 6/PM.TGPCU/09-10 Dt. 23.06.09	43.75		43.75	
c	Zone-II Part-4(A) CBN 01/GM(Gomti)/09-10 Dt. 15.05.09	80.59		80.59	
d	Zone-II Part-4 CBN 2/PM/TGPCU/09-10 Dt. 25.05.09	42.16		42.16	
e	Distt-I Zone-II Part-V CBN 12/PM(T)/09-10 Dt. 04.08.09	19.33		19.33	
f	Distt-I Zone-II Part-VI CBN 8/PM/TGPCU/09-10 Dt. 18.07.09	42.01		42.01	
g	Distt-I Zone-II Part-VII CBN 11/PM/TGPCU/09-10 Dt. 04.08.09	39.79		39.79	
h	Distt-I Zone-II Part-VIII CBN 9/PM/TGPCU/09-10 Dt. 23.07.09	25.36		25.36	

i	Distt-I Zone-II Part-IX CBN 07/PM/TGPCU/09-10 Dt. 18.07.09	23.80		23.80		
j	Distt-I Zone-II Part-I-1A CBN 15/PM/TGPCU/09-10 Dt. 26.9.09	21.28		21.28		
k	Distt-I Zone-II Part-ID CBN 16/PM/TGPCU/09-10 Dt. 26.09.09	7.85		7.85		
l	Distt-I Zone-II Part-V-A CBN 17/PM/TGPCU/09-10 Dt. 01.10.09	6.37		6.37		
m	Distt-I Zone-II Part-IC CBN 18/PM/TGPCU/09-10 Dt. 08.10.09	11.98		11.98		
n	Distt-I Zone-II Part-II-V CBN 19/PM/TGPCU/09-10 Dt. 13.10.09	40.47		40.47		
o	Distt-I Zone-II Group-N-2 CBN 21/PM/TGPCU/09-10 Dt. 22.10.09	21.26		21.26		
p	Distt-I Zone-II Group-B CBN 5/PM-II/09-10 Dt. 19.11.09	23.26		23.26		
q	Distt-I Zone-II Group-Z CB No. 26/PM/TGPCU/09-10 dt. 30.11.09	30.17		30.17		
r	Zone-II Group-A Cb No. 3/PM-II/09-10 Dt. 19.11.09	31.16		31.16		
s	Distt-1 Zone-II Group-C CB No. 4/PM-II/09-10 Dt.19.11.09	23.24		23.24		
t	Dist-1 Zone-II Cb No. 3/PM/ TGPCU/10-11 Dt. 7.7.10	47.22		47.22		
u	Dist-1 Zone-II Cb No. 4/PM/ TGPCU/10-11 Dt. 9.7.10	45.70		45.70		
v	Distt-I Zone-I & II CB No. 23/PM/TGPCU/09-10 Dt. 24.10.09	31.05		31.05		
w	Distt-I Zone-I & II CB No. 24/PM/TGPCU/09-10 Dt. 24.10.09	36.66		36.66		
x	Zone-II Group-B Cb No. 11/PM-II/09-10 Dt. 06.1.10	38.66		38.66		
y	CB No. 31/PM/TGPCU/10-11 Dt. 11.03.11	22.21		22.21		
z	CB No. 35/PM/TGPCU/10-11 Dt. 25.3.11	10.29		10.29		
a1	CB No. 10/PM/TGPCU/10-11 Dt. 21.7.11	13.02		13.02		
a2	CB No. 11/PM/TGPCU/11-12 Dt. 21.7.11	11.56		11.56		
a3	CB No. 03/PM/TGPCU/11-12 Dt. 29.4.11	14.70		14.70		
a4	Zone-I&Zone-II 75/PM-II/11-12 dt 25.12.2011	14.20		14.20		
7	Zone-III Part-1 Branch Sewer 8/GM(Gomti) dt 22.5.08	385.58		385.58		
8	Zone-III Part-2 (150 to 200 mm dia branch sewer)					
a	Group-M 30/GM/08-09 dt 4.12.08	70.90		70.90		
b	Group-N (Part-A) 31/GM/08-09 dt 11.12.08	51.07		51.07		
c	- Group-N (Part-B) 28/GM/08-09 dt 28.11.08	52.17		52.17		
d	Group-O 16/GM dt 8.9.08	96.68		96.68		
e	Group-P 22/GM/08-09 dt 28.11.08	67.91		67.91		
f	Group-Q 15/GM dt 8.9.08	95.12		95.12		
g	Zone-III A Distt-I CBN 03/GM/Gomti/09-10 Dt. 18.06.09	94.91		94.91		

h	Zone-III Distt-I Part-II CBN 02/PM-II/09-10 Dt. 18.11.09	41.91	41.91	
i	Zone-III Distt-I Part-II CBN 12/PM-II/09-10 Dt. 27.1.10	28.60	28.60	
j	Zone-III Distt.-1 CB. No. 5/PM/TGPCU/10-11 dt. 12.7.10	40.80	40.80	
k	Zone-III Distt.-1 CB. No. 12/PM/TGPCU/10-11 dt. 9.9.10	17.26	17.26	
l	CB No. 8/PM/TGPCU/11-12 dt 2.7.11	5.98	5.98	
m	CB No. 81/PM/TGPCU/11-12 dt 5.9.11	31.91	31.91	
n	CB No. 148/PM/TGPCU/11-12 dt 22.12.11	7.31	7.31	
9	Zone-IV (150 to 200 mm dia branch sewer)			
a	9/GM Gomti) dt 22.5.08	437.76	437.76	
b	Group-A 2/PM-1.08-09 dt 5.8.08	29.17	29.17	
c	Group-B 9/PM-T/08-09 dt 22.11.08	34.79	34.79	
d	Zone-IV Group 'C' (150/200)10/PM/TGPCU/08-09 dt 20.12.09	10.31	10.31	
e	Zone-IV Group 'D' (150/200) 14/PM/TGPCU/08-09 dt 17.02.09	27.45	27.45	
f	Zone-IV Group 'E' (150/200) 15/PM/TGPCU/08-09 dt 05.03.09	22.59	22.59	
g	Zone-IV Group 'F' Distt-I CBN 3/PM/TGPCU/09-10 Dt. 22.05.09	11.87	11.87	
h	Zone-IV Distt-I Part-I A CB No. 27/PM/TGPCU/09-10 Dt. 30.11.09	43.39	43.39	
i	Zone-IV Group 'F' Distt-I CBN 28/PM/TGPCU/09-10 Dt. 30.11.09	16.38	16.38	
j	Zone-IV Group 9A Distt-I CBN 32/PM/TGPCU/10-11 dt. 21.3.11	17.61	17.61	
k	CB No. 124/PM/TGPCU/11-12	25.02	25.02	
l	CB No. 139/PM/TGPCU/11-12	7.23	7.23	
10	Pipes Supply (150 & 200mm dia)			
a	2/GM(Gomti)08-09	61.10	61.10	
b	4/GM(Gomti)08-09	16.93	16.93	
c	6/GM(Gomti)08-09	53.87	53.87	
d	32/GM(Gomti)/08-09	68.44	68.44	
e	13/PM/TGPCU/09-10 dt 26.9.09	28.48	28.48	
f	14/PM/TGPCU/09-10 dt 26.9.09	26.16	26.16	
g	1/PM-II/09-10 dt 18.11.09	14.48	14.48	
h	8/PM-II/09-10 Dt. 06.01.2010	23.04	23.04	
	Supply of RCC pipe by order			
i	150mm dia	5.84	5.84	
j	200mm dia	3.51	3.51	
11	Supply of foot steps	32.93	32.93	
12	Supply of MH cover	218.16	218.16	
13	Road reinstatement paid to Nagar Nigam	5467.69	5467.69	

14	Road reinstatement paid to PWD	45.23		45.23		
15	Road reinstatement paid to PWD (Unit-2)	51.07		51.07		
16	Road reinstatement (Jal Nigam)					
i	33/PM-TGPCU/09-10 dt. 04.02.10					
ii	02/PM-TGPCU/11-12 dt. 19.04.11					
iii	06/PM-TGPCU/11-12 dt. 20.06.11					
iv	147/PM-TGPCU/11-12 dt. 22.12.11					
v	146/PM-TGPCU/11-12 dt. 22.12.11	120.70		120.70		
vi	38/PM-TGPCU/11-12 dt. 23.08.11					
vii	149/PM-TGPCU/11-12 dt. 22.12.11					
viii	27/PM/TGPCU/12-13, dt. 17.6.12					
ix	124/PM/TGPCU/11-12, dt. 23.09.11 (Suplementry C.B.)					
	BY ORDER					
i	Zone-2 Camplwel road near Exon school. No. 925/Work-15/77 dt 8.11.11	7.23		7.23		
ii	Zone-1 Andhy ki chauki, Hardoi road. No. 4020/Work-16/77 dt 9.12.11	4.97		4.97		
17	Departmental work	18.30		18.30		
	Total "A"	19095.26	0.00	19095.26		
(B)	OTHER CIVIL WORKS					
1	14 mld STP in Daulatganj campus 5/GM(Gomti)/08-09 dt 16.5.08	779.00		779.00		
2	56 mld SPS in Daulatganj campus 10/GM(Gomti)/08-09 dt 22.5.08	132.50		132.50		
3	2/PM/TGPCU/10-11 Generating room	12.18		12.18		
4	Rising Main	70.56		70.56		
18	Construction of Connecting Chamber	1708.78		1708.78		
19	Construction of Connecting Chamber (Unit-2)	141.31		141.31		
20	Interconnection 150/PM-T/11-12	37.49		37.49		
21	Connection of BS 152/PM/TGPCU/11-12	27.66		27.66		
22	Misc. works Connection of Branch sewer, road crossing, Manhole, Connecting chamber etc and Trench less work.	482.72		482.72		
(C)	E & M Works:					
19	Pumping plant	301.67		301.67		
20	Sub Station	95.85		95.85		
21	Generator	66.57		66.57		
(D)	Contingencies and allied work for execution such as setting up site offices, computer hardware, software, computer operator, stationeries, P.I./T.I. for JE's and other petty payment for requirement of works at UPJN HQ DLB office, UDD etc.	618.45		618.45		
	Total (A+B+C+D)	23570.00	0.00	23570.00		
	Utilisation of funds as % of funds received from all sources for the project as on date			99.78% (Project is Complete)		

8. Project Implementation Monitoring

List all tender packages proposed for the project											
Pakage No.	Brief Title of Tender Package	Cost in (Rs.Lacs)			Project Start			Implementation status		Completion	
		Estimate	Awarded	On Completion	Tender Release Date	Tender Award date	Tender complete date	Work not started / Under progress / completed	% of works completed (Physical progress)	Schedule date(As per DPR)	Estimated /Revised Completion date
1	2	3	4	5	6	7	7a	8	9	10	11
(A)	<u>LAYING OF SEWERS</u>										
1	<u>Trunk Sewer</u>	7473.00								30.6.2010	
a	250 to 1800 mm dia Trunk Sewer		7472.63		27.11.07	28.3.08	29-5-10/ 27.6.10	Completed	100		1. Scheme has been handed over to JalKal Vibhag, Nargar Nigam Lucknow 2. Public sewer connection is providing by JalKal
b	22/GM(Gomti)11-12 dt. 16.9.11		90.00		1.9.11	16.9.11	30.11.11	Completed	100		
c	111/PM/TGPCU/11-12 dt. 23.9.11		33.71		11.9.11	23.9.11	22.11.11	Completed	100		
	<u>Branch Sewer</u>	14343.49								30.6.2010	
2	<u>SAADAT GANJ WARD (150 to 200 mm dia branch sewer)</u>										
a	Saadatganj Ward - Group - I, 5/GM(Gomti) dt. 5.2.08		82.86		5.12.2007	5.2.08	4.6.2008/4 .10.08	Completed	100		
b	Saadatganj Ward - Group - II 13/GM(Gomti) dt 20.2.08		77.68		5.12.2007	20.2.08	19.06.200 8/24.01.09	Completed	100		
c	Saadatganj Ward - Group - III 12/GM(Gomti) dt. 8.2.08		63.16		5.12.2007	20.2.08	19.06.200 8/19.12.09	Completed	100		
d	Saadatganj Ward - Group - IV 10/GM(Gomti) dt 8.2.08		76.66		5.12.2007	8.2.08	7.06.2008/ 15.03.09	Completed	100		
e	Saadatganj Ward - Group - V 11/GM(Gomti) 8.2.08		52.98		5.12.2007	8.2.08	8.02.2008/ 7.10.08	Completed	100		
f	Saadatganj Ward - Group - VI 9/GM(Gomti) dt 8.2.08		73.70		5.12.2007	10.10.08	9.04.2009/ 30.6.10	Completed	100		
g	Saadatganj Ward - Group - VII 12/GM(Gomti) dt 6.6.08		68.41		5.12.2007	6.6.08	15.10.08	Completed	100		
h	Saadatganj Ward - Group - VIII 1/GM(Gomti) dt 25.4.08		64.33		5.12.2007	25.4.08	24.08.08/ 23.12.08	Completed	100		
i	Saadatganj Ward-Group-IX(i)		29.55		7.2.08	31.3.08	30.7.08/31	Completed	100		

	11/PM-1 dated 7.1.08					.08.08			
j	Saadatganj Ward-Group-IX(ii) 8/PM(T) dated 23.10.08	39.99		23.10.08	23.10.08	22.8.09	Completed	100	
3	<u>MALLAHI TOLA WARD-II (150 to 200 mm dia branch sewer)</u>								
a	Mallahi Tola Ward-II - Group-I, 7/GM(Gomti) dt 19.5.08	80.70		5.12.2007	19.5.08	18.09.08/3 1.03.10	Completed	100	
b	(a) Mallahi Tola Ward-II - Group - II (Part-A) 8/GM(Gomti) dt 8.2.08	37.63		5.12.2007	8.2.08	06-07-08	Completed	100	
c	(b) Mallahi Tola Ward-II - Group - II (Part-B) 14/GM(Gomti) dt 20.2.08	37.44		5.12.2007	20.2.08	19.06.08/ 19.8.08	Completed	100	
d	Mallahi Tola Ward-II - Group - III 16/GM(Gomti) dt 23.2.08	37.90		16.2.08	23.2.08	22.6.08/28 .2.09	Completed	100	
e	Mallahi Tola Ward-II - Group - IV 1/PM-1 dt 23.4.08	23.93		23.4.08	23.4.08	22.8.08/22 .10.08	Completed	100	
f	Mallahi Tola Ward-II - Zone-III Group - IV 6/PM-1/ dt 25.8.08	29.81		22.8.08	25.8.08	24.06.09	Completed	100	
g	Mallahi Tola Ward-II - Zone-IV Group - VII 6/PM(T)/08-09 dt 6.9.08	29.00		5.9.08	6.9.08	5.1.09	Completed	100	
4	<u>ZONE-I Branch Sewer (150 to 200 mm dia branch sewer)</u>								
a	Group-A 17/GM dt 15.9.08	79.29		2.9.08	15.9.08	14.9.09	Completed	100	
b	Group-B 20/GM/08-09 dt 11.11.08	92.97		1.11.08	11.11.08	10/11/09/ 10.3.10	Completed	100	
c	Group-C (Part-1) 8/PM-1/08-09 dt 18.10.08	35.37		15.10.08	18.10.08	17.10.09	Completed	100	
d	Group-C (Part-2) 12/PM-1/08-09 dt 29.11.08	35.08		15.10.08	29.11.08	28-11-09	Completed	100	
e	Group-D 29/GM/08-09 dt 29.11.08	88.51		15.10.08	29.11.08	28-11-09	Completed	100	
f	Group-E 33/GM(Gomti) dt 20.12.08	86.19		15.10.08	20.12.08	19-12-09	Completed	100	
g	Group-F 24/GM/08-09 dt 28.11.08	77.93		11.7.08	28.11.08	27.11.09	Completed	100	
h	Group-G 21/GM/08-09 dt 10.11.08	84.83		21.6.08	11.11.08	10.11.09	Completed	100	
i	Group-H 25/GM/ dt 28.11.08	72.56		6.9.08	28.11.08	27.11.09	Completed	100	
j	Group-I 34/GM(Gomti)08-09 dt 12.1.09	63.89		6.9.08	12.1.09	11-1-2010	Completed	100	

Vibhag.

k	Group-J 27/GM/08-09 dt 29.11.08		66.64		15.10.08	29.11.08	28-11-08	Completed	100
l	Group-K (Part-1) 10/PM-1/08-09		28.76		15.10.08	24.11.08	23-11-09	Completed	100
m	Group-K (Part-2) 9/PM-1/08-09		28.37		15.10.08	25.11.08	24-11-09	Completed	100
n	Group-L 18/GM/08-09 dt 20.10.08		58.34		4.9.08	20.10.08	19-10-09	Completed	100
o	Group-L-A 25/PM/TGPCU/09-10 dt 30.11.09		25.31		18.11.09	30.11.09	28.2.010	Completed	100
p	Group-A-2 Distt-I CBN 4/PM/TGPCU/09-10 Dt. 23.05.09		39.71		25.5.09	03.06.09	04.08.09	Completed	100
q	Zone-1 Group C-1-A 1/PM/TGPCU/09-10 dt 20.05.09		24.81		12.5.09	21.05.09	20.08.09	Completed	100
r	Zone-1 Group-A-2 CBN 5/PM/TGPCU/09-10 Dt. 06.06.09		27.96		30.5.09	06.06.09	05.09.09	Completed	100
s	Zone-1 Distt-I Group-E CBN 2/PM-I/09-10 Dt. 17.07.09		29.74		6.07.09	17.07.09	16.08.09/ 16.11.09	complete	100
t	Zone-1 Distt-I Group G-I CB No. 29/PM/TGPCU/09-10 Dt. 30.11.09		33.37		30.11.09	31.3.10	31.03.201 0	Completed	100
5	ZONE-II Part-1 branch sewer) 13/GM(Gomti) dt 4.7.08		570.85		30.5.08	4.7.08	3.10.10	Completed	100
6	ZONE-II Branch Sewer (150 to 200 mm dia branch sewer)								
a	Zone-II Part-2 Branch Sewers 11/GM (Gomti) dt 29.5.08		508.33		16.5.08	30.5.08	28.05.10	Completed	100
b	Zone-II Part-3 Distt-I CBN 6/PM.TGPCU/09-10 Dt. 23.06.09		39.27		15.6.09	23.06.09	22.11.09	Completed	100
c	Zone-II Part-4(A) CBN 01/GM(Gomti)/09-10 Dt. 15.05.09		68.87		7.5.09	15.05.09	14.08.09/ 31.3.10	Completed	100
d	Zone-II Part-4 CBN 2/PM/TGPCU/09-10 Dt. 25.05.09		39.82		15.5.09	25.05.09	23.10.09	Completed	100
e	Distt-I Zone-II Part-V CBN 12/PM(T)/09-10 Dt. 04.08.09		30.13		26.7.09	20.10.09	19.1.10	Completed	100
f	Distt-I Zone-II Part-VI CBN 8/PM/TGPCU/09-10 Dt. 18.07.09		39.86		8.7.09	18.07.09	9.2.10	Completed	100
g	Distt-I Zone-II Part-VII CBN 11/PM/TGPCU/09-10 Dt. 04.08.09		39.90		08.07.09	08.07.09	07.11.09/ 07.1.10	Completed	100
h	Distt-I Zone-II Part-VIII CBN 9/PM/TGPCU/09-10 Dt. 23.07.09		23.97		08.07.09	08.07.09	24.06.09/ 7.12.09	Completed	100
i	Distt-I Zone-II Part-IX CBN 07/PM/TGPCU/09-10 Dt. 18.07.09		20.44		8.07.09	18.07.09	2.12.09	Completed	100

j	Distt-I Zone-II Part-I-1A CBN 15/PM/TGPCU/09-10 Dt. 26.9.09		19.28		30.8.09	26.9.09	25.12.09	Completed	100
k	Distt-I Zone-II Part-ID CBN 16/PM/TGPCU/09-10 Dt. 26.09.09		8.68		16.9.09	26.9.09	25.12.09	Completed	100
l	Distt-I Zone-II Part-V-A CBN 17/PM/TGPCU/09-10 Dt. 01.10.09		7.09		26.9.09	1.10.09	31.12.09	Completed	100
m	Distt-I Zone-II Part-IC CBN 18/PM/TGPCU/09-10 Dt. 08.10.09		9.86		1.10.09	8.10.09	7.1.10/ 6.2.10	Completed	100
n	Distt-I Zone-II Part-II-V CBN 19/PM/TGPCU/09-10 Dt. 13.10.09		24.93		16.9.09	13.10.09	12.1.10	Completed	100
o	Distt-I Zone-II Group-N-2 CBN 21/PM/TGPCU/09-10 Dt. 22.10.09		21.62		24.9.09	22.10.09	21.1.10	Completed	100
p	Distt-I Zone-II Group-B CBN 5/PM-II/09-10 Dt. 19.11.09		24.95		15.11.09	19.11.09	17.2.10	Completed	100
q	Distt-1 Zone-II Group-C CB No. 4/PM-II/09-10 Dt.19.11.09		25.56		19.11.09	27.1.10	28.02.10	Completed	100
r	Distt-I Zone-II Group-Z CB No. 26/PM/TGPCU/09-10 dt. 30.11.09		29.96		30.11.09	28.2.10	17.2.10	Completed	100
s	Zone-II Group-A Cb No. 3/PM- II/09-10 Dt. 19.11.09		34.98		19.11.09	17.2.10	17.01.10	complete	100
t	Dist-1 Zone-II Cb No. 3/PM/ TGPCU/10-11 Dt. 7.7.10				30.6.10	7.7.10		complete	100
u	Dist-1 Zone-II Cb No. 4/PM/ TGPCU/10-11 Dt. 9.7.10				1.7.10	9.7.10		complete	100
v	Distt-I Zone-I & II CB No. 23/PM/TGPCU/09-10 Dt. 24.10.09		30.39		24.10.09	23.1.10	23.01.10	complete	100
w	Distt-I Zone-I & II CB No. 24/PM/TGPCU/09-10 Dt. 24.10.09		32.25		24.10.09	23.1.10	23.01.10	complete	100
x	Zone-II Group-B Cb No. 11/PM- II/09-10 Dt. 06.1.10		18.05		29.09.09	06.01.10	05.07.10	complete	100
y	CB No. 31/PM/TGPCU/10-11 Dt. 11.03.11		21.42		1.3.11	11.3.11		complete	100
z	CB No. 35/PM/TGPCU/10-11 Dt. 25.3.11		11.91		10.3.11	25.3.11		complete	100
a1	CB No. 10/PM/TGPCU/10-11 Dt. 21.7.11		12.72		10.7.11	21.7.11	20.11.11	complete	100
a2	CB No. 11/PM/TGPCU/11-12 Dt. 21.7.11		11.91		10.7.11	21.7.11	20.11.11	complete	100
a3	CB No. 03/PM/TGPCU/11-12 Dt. 29.4.11		14.99		20.4.11	29.4.11		complete	100
a4	Zone-I&Zone-II 75/PM-II/11-12 dt		16.07		15.12.11	25.12.11	30.6.201	complete	100

	25.12.2011					2		
7	Zone-III Part-1 Branch Sewer 8/GM(Gomti) dt 22.5.08	451.70		16.5.08	22.5.08	21.11.09	complete	100
8	Zone-III Part-2 (150 to 200 mm dia branch sewer)							100
a	Group-M 30/GN/08-09 dt 4.12.08	95.38		18.11.08	4.12.08	3/12/2009/ 2.4.10	complete	100
b	Group-N (Part-A) 31/GM/08-09 dt 11.12.08	46.50		18.11.08	11.12.08	10.12.09	complete	100
c	- Group-N (Part-B) 28/GM/08-09 dt 28.11.08	46.27		18.11.08	29.11.08	28-11-09/ 28.2.10	complete	100
d	Group-O 16/GM dt 8.9.08	89.14		2.9.08	8.9.08	7.9.09	complete	100
e	Group-P 22/GM/08-09 dt 28.11.08	85.85		2.9.08	28.11.08	27-11-09	complete	100
f	Group-Q 15/GM dt 8.9.08	95.41		2.9.08	8.9.08	28.2.10	complete	100
g	Zone-III A Distt-I CBN 03/GM/Gomti/09-10 Dt. 18.06.09	60.57		02.06.09	19.09.09	4.11.09	complete	100
h	Zone-III Distt-I Part-II CBN 02/PM-II/09-10 Dt. 18.11.09	39.52		1.11.09	18.11.09	31.1.10	complete	100
i	Zone-III Distt-I Part-II CBN 12/PM-II/09-10 Dt. 27.1.10	27.57		29.12.09	27.01.10	27.04.10	complete	100
j	Zone-III Distt.-1 CB. No. 5/PM/TGPCU/10-11 dt. 12.7.10	31.93		3.7.10	12.7.10	11.11.10	complete	100
k	Zone-III Distt.-1 CB. No. 12/PM/TGPCU/10-11 dt. 9.9.10	12.26		1.7.10	9.9.10	8.1.11	complete	100
l	CB No. 8/PM/TGPCU/11-12 dt 2.7.11	6.72		2.7.11	1.10.11	1.10.11	complete	100
m	CB No. 81/PM/TGPCU/11-12 dt 5.9.11	33.81		25.8.11	5.9.11	4.3.12	complete	100
n	CB No. 148/PM/TGPCU/11-12 dt 22.12.11	9.01		10.12.11	22.12.11	21.2.12	complete	100
9	Zone-IV (150 to 200 mm dia branch sewer)						complete	100
a	9/GM Gomti) dt 22.5.08	514.63		16.5.08	22.5.08	21.8.10	complete	100
b	Group-A 2/PM-1.08-09 dt 5.8.08	28.16		31.7.08	5.8.08	30.5.09	complete	100
c	Group-B 9/PM-T/08-09 dt 22.11.08	34.97		24.10.08	25.11.08	24.3.09/ 24.6.09	complete	100
d	Zone-IV Group 'C' (150/200)10/PM/TGPCU/08-09 dt 20.12.08	9.92		20.12.08	22.12.08	20-3-09	complete	100
e	Zone-IV Group 'D' (150/200) 14/PM/TGPCU/08-09 dt 17.2.09	25.94		17.2.09	17.2.09	16.5.09 / 30.6.09	complete	100
f	Zone-IV Group 'E' (150/200) 15/PM/TGPCU/08-09 dt 5.3.09	21.62		18.2.09	5.3.09	4.6.09	complete	100
g	Zone-IV Group 'F' Distt-I CBN	12.64		16.05.09	25.5.09	24.08.09/	complete	100

	3/PM/TGPCU/09-10 Dt. 22.05.09						24.2.10				
h	Zone-IV Distt-I Part-I A CB No. 27/PM/TGPCU/09-10 Dt. 30.11.09	35.42		30.11.09	31.3.10		31.03.2010	complete	100		
i	Zone-IV Group 'F' Distt-I CBN 28/PM/TGPCU/09-10 Dt. 30.11.09	15.96		30.11.09	31.3.10		31.03.2010	complete	100		
j	Zone-IV Group 9A Distt-I CBN 32/PM/TGPCU/10-11 dt. 21.3.11	17.85		15.3.11	21.3.11		20.9.11	complete	100		
k	CB No. 124/PM/TGPCU/11-12	26.60		15.3.11	21.3.11		20.9.11	complete	100		
l	CB No. 139/PM/TGPCU/11-12	7.90		15.3.11	21.3.11		20.9.11	complete	100		
10	Pipes Supply (150 & 200mm dia)										
a	2/GM(Gomti)08-09	51.79	61.10	17.4.08	25.4.08		24.10.08	complete	100	30.06.09	
b	4/GM(Gomti)08-09	51.79		17.4.08	6.5.08		5.11.08	complete	100		
c	6/GM(Gomti)08-09	51.79	53.87	17.4.08	19.5.08		18.11.08	complete	100		
d	32/GM(Gomti)/08-09	67.50	68.44	5.12.08	12.12.08		31.5.09	complete	100	11.5.09	
e	13/PM/TGPCU/09-10 dt 26.9.09	26.89		15.9.09	15.9.09		30.9.10	complete	100		
f	14/PM/TGPCU/09-10 dt 26.9.09	26.89		15.9.09	15.9.09		31.03.2010	complete	100		
g	1/PM-II/09-10 dt 18.11.09	21.54		15.11.09	18.11.09		31.3.10	complete	100		
h	8/PM-II/09-10 Dt. 06.01.2010	22.06		6.1.10	5.4.10		05.04.2010/ 19.6.10	complete	100		
11	Supply of foot steps (on rate contract)	80.00						complete	100		
12	Supply of MH cover (on rate contract)	200.00						complete	100		
13	Road reinstatement paid to Nagar Nigam	5467.21						complete	100		
14	Road reinstatement paid to PWD	45.23						complete	100		
15	Road reinstatement paid to PWD (Unit-2)	51.07						complete	100		
16	Road reinstatement Jal Nigam										
i	33/PM-TGPCU/09-10 dt. 04.02.10	4.02		28.3.10	04.02.10		03.04.10	complete	100		
ii	02/PM-TGPCU/11-12 dt. 19.04.11	19.65		15.4.11	19.04.11		18.07.11	complete	100		
iii	06/PM-TGPCU/11-12 dt. 20.06.11	24.60		15.6.11	20.06.11		19.08.11	complete	100		
iv	147/PM-TGPCU/11-12 dt. 22.12.11	4.73		10.12.11	22.12.11		21.02.12	complete	100		
v	146/PM-TGPCU/11-12 dt. 22.12.11	9.10		10.12.11	22.12.11		21.02.12	complete	100		

vi	38/PM-TGPCU/11-12 dt. 23.08.11		20.32		11.12.11	23.8.11	22.11.12	complete	100		
vii	149/PM-TGPCU/11-12 dt. 22.12.11		11.28		10.12.11	22.12.11	21.02.12	complete	100		
viii	27/PM/TGPCU/12-13, dt. 17.6.12		5.04		5.6.12	17.6.12	16.9.12	complete	100		
ix	124/PM/TGPCU/11-12, dt. 23.09.11 (Supplementary C.B.)		22.00		11.9.11	23.09.11	22.03.12	complete	100		
17	Departmental work		18.30	18.30				complete	100		
(B)	OTHER CIVIL WORKS										
1	14 mld STP in Daulatganj campus 5/GM(Gomti)/08-09 dt 16.5.08	629.72	779.00		2.5.08	19.5.08	18.5.09/ 18.2.10	complete	100	31.3.2010	
2	56 mld SPS in Daulatganj campus 10/GM(Gomti)/08-09 dt 22.5.08	171.40	130.00		16.5.08	22.5.08	21.5.09/ 21.6.10	complete	100	31.3.2010	
3	2/PM/TGPCU/10-11 Generating room		17.59		25.6.10	3.7.10	4.10.10	complete	100		
4	Rising Main		70.56					complete	100		
18	Construction of Connecting Chamber		1708.78					complete	100		
19	Construction of Connecting Chamber (Unit-2)		141.37					complete	100		
20	Interconnection 150/PM-T/11-12		38.53					complete	100		
21	Connection of BS 152/PM/TGPCU/11-12		28.91					complete	100		
22	Misc. works Connection of Branch sewer, road crossing, Manhole, Connecting chamber etc and Trench less work.		482.72					complete	100		
(C)	E & M Works:										
i	Pumping plant	198.17	235.00					complete	100	30.6.2010	
ii	Sub Station	49.50	50.00					complete	100	30.6.2010	
iii	Generator	69.50	70.00					complete	100	30.6.2010	
(D)	Contingencies and allied work for execution such as setting up site offices, computer hardware, software, computer operator, stationaries, P.I./T.I. for JE's and other petty payment for requirement of works at UPJN HQ DLB office, UDD etc.	688.04	688.04								
	Total:	23622.82								30.9.2010	

9 Scheduled completion date of Project as per DPR⁷

Approved by CSMC: month / year :

Actual duration (in months) for project completion:

Estimated time for completion of project as on date : month / year

Is there a difference between schedule date of completion and estimated date of completion: Yes / No :

In case Yes, then what are the reasons for the delay, please select from the list below:

9/2010
36
Complete
YES

Sl.No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i	Delay related to fund release into Project Account	No	
ii.	Issues related to cost escalation	No	
iii.	Delay in tendering process	No	
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment / material / technology	YES	Delay in supply of RCC pipes for sewer at initial stage.
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues	No	
ix.	Any other issues / constraints in project implementation.	YES	1) Heavy and prolonged rains in year 2008-09 2) Parliament and Vidhan Sabha Bye elections. 3) Stoppage of works during festivals as the area is very sensitive.4).Many works are in very narrow lanes and the depth is about 5 to 6 mts.

10 Status of Various Initiatives:				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained		
		Number of Non Official Trained		
2	Workshops			
		National Level		
		State Level		
		Regional Level		
3	Other (Please specify key initiatives)			

11	Issues in Project Monitoring and Inspections	
S.No.	Particulars	Remarks
1	Inspection carried out by SLNA/GoI Officers (During reporting quarter)	Technical Advisor, IRMA
2	Date of Inspection	20.08.2013
3	Issues reported during Inspections	
4	Course corrections date	--
5	Suggestion, if any, for project monitoring and MIS	--

**Office Of the General Manager, Gomti Pollution Control Unit, UP Jal Nigam, Lucknow.
Lucknow Sewerage District-III, Part-1 Under JNNURM Progress Upto 31.12.2013**

1	Project title:	Urban Sewerage of Lucknow City District-III P-1			4	Project Bank A/c No. & Name and Address of Bank	A/c No-44830001000010890 PNB, Gomti Nagar Lucknow	
2	Project Code:					Projects Cost (Rs. Lacs) as sanctioned	Original Cost-Rs. 26216.00 lakhs Revised Cost-Rs. 34451.00 lakhs	
3	Implementing Agency:	UP Jal Nigam						
5.	Budget Allocation by ULB/ parastatal agency							
Allocation in ULB /parastatal agency budget for this project in current FY 2013-14					Provision of Rs. 40000.00 Lacs has been approved for all the projects under JNNURM Program in the Annual Budget			
6	Capital Contributions to the project and inflows							
S. No	Sources	Commitment based on approved project cost	Commitment based on Revised Project cost	% of total project cost	Released upto Quarter Sept 2013	Amounts released in financial year 2013-14		Commitment pending release from source for balance project period
						During the Quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7(5+6)	8[3(b)-7]
1	Gol	13108.00	13108.00	50%	8520.20+1310.80*=9831.00	0.00	9831.00	3277.00
2	State	5243.20	11007.70	20%+70%	9696.90	0.00	9696.90	1310.80
3	ULB	7864.80	10335.30	30%+30%	10335.30	0.00	10335.30	0.00
4	Others	--		--	--	--	--	
	Total	26216.00	34451.00	100%	29863.20	0.00	29863.20	4587.80
<i>Note: The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%.</i>								
<i>Note: * 10 % of ACA (Rs.1310.80 Lakhs) which was holdup by Gol, has been released by GoUP.</i>								
Total interest accumulated in bank account to date						ULB Level	284.45 lakhs	
						Jal Nigam level	124.36 lakhs	
						Total:	408.81 lakhs	

All amount are in Rs. Lakhs

7 Monitoring Funds Utilisation⁵ for the Project

Tender / Package No.		Actual amounts utilised in the project			Estimated expenditure for next quarter	Expected time to request for next installment
		Upto end of last reporting Quarter Sept 2013	During the last quarter being reported (Oct 13 to Dec.13)	Cumulative expenditure as on 31.12.2013		
1		2	3	4(2+3)	5	6
1	06/GM (Gomti) / 09-10	59.72		59.72		10 % of ACA has to be release by GoI
2	07/GM (Gomti) / 09-10	96.13		96.13		
3	08/GM (Gomti) / 09-10	41.07		41.07		
4	09/GM (Gomti) / 09-10	29.69		29.69		
5	11/GM (Gomti) / 09-10	76.97		76.97		
6	02/GM(Gomti)/10-11	12112.25		12112.25		
7	05/GM(Gomti)/10-11	144.35		144.35		
8	06/GM(Gomti)/10-11	77.18		77.18		
9	07/GM(Gomti)/10-11	158.00		158.00		
10	08/GM(Gomti)/10-11	146.73		146.73		
11	09/GM(Gomti)/10-11	69.38		69.38		
12	10/GM(Gomti)/10-11	33.64		33.64		
13	11/GM(Gomti)/10-11	13.99		13.99		
14	12/GM(Gomti)/10-11	121.18		121.18		
15	13/GM(Gomti)/10-11	71.50		71.50		
16	14/GM(Gomti)/10-11	109.55		109.55		
17	15/GM(Gomti)/10-11	63.37		63.37		
18	16/GM(Gomti)/10-11	56.41		56.41		
19	26/GM(Gomti)/10-11	117.75		117.75		
20	27/GM(Gomti)/10-11	54.00		54.00		
21	28/GM(Gomti)/10-11	56.70		56.70		
22	29/GM(Gomti)/10-11	106.81		106.81		
23	01/GM(Gomti)/11-12	84.53		84.53		
24	03/GM(Gomti)/11-12	74.65		74.65		
25	04/GM(Gomti)/11-12	32.48		32.48		
26	06/GM(Gomti)/11-12	23.32		23.32		
27	07/GM(Gomti)/11-12	41.74		41.74		
28	08/GM(Gomti)/11-12	28.89		28.89		
29	09/GM(Gomti)/11-12	58.46		58.46		

30	10/GM(Gomti)/11-12	32.07		32.07	
31	11/GM(Gomti)/11-12	67.35		67.35	
32	12/GM(Gomti)/11-12	78.79		78.79	
33	13/GM(Gomti)/11-12	53.87		53.87	
34	14/GM(Gomti)/11-12	76.28		76.28	
35	15/GM(Gomti)/11-12	63.75		63.75	
36	16/GM(Gomti)/11-12	131.18		131.18	
37	17/GM(Gomti)/11-12	84.07		84.07	
38	18/GM(Gomti)/11-12	45.37		45.37	
39	19/GM(Gomti)/11-12	47.89		47.89	
40	21/GM(Gomti)/11-12	58.57		58.57	
41	23/GM(Gomti)/11-12	89.29		89.29	
42	24/GM(Gomti)/11-12	35.21		35.21	
43	25/GM(Gomti)/11-12	45.28		45.28	
44	26/GM(Gomti)/11-12	51.35		51.35	
45	28/GM(Gomti)/11-12	126.32		126.32	
46	29/GM(Gomti)/11-12	42.19		42.19	
47	06/PM II/09-10	31.39		31.39	
48	07/PM II/09-10	38.22		38.22	
49	09/PM II/09-10	57.28		57.28	
50	10/PM II/ 09-10	45.91		45.91	
51	13/PM II/ 09-10	33.97		33.97	
52	14/PM II/ 09-10	65.04		65.04	
53	15/PM II/ 09-10	76.65		76.65	
54	04/PM (II)/10-11	48.73		48.73	
55	05/PM (II) 10-11	49.30		49.30	
56	07/PM (II)/10-11	42.13		42.13	
57	08/PM (II)/10-11	39.42		39.42	
58	09/PM (II) 10-11	57.70		57.70	
59	10/PM (II) 10-11	27.09		27.09	
60	11/PM (II) 10-11	31.22		31.22	
61	12/PM (II) 10-11	35.92		35.92	
62	13/PM (II) 10-11	55.20		55.20	
63	14/PM (II) 10-11	34.72		34.72	
64	15/PM (II) 10-11	33.53		33.53	
65	16/PM (II) 10-11	35.65		35.65	
66	17/PM (II)/ 10-11	34.44		34.44	
67	18/PM (II)/ 10-11	71.21		71.21	
68	19/PM (II)/ 10-11	24.84		24.84	

69	20/PM (II)/ 10-11	26.05	26.05
70	21/PM (II)/ 10-11	41.88	41.88
71	22/PM (II)/ 10-11	27.97	27.97
72	23/PM (II)/ 10-11	42.36	42.36
73	24/PM (II)/ 10-11	49.71	49.71
74	25/PM (II)/ 10-11	32.40	32.40
75	26/PM (II)/ 10-11	17.79	17.79
76	27/PM (II)/ 10-11	41.43	41.43
77	28/PM (II)/ 10-11	15.33	15.33
78	29/PM (II)/ 10-11	19.93	19.93
79	31/PM (II)/ 10-11	49.17	49.17
80	32/PM (II)/ 10-11	23.15	23.15
81	33/PM (II)/ 10-11	25.97	25.97
82	35/PM (II)/ 10-11	13.91	13.91
83	36/PM (II)/ 10-11	32.46	32.46
84	37/PM (II)/ 10-11	17.75	17.75
85	38/PM (II)/ 10-11	25.37	25.37
86	39/PM (II)/ 10-11	26.94	26.94
87	40/PM (II)/ 10-11	28.38	28.38
88	41/PM (II)/ 10-11	40.76	40.76
89	42/PM (II)/ 10-11	20.70	20.70
90	43/PM (II)/ 10-11	35.75	35.75
91	44/PM (II)/ 10-11	42.23	42.23
92	45/PM (II)/ 10-11	20.85	20.85
93	47/PM (II)/ 10-11	54.16	54.16
94	48/PM (II)/ 10-11	23.51	23.51
95	49/PM (II)/ 10-11	39.79	39.79
96	50/PM (II)/ 10-11	17.96	17.96
97	51/PM (II)/ 10-11	32.67	32.67
98	52/PM (II)/ 10-11	25.88	25.88
99	53/PM (II)/ 10-11	16.41	16.41
100	54/PM (II)/ 10-11	14.36	14.36
101	55/PM (II)/ 10-11	31.25	31.25
102	57/PM (II)/ 10-11	18.07	18.07
103	58/PM (II)/ 10-11	18.10	18.10
104	59/PM (II)/ 10-11	7.16	7.16
105	60/PM (II)/ 10-11	34.46	34.46
106	61/PM (II)/ 10-11	12.44	12.44
107	63/PM (II)/ 10-11	2.45	2.45

108	64/PM (II)/ 10-11	49.49	49.49
109	65/PM (II)/ 10-11	7.96	7.96
110	67/PM (II)/ 10-11	17.91	17.91
111	68/PM (II)/ 10-11	44.96	44.96
112	69/PM (II)/ 10-11	13.98	13.98
113	71/PM (II)/ 10-11	41.70	41.70
114	72/PM (II)/ 10-11	46.92	46.92
115	73/PM (II)/ 10-11	36.35	36.35
116	74/PM (II)/ 10-11	34.29	34.29
117	75/PM (II)/ 10-11	26.39	26.39
118	76/PM (II)/ 10-11	36.81	36.81
119	01/PM (II)/ 11-12	6.19	6.19
120	02/PM (II)/ 11-12	32.47	32.47
121	04/PM (II)/ 11-12	9.92	9.92
122	05/PM (II)/ 11-12	18.04	18.04
123	06/PM (II)/ 11-12	10.56	10.56
124	07/PM (II)/ 11-12	28.53	28.53
125	08/PM (II)/ 11-12	36.75	36.75
126	12/PM (II) 11-12	13.14	13.14
127	14/PM (II) 11-12	34.99	34.99
128	15/PM (II) 11-12	21.77	21.77
129	16/PM (II) 11-12	12.05	12.05
130	17/PM (II) 11-12	25.13	25.13
131	19/PM (II) 11-12	23.18	23.18
132	21/PM (II) 11-12	47.16	47.16
133	23/PM (II) 11-12	11.96	11.96
134	24/PM (II) 11-12	13.21	13.21
135	26/PM (II) 11-12	10.29	10.29
136	30/PM (II) 11-12	15.83	15.83
137	31/PM (II) 11-12	10.85	10.85
138	33/PM (II) 11-12	6.32	6.32
139	35/PM (II) 11-12	5.87	5.87
140	39/PM (II) 11-12	33.63	33.63
141	40/PM (II) 11-12	36.48	36.48
142	42/PM (II) 11-12	40.09	40.09
143	44/PM (II) 11-12	30.45	30.45
144	45/PM (II) 11-12	38.92	38.92
145	46/PM (II) 11-12	38.40	38.40
146	47/PM (II) 11-12	38.28	38.28

147	48/PM (II) 11-12	6.48	6.48
148	49/PM (II) 11-12	4.44	4.44
149	50/PM (II) 11-12	37.71	37.71
150	51/PM (II) 11-12	12.49	12.49
151	54/PM (II) 11-12	25.99	25.99
152	55/PM (II) 11-12	4.49	4.49
153	58/PM (II) 11-12	6.91	6.91
154	59/PM (II) 11-12	5.76	5.76
155	63/PM (II) 11-12	31.88	31.88
156	64/PM (II) 11-12	40.87	40.87
157	65/PM (II) 11-12	40.96	40.96
158	66/PM (II) 11-12	39.16	39.16
159	67/PM (II) 11-12	26.81	26.81
160	68/PM (II) 11-12	29.53	29.53
161	70/PM (II) 11-12	12.57	12.57
162	01/PM (II) 12-13	35.83	35.83
163	02/PM (II) 12-13	35.27	35.27
164	05/PM (II) 12-13	7.49	7.49
165	06/PM (II) 12-13	31.03	31.03
166	07/PM (II) 12-13	6.93	6.93
167	08/PM (II) 12-13	21.73	21.73
168	09/PM (II) 12-13	29.19	29.19
169	10/PM (II) 12-13	26.68	26.68
170	11/PM (II) 12-13	34.53	34.53
171	12/PM (II) 12-13	38.41	38.41
172	14/PM (II) 12-13	20.92	20.92
173	15/PM (II) 12-13	31.09	31.09
174	16/PM (II) 12-13	26.94	26.94
175	20/PM (II) 12-13	17.33	17.33
176	24/PM (II) 12-13	21.50	21.50
177	25/PM (II) 12-13	41.11	41.11
178	26/PM (II) 12-13	14.78	14.78
179	29/PM (II) 12-13	17.30	17.30
180	30/PM (II) 12-13	13.94	13.94
180	31/PM (II) 12-13	5.47	5.47
181	01/PM (II) 13-14	6.18	6.18
182	02/PM (II) 13-14	6.25	6.25
182	03/PM (II) 13-14	7.35	7.35
182	04/PM (II) 13-14	29.71	29.71

182	05/PM (II) 13-14	9.33	9.33
183	07/PM (II) 13-14	39.51	39.51
184	08/PM (II) 13-14	8.48	8.48
184	09/PM (II) 13-14	35.69	35.69
185	11/PM (II) 13-14	30.00	30.00
186	18/PM (II) 13-14	20.76	20.76
187	20/PM (II) 13-14	21.27	21.27
188	01/SEIII/09-10	314.86	314.86
189	02/SEIII/09-10	360.24	360.24
190	03/SEIII/09-10	138.45	138.45
191	04/SEIII/09-10	602.71	602.71
192	05/SEIII/09-10	117.77	117.77
193	06/SEIII/09-10	39.56	39.56
194	07/SEIII/09-10	64.98	64.98
195	08/PM-II/09-10	20.72	20.72
196	22/GM(Gomti)/10-11	11.39	11.39
197	24/GM(Gomti)/10-11	21.08	21.08
198	34/PM/TGPCU/10-11	15.47	15.47
199	24/PM/TGPCU/10-11	7.41	7.41
200	30/PM/TGPCU/10-11	7.58	7.58
201	25/PM/TGPCU/10-11	5.10	5.10
202	18/GM(Gomti)/10-11	45.43	45.43
203	20/PM/TGPCU/10-11	31.03	31.03
204	01/PM/TGPCU/10-11	5.51	5.51
205	29/PM/TGPCU/10-11	10.14	10.14
206	23/GM(Gomti)/10-11	48.60	48.60
207	28/PM/TGPCU/10-11	11.59	11.59
208	20/GM(Gomti)/10-11	59.68	59.68
209	22/PM/TGPCU/10-11	8.31	8.31
210	21/PM/TGPCU/10-11	10.19	10.19
211	18/PM/TGPCU/10-11	19.58	19.58
212	31/GM(Gomti)/10-11	24.32	24.32
213	16/PM/TGPCU/10-11	20.67	20.67
214	23/PM/TGPCU/10-11	17.06	17.06
215	21/GM(Gomti)/10-11	58.33	58.33
216	14/PM/TGPCU/10-11	5.79	5.79
217	33/GM(Gomti)/10-11	16.55	16.55

8. Project Implementation**Monitoring**

All amounts are in Rs. Lakhs

List all tender packages proposed for the project		Cost in (Rs.Lacs)			Project Start		Implementation status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On Completion	Tender Release Date	Tender Award date	Work not started / Under progress / completed	% of works completed (Physical progress)	Schedule date(As per DPR)	Estimated Completion date
1	2	3	4	5	6	7	8	9	10	11
1	06/GM (Gomti) / 09-10	51.37	51.37		10.11.09	29.12.09	Completed/UT	90%	31.3.2011	
2	07/GM (Gomti) / 09-10	96.13	96.13		10.11.09	29.12.09	Completed/UT	90%		
3	08/GM (Gomti) / 09-10	51.37	51.37		10.11.09	29.12.09	under prog.	60%		All branch sewer to be completed on 31.03.14
4	09/GM (Gomti) / 09-10	42.84	42.84		10.11.09	29.12.09	under prog.	60%		
5	11/GM (Gomti) / 09-10	76.97	76.97		10.11.09	04.01.10	Completed/UT	90%		
6	02/GM(Gomti)/10-11	12291.65	12291.65		17.12.09	04.05.10	under prog.	85%		
7	05/GM(Gomti)/10-11	183.23	183.23		1.11.10	10.11.10	under prog.	65%		
8	06/GM(Gomti)/10-11	90.16	90.16		1.11.10	10.11.10	under prog.	70%		
9	07/GM(Gomti)/10-11	158.07	158.07		10.11.09	10.11.10	Completed/UT	90%		
10	08/GM(Gomti)/10-11	171.85	171.85		10.12.10	18.12.10	under prog.	75%		
11	09/GM(Gomti)/10-11	85.15	85.15		20.12.10	28.12.10	under prog.	80%		
12	10/GM(Gomti)/10-11	82.55	82.55		25.12.10	01.01.11	under prog.	25%		
13	11/GM(Gomti)/10-11	62.74	62.74		30.12.11	04.01.11	under prog.	30%		
14	12/GM(Gomti)/10-11	72.50	72.50		10.1.11	20.01.11	under prog.	20%		
15	13/GM(Gomti)/10-11	79.20	79.20		12.3.11	20.03.11	Completed/UT	90%		
16	14/GM(Gomti)/10-11	83.47	83.47		15.1.11	20.01.11	under prog.	50%		
17	15/GM(Gomti)/10-11	98.87	98.87		15.1.11	20.01.11	under prog.	75%		
18	16/GM(Gomti)/10-11	63.53	63.53		15.1.11	20.01.11	under prog.	45%		
19	26/GM(Gomti)/10-11	101.00	101.00		8.2.11	16.02.11	Completed/UT	90%		
20	27/GM(Gomti)/10-11	54.00	54.00		8.2.11	16.02.11	Completed/UT	90%		
21	28/GM(Gomti)/10-11	61.20	61.20		8.2.11	16.02.11	under prog.	80%		
22	29/GM(Gomti)/10-11	149.02	149.02		8.2.11	16.02.11	under prog.	70%		
23	01/GM(Gomti)/11-12	84.53	84.53		3.4.11	13.04.11	under prog.	95%		
24	03/GM(Gomti)/11-12	74.42	74.42		10.04.11	18.04.11	under prog.	95%		
25	04/GM(Gomti)/11-12	45.79	45.79		21.04.11	28.04.11	under prog.	71%		
26	06/GM(Gomti)/11-12	45.71	45.71		26.04.11	02.05.11	under prog.	51%		
27	07/GM(Gomti)/11-12	50.60	50.60		26.04.11	02.05.11	under prog.	80%		
28	08/GM(Gomti)/11-12	29.37	29.37		27.04.11	03.05.11	under prog.	85%		
29	09/GM(Gomti)/11-12	57.20	57.20		25.4.11	03.05.11	under prog.	35%		
30	10/GM(Gomti)/11-12	50.44	50.44		25.4.11	18.05.133	under prog.	60%		

31	11/GM(Gomti)/11-12	67.50	67.50		12.5.11	20.05.11	Completed/UT	95%	
32	12/GM(Gomti)/11-12	78.85	78.85		14.5.11	28.05.11	under prog.	95%	
33	13/GM(Gomti)/11-12	55.96	55.96		14.5.11	28.05.11	under prog.	92%	
34	14/GM(Gomti)/11-12	75.00	75.00		14.5.11	28.05.11	Completed/UT	90%	
35	15/GM(Gomti)/11-12	67.50	67.50		14.5.11	28.05.11	Completed/UT	90%	
36	16/GM(Gomti)/11-12	66.00	66.00		14.5.11	28.05.11	Completed/UT	90%	
37	17/GM(Gomti)/11-12	80.50	80.50		14.5.11	28.05.11	Completed/UT	90%	
38	18/GM(Gomti)/11-12	49.50	49.50		14.5.11	28.05.11	Completed/UT	90%	
39	19/GM(Gomti)/11-12	47.98	47.98		11.09.11	28.05.11	Completed/UT	95%	
40	21/GM(Gomti)/11-12	122.33	122.33		11.09.11	16.09.11	under prog.	30%	
41	23/GM(Gomti)/11-12	90.00	90.00		11.09.11	16.09.11	Completed/UT	90%	
42	24/GM(Gomti)/11-12	50.84	50.84		20.09.11	16.09.11	under prog.	95%	
43	25/GM(Gomti)/11-12	45.28	45.28		20.09.11	29.09.11	Completed/UT	90%	
44	26/GM(Gomti)/11-12	53.35	52.35		20.09.11	29.09.11	Completed/UT	90%	
45	28/GM(Gomti)/11-12	338.47	338.47		25.11.11	03.12.11	under prog.	35%	
46	29/GM(Gomti)/11-12	49.78	49.78		25.11.11	03.12.11	under prog.	85%	
47	06/PM II/09-10	38.98	38.98		10.11.09	30.12.09	Completed/UT	90%	
48	07/PM II/09-10	39.05	39.05		10.11.09	30.12.09	Completed/UT	90%	
49	09/PM II/09-10	57.28	57.28		10.11.09	06.01.10	Completed/UT	90%	
50	10/PM II/ 09-10	55.91	55.91		10.11.09	06.01.10	Under prog.	80%	
51	13/PM II/ 09-10	38.54	38.54		10.11.09	06.02.10	Under prog.	50%	
52	14/PM II/ 09-10	65.04	65.04		10.11.09	06.02.10	Under prog.	55%	
53	15/PM II/ 09-10	61.15	61.15		10.11.09	06.02.10	Completed/UT	90%	
54	04/PM (II)/10-11	48.95	48.95		10.11.09	12.08.10	Completed/UT	90%	
55	05/PM (II) 10-11	49.29	49.29		10.11.09	20.12.08	Completed/UT	90%	
56	07/PM (II)/10-11	28.23	28.23		10.11.09	31.12.10	Completed/UT	90%	
57	08/PM (II)/10-11	39.65	39.65		10.11.09	08.11.10	Completed/UT	90%	
58	09/PM (II) 10-11	57.70	57.70		24.11.10	02.12.10	Completed/UT	90%	
59	10/PM (II) 10-11	20.67	20.67		2.12.10	15.12.10	Completed/UT	90%	
60	11/PM (II) 10-11	39.21	39.21		1.12.10	10.12.10	Completed/UT	90%	
61	12/PM (II) 10-11	35.91	35.91		1.12.10	10.12.10	Completed/UT	90%	
62	13/PM (II) 10-11	33.03	33.03		3.12.10	13.12.10	Under prog.	75%	
63	14/PM (II) 10-11	37.78	37.78		1.12.10	09.12.10	Under prog.	55%	
64	15/PM (II) 10-11	38.96	38.96		12.12.10	20.12.10	Completed/UT	90%	
65	16/PM (II) 10-11	39.40	39.40		12.12.10	21.12.10	Completed/UT	90%	
66	17/PM (II)/ 10-11	33.59	33.59		20.12.10	27.12.10	Completed/UT	90%	
67	18/PM (II)/ 10-11	39.58	39.58		24.12.10	01.01.11	Completed/UT	90%	
68	19/PM (II)/ 10-11	34.07	34.07		22.12.10	28.12.10	Under prog.	50%	
69	20/PM (II)/ 10-11	26.28	26.28		29.12.10	06.01.11	Completed/UT	90%	

All branch sewer to be completed on 31.03.14

70	21/PM (II)/ 10-11	29.05	29.05		29.12.10	06.01.11	Completed/UT	90%	
71	22/PM (II)/ 10-11	30.99	30.99		29.12.10	06.01.11	Under prog.	65%	
72	23/PM (II)/ 10-11	42.35	42.35		29.12.10	06.01.11	Completed/UT	90%	
73	24/PM (II)/ 10-11	49.71	49.71		29.12.10	07.01.11	Completed/UT	95%	
74	25/PM (II)/ 10-11	29.05	29.05		29.12.10	07.01.11	Completed/UT	90%	
75	26/PM (II)/ 10-11	36.56	36.56		02.01.11	07.01.11	Under prog.	49%	
76	27/PM (II)/ 10-11	41.67	41.67		29.12.10	07.01.11	Completed/UT	95%	
77	28/PM (II)/ 10-11	24.58	24.58		29.12.10	07.01.11	Under prog.	40%	
78	29/PM (II)/ 10-11	21.98	21.98		29.12.10	07.01.11	Completed/UT	90%	
79	31/PM (II)/ 10-11	49.17	49.17		29.12.10	07.01.11	Under prog.	95%	
80	32/PM (II)/ 10-12	29.24	29.24		29.12.10	07.01.11	Under prog.	35%	
81	33/PM (II)/ 10-12	23.79	23.79		03.01.11	10.01.11	Under prog.	90%	
82	35/PM (II)/ 10-11	22.02	22.02		03.01.11	10.01.11	under prog.	60%	
83	36/PM (II)/ 10-11	29.84	29.84		29.12.10	07.01.11	Completed/UT	90%	
84	37/PM (II)/ 10-11	22.89	22.89		31.12.10	10.01.11	Under prog.	20%	
85	38/PM (II)/ 10-11	28.26	28.26		31.12.10	10.01.11	Completed/UT	90%	
86	39/PM (II)/ 10-11	34.66	34.66		31.12.10	10.01.11	Under prog.	70%	
87	40/PM (II)/ 10-11	34.46	34.46		12.1.11	20.01.11	Under prog.	82%	
88	41/PM (II)/ 10-11	35.69	35.69		12.1.11	20.01.11	Completed/UT	90%	
89	42/PM (II)/ 10-11	22.16	22.16		12.1.11	20.01.11	Under prog.	80%	
90	43/PM (II)/ 10-11	36.35	36.35		12.1.11	20.01.11	Under prog.	80%	
91	44/PM (II)/ 10-11	27.92	27.92		12.1.11	20.01.11	Completed/UT	90%	
92	45/PM (II)/ 10-11	19.02	19.02		8.2.11	16.02.11	Under prog.	60%	
93	47/PM (II)/ 10-11	55.90	55.10		8.2.11	16.02.11	Completed/UT	90%	
94	48/PM (II)/ 10-11	23.54	23.54		8.2.11	16.02.11	Completed/UT	90%	
95	49/PM (II)/ 10-11	39.79	39.79		8.2.11	16.02.11	Completed/UT	90%	
96	50/PM (II)/ 10-11	27.05	27.05		8.2.11	16.02.11	Under prog.	80%	
97	51/PM (II)/ 10-11	32.40	32.40		8.2.11	16.02.11	Completed/UT	90%	
98	52/PM (II)/ 10-11	27.05	27.05		8.2.11	16.02.11	Under prog.	50%	
99	53/PM (II)/ 10-11	30.01	30.01		8.2.11	16.02.11	Under prog.	55%	
100	54/PM (II)/ 10-11	39.72	39.72		8.2.11	16.02.11	Under prog.	36%	
101	55/PM (II)/ 10-11	36.82	36.82		8.2.11	16.02.11	Under prog.	80%	
102	57/PM (II)/ 10-11	22.05	22.05		8.2.11	16.02.11	Under prog.	50%	
103	58/PM (II)/ 10-11	27.05	27.05		8.2.11	16.02.11	Under prog.	80%	
104	59/PM (II)/ 10-11	22.49	22.49		8.2.11	16.02.11	Under prog.	32%	
105	60/PM (II)/ 10-11	34.86	34.86		8.2.11	16.02.11	Under prog.	95%	
106	61/PM (II)/ 10-11	27.76	27.76		12.2.11	20.02.11	Under prog.	35%	
107	63/PM (II)/ 10-11	35.72	35.72		12.2.11	20.02.11	Under prog.	7%	
108	64/PM (II)/ 10-11	29.02	29.02		12.2.11	20.02.11	Completed/UT	90%	

All branch sewer to be completed on 31.03.14

109	65/PM (II)/ 10-11	19.01	19.01		12.2.11	20.02.11	Under prog.	42%	
110	67/PM (II)/ 10-11	9.37	9.37		12.3.11	20.03.11	Completed/UT	90%	
111	68/PM (II)/ 10-11	57.83	57.83		18.03.11	24.03.11	Under prog.	67%	
112	69/PM (II)/ 10-11	46.00	46.00		12.3.11	24.03.11	Completed/UT	90%	
113	71/PM (II)/ 10-11	39.76	39.76		12.3.11	24.03.11	Under prog.	80%	
114	72/PM (II)/ 10-11	39.91	39.91		14.3.11	25.03.11	Under prog.	80%	
115	73/PM (II)/ 10-11	39.38	39.38		14.3.11	25.03.11	Completed/UT	90%	
116	74/PM (II)/ 10-11	34.29	34.29		16.3.11	26.03.11	Completed/UT	90%	
117	75/PM (II)/ 10-11	37.28	37.28		16.3.11	26.03.11	Under prog.	70%	
118	76/PM (II)/ 10-11	39.46	39.46		11.4.11	18.04.11	Completed/UT	90%	
119	01/PM (II)/ 11-12	31.71	31.71		11.4.11	18.04.11	Under prog.	20%	
120	02/PM (II)/ 11-12	39.43	39.43		11.4.11	18.04.11	Under prog.	80%	
121	04/PM (II)/ 11-12	35.62	35.62		13.04.11	17.04.11	Under prog.	55%	
122	05/PM (II)/ 11-12	35.62	35.62		16.04.11	25.04.11	Under prog.	51%	
123	06/PM (II)/ 11-12	37.19	37.19		22.01.11	30.04.11	Under prog.	28%	
124	07/PM (II)/ 11-12	28.54	28.54		05.05.11	11.05.11	Completed/UT	95%	
125	08/PM (II)/ 11-12	41.75	41.75		11.5.11	19.05.11	Under prog.	80%	
126	12/PM (II) 11-12	13.12	13.12		28.5.11	28.05.11	Completed/UT	45%	
127	14/PM (II) 11-12	26.62	26.62		28.5.11	04.06.11	Under prog.	85%	
128	15/PM (II) 11-12	29.56	29.56		11.06.11	18.06.11	Under prog.	74%	
129	16/PM (II) 11-12	28.89	28.89		15.06.11	21.06.11	Under prog.	42%	
130	17/PM (II) 11-12	25.15	25.15		15.06.11	21.06.11	Completed/UT	90%	
131	19/PM (II) 11-12	35.64	35.64		15.06.11	21.06.11	Under prog.	65%	
132	21/PM (II) 11-12	47.16	47.16		15.06.11	21.06.11	Completed/UT	90%	
133	23/PM (II) 11-12	11.96	11.96		12.8.11	24.08.11	Completed/UT	90%	
134	24/PM (II) 11-12	25.14	25.14		12.8.11	27.08.11	Under prog.	50%	
135	26/PM (II) 11-12	27.72	27.72		12.8.11	27.08.11	Under prog.	37%	
136	30/PM (II) 11-12	29.44	29.44		12.8.11	27.08.11	Under prog.	50%	
137	31/PM (II) 11-12	36.90	36.90		12.8.11	27.08.11	Under prog.	29%	
138	33/PM (II) 11-12	7.35	7.35		11.09.11	31.12.11	Completed/UT	95%	
139	35/PM (II) 11-12	7.14	7.14		11.10.11	31.12.11	Under prog.	80%	
140	39/PM (II) 11-12	34.20	34.20		14.10.11	31.01.12	Under prog.	85%	
141	40/PM (II) 11-12	35.70	35.70		06.10.11	14.10.11	Under prog.	75%	
142	42/PM (II) 11-12	40.09	40.09		06.10.11	14.10.11	Completed/UT	95%	
143	44/PM (II) 11-12	30.45	30.45		06.10.11	14.10.11	Completed/UT	95%	
144	45/PM (II) 11-12	38.92	38.92		06.10.11	14.10.11	Completed/UT	95%	
145	47/PM (II) 11-12	38.28	38.28		06.10.11	14.10.11	Completed/UT	95%	
146	48/PM (II) 11-12	8.74	8.74		14.10.11	31.01.12	Under prog.	80%	
147	49/PM (II) 11-12	24.22	24.22		14.10.11	31.01.12	Under prog.	20%	

All branch sewer to be completed on 31.03.14

148	50/PM (II) 11-12	39.45	39.45		17.10.11	31.01.12	Under prog.	90%	
149	51/PM (II) 11-12	14.57	14.57		17.10.11	31.01.12	Under prog.	82%	
150	54/PM (II) 11-12	25.99	25.99		17.10.11	31.01.12	Completed/UT	95%	
151	55/PM (II) 11-12	14.64	14.64		17.10.11	31.01.12	Under prog.	31%	
152	58/PM (II) 11-12	24.51	24.51		17.10.11	31.01.12	Under prog.	28%	
153	59/PM (II) 11-12	31.29	31.29		17.10.11	31.01.12	Under prog.	18%	
154	63/PM (II) 11-12	33.02	33.02		17.10.11	31.01.12	Under prog.	90%	
155	64/PM (II) 11-12	40.87	40.87		17.10.11	31.01.12	Under prog.	90%	
156	65/PM (II) 11-12	40.96	40.96		17.10.11	31.01.12	Under prog.	90%	
157	66/PM (II) 11-12	39.16	19.16		17.10.11	31.01.12	Under prog.	90%	
158	67/PM (II) 11-12	27.47	27.47		17.10.11	31.01.12	Under prog.	80%	
159	68/PM (II) 11-12	29.59	29.59		17.10.11	31.01.12	Under prog.	80%	
160	70/PM (II) 11-12	11.52	11.52		11.12.11	31.01.12	Completed/UT	90%	
161	01/PM (II) 12-13	37.10	37.10		25.04.12	30.04.12	Completed/UT	90%	
162	02/PM (II) 12-13	34.82	34.82		25.04.12	30.04.12	Completed/UT	90%	
163	05/PM (II) 12-13	7.60	7.60		28.05.12	02.06.12	Completed/UT	90%	
164	06/PM (II) 12-13	38.46	38.46		25.05.12	02.06.12	Under prog.	81%	
165	07/PM (II) 12-13	16.23	16.23		09.06.12	29.06.12	Under prog.	35%	
166	08/PM (II) 12-13	21.73	21.73		09.06.12	29.06.12	Under prog.	95%	
167	09/PM (II) 12-13	39.50	39.50		09.06.12	29.06.12	Completed/UT	35%	
168	10/PM (II) 12-13	26.85	26.85		09.06.12	29.06.12	Completed/UT	90%	
169	11/PM (II) 12-13	35.61	35.61		14.08.12	19.08.12	Under prog.	95%	
170	12/PM (II) 12-13	39.41	39.41		25.09.12	03.10.12	Under prog.	27%	
171	14/PM (II) 12-13	39.29	39.29		25.09.12	03.10.12	Under prog.	90%	
172	15/PM (II) 12-13	39.43	39.43		01.10.12	06.10.12	Under prog.	53%	
173	16/PM (II) 12-13	36.37	36.37		01.10.12	06.10.12	Under prog.	58%	
174	20/PM (II) 12-13	17.71	17.71		29.10.12	03.11.12	Completed/UT	90%	
175	24/PM (II) 12-13	21.51	21.51		17.11.12	16.12.12	Completed/UT	95%	
176	25/PM (II) 12-13	41.11	41.11		21.11.12	19.02.13	Completed/UT	95%	
177	26/PM (II) 12-13	17.96	17.96		23.11.12	28.02.13	Under prog.	50%	
178	29/PM (II) 12-13	36.23	36.23		17.01.13	28.02.13	Under prog.	50%	
179	30/PM (II) 12-13	26.55	26.55		17.01.13	21.01.13	Under prog.	50%	
180	31/PM (II) 12-13	39.45	39.45		21.01.13	31.03.13	Under prog.	10%	
181	01/PM (II) 13-14	12.83	12.83		02.04.13	07.04.13	Under prog.	50%	
182	02/PM (II) 13-14	16.27	16.27		13.05.13	30.06.13	Under prog.	32%	
182	03/PM (II) 13-14	9.80	9.80		15.05.13	31.07.13	Under prog.	80%	
182	04/PM (II) 13-14	30.58	30.58		07.06.13	31.07.13	Under prog.	92%	
182	05/PM (II) 13-14	11.87	11.87		07.06.13	31.07.13	Under prog.	80%	
183	07/PM (II) 13-14	41.47	41.47		08.06.13	07.07.13	Under prog.	90%	

183	08/PM (II) 13-14	24.08	24.08		08.06.13	07.07.13	Under prog.	40%	
184	09/PM (II) 13-14	36.86	36.86		08.06.13	07.07.13	Under prog.	90%	
185	11/PM (II) 13-14	35.49	35.49		14.06.13	13.07.13	Under prog.	85%	
186	18/PM (II) 13-14	27.25	27.25		06.07.13	05.08.13	Under prog.	80%	
187	20/PM (II) 13-14	26.61	26.61		08.07.13	07.08.13	Under prog.	75%	
188	01/SEIII/09-10	314.87	314.87		10.11.09	28.01.10	Completed/UT	95%	
189	02/SEIII/09-10	460.00	460.00		10.11.09	01.02.10	Under prog.	70%	
190	03/SEIII/09-10	190.33	190.33		10.11.09	01.02.10	Under prog.	80%	
191	04/SEIII/09-10	633.75	633.75		10.11.09	01.02.10	Under prog.	80%	
192	05/SEIII/09-10	272.62	272.62		10.11.09	01.02.10	Under prog.	45%	
193	06/SEIII/09-10	124.38	124.38		10.11.09	18.02.10	Under prog.	45%	
194	07/SEIII/09-10	67.37	67.37		10.11.09	18.04.10	Under prog.	70%	
195	08/PM-II/09-10	26.06	26.06		-	06.01.10	Under prog.	80%	
196	22/GM(Gomti)/10-11	72.01	72.01		14.2.11	13.6.11	Under prog.	16%	
197	24/GM(Gomti)/10-11	54.34	54.34		16.2.11	15.6.11	Under prog.	40%	
198	34/PM/TGPCU/10-11	37.08	37.08		21.3.11	20.7.11	Under prog.	42%	
199	24/PM/TGPCU/10-11	35.53	35.53		31.1.11	30.5.11	Under prog.	21%	
200	30/PM/TGPCU/10-11	16.10	16.10		5.3.11	4.6.11	Under prog.	48%	
201	25/PM/TGPCU/10-11	16.64	16.64		31.1.11	30.4.11	Under prog.	32%	
202	18/GM(Gomti)/10-11	60.17	60.17		2.2.11	1.6.11	Under prog.	76%	
203	20/PM/TGPCU/10-11	39.90	39.90		31.1.11	30.5.11	Under prog.	78%	
204	01/PM/TGPCU/10-11	17.14	17.14		11.4.11	10.7.11	Under prog.	33%	
205	29/PM/TGPCU/10-11	35.65	35.65		23.2.11	22.5.11	Under prog.	29%	
206	23/GM(Gomti)/10-11	67.32	67.32		15.2.11	14.6.11	Under prog.	30%	
207	28/PM/TGPCU/10-11	11.60	11.60		22.2.11	21.5.11	Completed/UT	95%	
208	20/GM(Gomti)/10-11	72.21	72.21		3.2.11	2.6.11	Under prog.	83%	
209	22/PM/TGPCU/10-11	10.51	10.51		31.1.11	30.4.11	Under prog.	84%	
210	21/PM/TGPCU/10-11	10.25	10.25		31.1.11	30.4.11	Completed/UT	95%	
211	18/PM/TGPCU/10-11	19.92	19.92		31.1.11	30.4.11	Completed/UT	95%	
212	31/GM(Gomti)/10-11	40.22	40.22		28.2.11	27.5.11	Under prog.	61%	
213	16/PM/TGPCU/10-11	20.80	20.80		31.1.11	30.4.11	Completed/UT	95%	
214	23/PM/TGPCU/10-11	18.00	18.00		31.1.11	30.4.11	Completed/UT	95%	
215	21/GM(Gomti)/10-11	59.87	59.87		5.2.11	4.6.11	Completed/UT	95%	
216	14/PM/TGPCU/10-11	15.01	15.01		31.1.11	30.4.11	Under prog.	39%	
217	33/GM(Gomti)/10-11	75.39	75.39		28.3.11	27.7.11	Under prog.	22%	
218	26/PM/TGPCU/10-11	13.48	13.48		31.1.11	30.4.11	Under prog.	83%	
219	25/GM(Gomti)/10-11	85.50	85.50		16.2.11	15.6.11	Completed/UT	95%	

All branch sewer to be completed on 31.03.14

220	19/PM/TGPCU/10-11	39.57	39.57		31.1.11	30.5.11	Under prog.	61%	
221	17/PM/TGPCU/10-11	16.37	16.37		31.1.11	30.4.11	Under prog.	95%	
222	05/GM(Gomti)/11-12	72.76	72.76		28.4.11	27.8.11	Under prog.	94%	
223	20/GM(Gomti)/11-12	67.03	67.03		15.7.11	14.11.11	Under prog.	25%	
224	30/GM(Gomti)/10-11	56.78	56.78		28.2.11	27.6.11	Under prog.	60%	
225	19/GM(Gomti)/10-11	74.26	74.26		2.2.11	1.2.12	Under prog.	61%	
226	142/PM/TGPCU/11-12	11.37	11.37		24.10.11	23.11.11	Completed/UT	95%	
227	28/PM/TGPCU/12-13	7.44	7.44		02.07.12	01.10.12	Under prog.	68%	
228	24/PM/TGPCU/12-13	19.35	19.35		15.06.12	14.09.12	Under prog.	69%	
229	29/PM/TGPCU/12-13	5.39	5.39		02.07.12	01.10.12	Under prog.	90%	
230	22/PM/TGPCU/12-13	11.53	11.53		15.6.12	14.9.12	Under prog.	67%	
231	23/PM/TGPCU/12-13	13.46	13.46		15.6.12	14.9.12	Under prog.	94%	
232	04/PM/TGPCU/13-14	7.06	7.06		01.06.13	30.06.13	Under prog.	54%	

9 Scheduled completion date of Project as per DPR⁷

Approved by CSMC: month / year :

12/2010

Actual duration (in months) for project completion:

24

Estimated time for completion of project as on date : month / year

March 2014

Is there a difference between schedule date of completion and estimated date of completion: Yes / No :

YES

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl.No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i	Delay related to fund release into Project Account	No	
ii.	Issues related to cost escalation	No	
iii.	Delay in tendering process	Yes	Tender invited on global basis was rejected by the committee & process for retendering has been started.
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment / material / technology	No	
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues	No	
ix.	Any other issues / constraints in project implementation.	NO	

10 Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained		
		Number of Non Official Trained		
2	Workshops			
		National Level		
		State Level		
		Regional Level		
3	Other (Please specify key initiatives)			

11 Issues in Project Monitoring and Inspections		
S.No.	Particulars	Remarks
1	Inspection carried out by SLNA/GoI Officers (during reporting quarter)	
2	Date of Inspection	
3	Issues reported during Inspections	
4	Course corrections date	
5	Suggestion, if any, for project monitoring and MIS	

D K Gupta
Project Manager
Gomti Pollution Control Unit-II
UP Jal Nigam Lucknow

K K Srivastava
General Manager
G.P.C.U

General Manager
Jal Vibhag Nagar Nigam
Lucknow

Municipal Commissioner
Lucknow Municipal Corporation
Lucknow

**Office of the General Manager, Gomti Pollution Control Unit, Jal Nigam, Lucknow.
Lucknow Sewerage District-III, Part-II Under JNNURM Progress Up to 31.12.2013**

1	Project title	Urban Sewerage of Lucknow City District-III, Part-II	Project Bank A/c No. & Name and Address of Bank	P A/C No-44830001000010881
	Project Code			PNB Vipul Khand Gomti Nagar Lucknow
2	Implementing Agency:	U.P. Jal Nigam	Project Cost (in Rs. Lakhs) as sanctioned	Rs. 21443.00 lakhs

5. Budget Allocation by ULB / Parastatal Agency

Allocation in ULB / Parastatal Agency budget for this project in current FY 2013-14-----Rs. 40000.00 lacs in the Annual Budget 2013-14 LNN

6 Capital Contributions to the project and inflows							
S.No	Sources	Commitment based on approved project cost	% of total project cost	Actual released upto end of last reporting quarter (Sept 2013)	Actual amounts released and dates in current	Commitment pending release from source for balance project period	
					During the last quarter being reported Dec 2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7(5+6)	8(3-7)
1	GoI	10721.50	50%	6968.98+1072.15*=8041.13	0.00	8041.13	2680.37
2	State	4288.60	20%	3216.45	0.00	3216.45	1072.15
3	ULB	6432.90	30%	4824.67	0.00	4824.67	1608.23
4	Other						
	Total	21443.00	100%	16082.25	0.00	16082.25	5360.75

Total Interest accumulated in bank as on date	ULBN Level	Rs. 301.22 Lakhs
	Jal Nigam	Rs. 164.81 lakhs
	Total	Rs. 466.03 lakhs

7 Monitoring Funds Utilization for the Project			All amount are in Rs. Lakhs.			
			Actual amounts utilized in the project			
Tender/Package No.		Upto end for last reporting Quarter Sept 2013	During the last quarter being reported (Dec-13)	Cumulative expenditure as on 31.12.2013	Estimated expenditure for next quarter	Expected time to request for next installment
1		2	3	4	5	6
	Laying of Sewer 5/G.M.(Gomti)/09-10 1/P.M. Gomti/10-11	9368.97	1242.07	10611.04	4000.00	3 rd UC is pending at Gol since 06.12.2013
	M/s Sharda Const. Co. 03/G.M. (Gomti)/2012-13	41.04	0.00	41.04		
	M/s Sharda Const. Co.13/G.M. (Gomti)/2012-13	45.00	0.00	45.00		
	M/s Sharda Const. Co.13/G.M. (Gomti)/2012-13	0.00	442.50	442.50		
	M/s Hindustan Eng. 14/G.M. (Gomti)/2013-14	0.00	274.20	274.20		
	M/s Jyoti Buildtech. 16/G.M. (Gomti)/2013-14	0.00	40.00	40.00		
	Road Work	0.00	0.00	0.00		
	G.P.C.U.-4/1	359.96	896.89	1256.85		
	Paid to Forest Department	0.00	8.55	8.55		
	Paid to Electricity Department	0.00	11.60	11.60		
	Paid to IIT Kanpur	0.00	3.10	3.10		
	Reinstatement of road Paid to U.P.P.W.D. for reinstatement of road	371.24	27.03	398.27		
	Paid to C. &D.S. for reinstatement of road	669.63	0.00	669.63		
	Paid to Nagar Nigam for reinstatement of road	2018.10	-58.41	1959.69		
	Railway crossing	64.16	0.00	64.16		
	Paid to NHAI	194.00	0.00	194.00		
	Sub Total :	13132.10	2887.53	16019.63		
	Contingencies	33.90	28.72	62.62		
	Total:	13166.00	2916.25	16082.25	4000.00	-
Utilisation of funds as % of funds received from all sources for the project as on date				100%		

	G.P.C.U.-4/1		1256.85	1256.85			Completed	100%		
	Paid to Forest Department		8.55	8.55			Completed	100%		
	Paid to Electricity Department		11.60	11.60			Completed	100%		
	Paid to IIT Kanpur		3.10	3.10			Completed	100%		
	Reinstatement of road Paid to U.P.P.W.D. for reinstatement of road		398.27	358.44			Completed	90%		
	Paid to C. &D.S. for reinstatement of road		669.63	669.63			Completed	100%		
	Paid to Nagar Nigam for reinstatement of road		1959.69	1959.69			Completed	100%		
	Sub Total :	20738.96	22984.29							
	Contingencies	303.94	62.62							
	Others	400.1	64.14							
	Grand Total:	21443.00	23046.91	5292						

9 Scheduled completion date of Project as per DPR⁷
Lucknow Sewerage District-III, Part-II Under JNNURM Progress Up to 31.12.2013
approved by CSMC: month / year

12/2008

Actual duration (in months) for project completion:

63

Estimated time for completion of project as on date : month / year

03/2014

Is there a difference between schedule date of completion and estimated date of completion: Yes / No

Yes

In case Yes, then what are the reasons for the delay, please select form the list below:

SL No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i	Delay related to fund release into Project Account	Yes	2nd installment released after long interval of time. Third installment released in 12/2012.
ii	Issues related to cost escalation	No	
iii	delay in tendering process	Yes	Rates quoted were very high. Due to continuous negotiation, acceptance was delayed.
iv	Technical sanction process at state level	No	
v	Field level conditions leading to redesign	Yes	It is a condition in CPHEEO approval that ground level for the project area should be rechecked and sewer network should also be rechecked Accordingly it has been rechecked & redesigned.
vi	Constraints in supply of equipment / material / technology	No	
vii	Technical capacity of ULBs	No	
viii	Project Management related issues	No	
ix	Any other issues / constraints in project implementation.	Yes	Initially the progress of the work was slow.

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GOI as start date for the project.

10 Status of Various Initiatives:				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	type of Capacity Building Programmes			
		Number of Official Trained	Nil	Nil
		Number of Non Official Trained	Nil	Nil
2	Workshops			
		National Level	Nil	Nil
		State Level	Nil	Nil
		Regional Level	Nil	Nil
3	Other (Please specify key initiatives			

Lucknow Sewerage District-III, Part-II Under JNNURM Progress Up to 31.12.2013 Issues in Project Monitoring and Inspections

S. No.	Particulars	Remarks
1	Inspection carried out by SLNA/GOI Officers (during reporting quarter)	Technical Advisor IRMA
2	Date of Inspection	29-11-2013 / 30-11-2013
3	Issues reported during Inspections	N.A.
4	Course corrections date	N.A.
5	Suggestion, if any, for project monitoring and MIS	Work under Progress.

(Prem chandra)
Project Manager
Gomti Pollution Control Unit-IV
U.P. Jal Nigam, Lucknow.

(K.K.Srivastava)
General Manager
Gomti Pollution Control Unit
U.P. Jal Nigam, Lucknow.

Municipal Commissioner
Lucknow Municipal Corporation
Lucknow

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

QUARTER Ending December 2013

(LUCKNOW WATER SUPPLY, PHASE-I, PART-I)

(SANCTIONED COST Rs. 38861.00 Lacs)

(REVISED SANCTIONED COST Rs. 45466.06 Lacs)

1.	Project title:	LUCKNOW WATER SUPPLY PHASE-I, PART-I	3.	Project Bank A/c No:	A/c No. 4117000100100548
	Project code:	LUK-003		& Name & Address of Bank	PNB, M.G. Marg, Lucknow.
2.	Implementing Agency:	UTTAR PRADESH JAL NIGAM	4.	Project Cost (in Rs. Lakhs) – as Sanctioned	Original Cost-Rs. 38861.00 Lakhs Revised Cost- Rs. 45466.06 Lakhs

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year 2013-14	Rs. 4039.53 Lakhs

6. Capital Contributions to the project and Inflows								
S. No	Sources	Commitment based on approved project cost	Commitment based on Revised project cost	% total project cost	Actual release up to end of last reporting quarter (Sept 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance project period
						During the quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7(5+6)	8=[3(b)-7)
1	Gol	19430.50	19430.50	50%	17487.46+1943.04*=19430.50	0.00	19430.50	0.00
2	State	7772.20	12395.74	20%+70%	12395.74	0.00	12395.74	0.00
3	ULB	11658.30	13639.82	30%+30%	13639.82	0.00	13639.82	0.00
4	Others	-	-	-	-	-	-	-
	Total	38861.00	45466.06	100%	45466.06	0.00	45466.06	0.00

Note: The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%.

Note: * 10 % of ACA (Rs.1943.04 Lakhs) which was holdup by Gol, has been released by GoUP.

All amounts are in Rs. lakhs

Total interest accumulated in bank account to date	ULB	- 219.54 lakhs
	Jal Nigam	- 164.03 lakhs
	Total	- 383.57 lakhs

7.	Monitoring Funds Utilization for the project				
	Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter
Up to end of last reporting Quarter Sept 2013		During the last quarter being reported Dec 2013	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1.	1320.002	0.000	1320.002	0.00	10% of held up ACA has to be release by Gol
2.	993.000	0.000	993.000	0.00	
3.	602.160	0.000	602.160	0.00	
4.	57.030	0.000	57.0300	0.00	
5.	441.050	0.000	441.050	0.00	
6.	5094.000	0.000	5094.000	0.00	
7.	351.000	0.000	351.000	0.00	
8.	912.120	2.340	914.460	0.00	
9.	23.370	0.000	23.370	0.00	
10.	325.380	0.000	325.380	10.00	
11.	679.840	30.000	709.840	20.00	
12.	4529.435	94.220	4623.655	200.00	
13.	5201.170	11.690	5212.860	497.639	
14.	2966.890	0.000	2966.890	100.00	
15.	1388.180	0.000	1388.180	0.00	
16.	798.000	0.000	798.000	0.00	
17.	1025.000	0.000	1025.000	0.00	
18.	742.000	0.000	742.000	0.00	
19.	900.000	0.000	900.000	0.00	
20.	1960.000	0.000	1960.000	866.76	
21.	2838.164	-143.300	2694.864	100.00	
22.	1749.630	0.000	1749.630	356.37	
23.	0.000	0.000	0.000	2261.00	
24.	276.000	0.000	276.000	0.00	
25.	636.874	60.750	697.624	136.516	
26.	4712.740	0.000	4712.740	339.04	
	40523.04	55.70	40578.74	4887.33	
	Utilization of funds as % of funds received from all sources for the project as on date				89.25%

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/ Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	Construction of new Tw's and appurtenant works. (40 Nos.)	1284.00	1242.00	1290.00	15.11.07	3/08	Completed	100	30.06.09	15.06.09
2.	Reboring of old & defunct Tw's (43 Nos.)	1077.00	1077.00	1133.00	15.11.07	3/08	Completed	100	30.06.09	15.06.09
3.	Construction of new Tw's in different W/S schemes and appurtenant works. (21 Nos.)	520.00	471.00	-	15.11.07	3/08	Completed	100	30.09.09	30.09.11
4.	Construction of boundary wall, staff quarter and D/S.(6 works)	35.00	24.00	39.00	14.11.07	12.02.08				
	1- B.W. Khadra						Completed	100	30.09.09	31.08.09
	2- S.Q., Bharat Nagar						Completed	100	30.09.09	31.05.10
	3- S.Q., Liberty Colony						Completed	100	30.09.09	15.08.09
	4- D.S. Kurmanchal Nagar-5.50 Km						Completed	100	30.09.09	20.08.09
	5- B.W. Kharika						Completed	100	30.09.09	10.09.09
	6- S.Q. Shiv Nagar						Completed	100	30.09.09	10.09.09
5.	Construction of CWR, OHT's and D/S. (17 works)	365.00	399.00	-	27.11.07	15.02.08				
	1- CWR A-Block, Indira Ng-400 KL						Completed	100	31.12.09	30.09.09
	2- CWR D-Block, Indira Ng-250 KL						Completed	100	31.12.09	31.03.10
	3- CWR Sec-16, Indira Ng-450 KL						Completed	100	31.12.09	30.11.09
	4- CWR Sec-14, Indira Ng-250 KL						Completed	100	31.12.09	30.11.09
	5- CWR Vipul Khand, G.Nagar-400 KL						Completed	100	31.12.09	30.09.10

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
	6- CWR Viram Khand, G.Nagar-400 KL						Completed	100	31.12.09	30.06.10
	7- CWR Virat Khand, G.Nagar-300 KL						Completed	100	31.12.09	30.06.10
	8- CWR Vibhav Khand, G.Nagar-250 KL						Completed	100	31.12.09	31.10.10
	9- CWR Vikalp Khand, G.Nagar-200 KL						Completed	100	31.12.09	30.09.10
	10- CWR Vibhuti Khand, G.Nagar-450 KL						Completed	100	31.12.09	31.10.10
	11- CWR New Hyderabad-1100 KL						Completed	100	31.12.09	30.06.10
	12- CWR Babujanj- 750 KL						Completed	100	31.12.09	30.06.10
	13- CWR Shiv Nagar Khadra-150 KL						Completed	100	31.12.09	15.10.10
	14- OHT Sarvodaya Ng-1300 KL/22 M						Completed	100	31.12.09	31.08.10
	15- OHT Bharat Nagar -1100 KL/22 M						Completed	100	31.12.09	31.08.10
	16- D.S. Bharat Nagar-26.00 Km						Completed	100	31.12.09	31.01.11
	17- D.S. Shiv Nagar Khadra-12.64 Km.						Completed	100	31.12.09	30.09.09
6.	Procurement of pipes. (1 Work)	5383.00	5383.00	-	3/08	4/08	Completed	100	31.12.09	30.04.11
7.	Construction of Booster. P.S., E/M works of Indira Nagar, Babujanj, New Hyderabad etc.(9 Works)	1280.00	1280.00	-	12.02.08	10/08	Completed	100	31.12.09	30.11.10
8.	Supply of all types of specials and Fittings. (1 Work)	800.00	817.00	-	07.11.07	01.04.08	Completed	100	31.12.08	31.12.09

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
9.	Construction of pump house, rising main and feeder main over Hanuman Setu.(3 Works)	20.00	11.13	-	05.03.08	10.04.08				
	(i)D-Block Indira Nagar Pump house-1 No.						Completed	100	30.06.09	30.06.09
	(ii) Feeder main over Hanuman Setu-600.00 M						Completed.	100	30.06.09	31.03.12
	(iii)Shiv Nagar Khadra Pump house-1 No.						Completed	100	30.06.09	30.06.09
10.	Construction of CWR, OHT's in Garhi Kanaura, Kharika ward, Indira Nagar & Gomti Nagar (6 Works)	375.00	301.65	-	05.03.08	10.04.08				
	1- CWR Mulayamnagar-250 KL						Completed	100	31.12.09	30.09.12
	2- CWR Kanchanpur Matiyari-200 KL						Completed	100	31.12.09	30.11.11
	3- OHT Garhi Kanaura, Zone-1-1100 KL/22 M						Completed	100	31.12.09	30.09.11
	4- OHT Garhi Kanaura, Zone-2-1200 KL/22 M						Completed.	100	31.12.09	31.05.11
	5- CWR Garhi Kanaura-125 KL – 2 Nos.						Completed	100	31.12.09	30.09.11
	6- OHT Kharika- . 2200 KL/22 M						Completed.	100	31.12.09	31.03.11

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
11.	Laying of D/S in Garhi Kanaura, Kharika ward, Bharat Nagar, clear water feeder main, command area of Aishbagh W/W, Balaganj W/W, III W/W, cleaning of settling tank in Aishbagh. (13 Works)	510.00	468.00	-	05.03.08	31.05.08				
	1- D.S. Kharika Part-1-12.70 Km.						Completed	100	31.12.09	30.09.10
	2- D.S. Kharika Part-2-18.53 Km.						Completed	100	31.12.09	30.09.10
	3- D.S. Kharika Part-3-8.92 Km.						Completed	100	31.12.09	31.12.11
	4- D.S. Garhi Kanaura, Part-1- 8.9 Km.						Completed	100	31.12.09	31.05.11
	5- D.S. Garhi Kanaura, Part-2- 9.20 Km.						Completed	100	31.12.09	31.05.11
	6- D.S. Bharat Nagar, Part-2-31.50 Km.						Completed	100	31.12.09	31.05.11
	7- R.M. Rajajipuram CWR to Sec-12 OHT-750.00 M						Completed	100	31.12.09	31.07.09
	8- R.M. Patangwala to City Station OHT- 1200.00 M						Completed	100	31.12.09	31.03.10
	9- Const. of B.W. of IInd W.W.						Completed	100	31.12.09	31.08.09
	10- Laying of Clear Water Feeder Main from Aishbagh W.W. - 10.26 Km.						Completed	100	31.12.09	31.12.13
	11- Remaining B.W. of IInd W.W.						Completed	100	31.12.09	31.03.09
	12- Cleaning of S.T. No-3, Aishbagh						Completed	100	31.12.09	31.07.09
	13- Clear Water Feeder Main Balaganj-7.39 Km						Completed	100	31.12.09	31.07.13

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
12.	Construction of III W/W, capacity enhancement of II W/W, Balaganj and strengthening of Aishbagh W/W.(3 Works)	4435.00	4477.00	-	26.10.07					
	1- Construction of III W/W-80 MLD					III rd W.W. - 13.06.08 II nd W.W. - 13.06.08 Aishbagh W.W.- 31.05.10	Completed & handed over to Jalkal Vibhag.	100	30.09.10	30.09.11
	2- Capacity enhancement of II W/W, Balaganj from 100 to 200 MLD						Completed & handed over to Jalkal Vibhag.	100	30.09.10	31.10.12
	3- Strengthening of Aishbagh W/W.						Under trial & run			
	(a) 45 mld RGF						Completed	100	30.09.10	31.12.13
	(b) 4000 KL CWR						Completed	100	30.09.10	31.12.13
	(c) Renovation of Clariflocculator						Completed & handed over to Jalkal Vibhag.	100	30.09.10	30.06.13
13.	Laying of raw water rising main for III W/W and for II W/W, Balaganj and Aishbagh W/W.(2 Works)	4236.00	4130.66	-	26.10.07		16.04.08 / 31.10.08			
	1-Raw water rising main from Sharda Sahayak to III W/W						Completed.	100	30.06.10	30.04.11
	2- Raw water rising main from Gaughat to II W/W, Balaganj and Aishbagh W/W.						Completed	100	30.06.10	31.12.13

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
14.	Dredging and development of Chinhat Lake, clear water feeder main from III W/W to different ZPS of Indira Nagar & Gomti Nagar & renovation of settling tank no.2 of Aishbagh W/W & Rising Main from different ZPS of Indira Nagar & Gomti Nagar to existing over head tanks. (3Works)	2755.00	2818.00	-	17.02.08	25.08.08 / 04.07.08 / 15.03.09				
	1- Dredging and development of Chinhat Lake						Completed & handed over to Jalkal Vibhag.	100	30.06.10	30.04.12
	2-Clear water feeder main from III W/W to different ZPS of Indira Nagar & Gomti Nagar-27.50 Km						Completed & handed over to Jalkal Vibhag.	100	30.06.10	31.12.11
	3-Renovation of settling tank no.2 of Aishbagh W/W.						Completed & handed over to Jalkal Vibhag.	100	30.06.10	31.07.12
15.	Power connection from UPPCL.	2474.20	2474.20	-	To be done by UPPCL	To be done by UPPCL	Completed	100	31.03.10	31.12.13
16.	Power sub-station, D.G. set for III W/W.(4 Works)	620.00	600.00	-	22.02.08	5/08	Completed	100	31.12.09	31.03.11
17.	Power sub-station Aishbagh, P.P. Aishbagh, Booster P.Ps. of different CWR's of Gomti Nagar.(6 Works)	433.00	483.00	-	22.02.08	26.03.08	Completed	100	31.12.09	31.12.13
18.	Raw water and clear water P.P. for II W/W, Balaganj. (3 Works)	440.00	355.00	-	21.02.08	5/08	Completed	100	31.12.09	30.09.12
19.	clear water P.P. for III W/W. (7 Works)	780.00	780.00	-	21.02.08	21.04.08	Completed.	100	31.12.09	31.03.11
20.	Head works and head regulator (To be constructed by Irrigation Dept.) (1 Work)	1901.00	2826.76	-	To be executed by U.P. Irrigation Deptt.		Under Progress	75	31.03.10	31.03.14

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
21.	Road cutting. Payment for which to be made to LNN / PWD / NHAI / LDA (1 Work)	3509.66	3509.66	-	To be done by the Lucknow Nagar Nigam/ NHAI/ LDA/ PWD/UPJN		Under Progress	98	31.03.10	31.01.14
22	Bulk water meters, leak detection equipments & SCADA	2106.00	2106.00	-	07.10.09 (SCADA)	07.06.10	Works of Bulk Water Meters Completed & SCADA under progress. One set received & handed over to Jalkal Vibhag. Jalkal Vibhag has not given consent for remaining sets, hence remaining procurement is not required.	98	31.10.10	31.01.14
23	Domestic water meters	2391.00	-				Tenders for supply & installation of meters have been received which could not be earlier decided due to not taking final decision for the installation by the Govt. & also decision for adoption &	-	31.10.10	31.03.14

							finalization of volumetric tariff is still to be taken by the LNN. Now, the Govt. has decided to install the meters vide G.O. no. 7200/नौ-5-13-281सा/13, नगर विकास अनुभाग-5, लखनऊ dt 13-12-2013. Accordingly further processing for the finalization of the tender is being expedited.			
24	Booster Pumping station (Kurmanchal Nagar, Garhi Kanaura, Shiv Nagar Khadra)	-	364.00				Completed.	100	31.10.10	31.12.11
	Sub-Total	37729.86	36398.06 (Finalized Cost)							
			3182.08 (Yet to be finalized)							
25	Contingencies	1131.14	834.14							
	Total	38861.00	40414.28							
26	Centage	-	5051.78							
	G.Total	38861.00	45466.06							

Schedule completion date of project as per DPR approved by CSMC : 10/2010

Actual duration (in months) for project completion : 78

Estimated time for completion of project as on date : 03/2014

Is there a difference between schedule date of completion and estimated date of completion : Yes

In case Yes, then what are the reasons for the delay, please select from the list below :

S.No.	List of Issues	Yes / No	Brief remarks on the reason for delay
i	Delay related to fund release into Project Account	Yes	Fund not received as per schedule mentioned in DPR.
ii	Issues related to cost escalation	Yes	Cost of works to be executed by U.P. Irrigation Department, cost of material and labour and the cost of reinstatement of road etc. escalated.
iii	Delay in tendering process	No	
iv	Technical sanction process at state level	No	
v	Field level conditions leading to redesign	No	
vi	Constraints in supply of equipment/material/technology	No	
vii	Technical capacity of ULBs	No	
viii	Project Management related issues.	No	
ix	Any other issues / constraints in project implementation	Yes	<ol style="list-style-type: none">1. Army has refused for permission to lay rising main from Vishwas Khand to the behind of Fun Republic, Gomti Nagar, Lko due to the stay of Hon'ble High Court. This rising main is required to supply water produced from IIIrd W/W to the OHT at Nehru Enclave and Eldeco Green, Gomti Nagar. Col. 'Q' of the army has again been apprised of the importance of the work by discussing the matter personally with him many times and requested to get the stay vacated, but the situation remains the same till now. Municipal Commissioner has again requested to Defence State Officer vide letter dated 20-11-132. Implementation of volumetric telescopic tariff according to metered connections are to be finalized by the local body.

9.

10. Status of Various Initiatives :				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshops	National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)		-	-

11. Issues in Project Monitoring and Inspections		
Sl. No.	Particulars	Remarks
1	Inspections carried out by SLNA/Gol Officers	Carried out by IRMA.
2	Date of Inspection	No inspection during this quarter. Last inspection carried out by IRMA Officials on 21-08-13.
3	Issues reported during Inspections	<ul style="list-style-type: none"> The PIU and PEA suggested to expedite the progress of works. Early approval to be obtained for the revised DPR from GOI. ELSR/OHTs completed and under testing, a proper record in test register to be maintained such as - dt. of 1st filling, water level, observations like no. of point leaks, no. of points body swettings etc. atleast for one week. Pursue for the balance work of Sharda Sahayak Canal by Irrigation Deptt. to be completed early. Water quality test for the new tube wells to be carried and to maintain record. Expedite the procurement of balance leak detection equipments & SCADA PIU and PEA suggested to arrange for Field Hydro Test for the already laid pipelines i.e., Feeder Main, Rising Mains and distribution network and to maintain field test register.
4	Course corrections done	<ul style="list-style-type: none"> Progress is being expedited. 97% over all progress has been achieved. All the works except installation of domestic water meters will be completed by March-2014. Work of installation of domestic water meters has been withheld as per decision taken in meeting held on 04-09-13 unde the chairmanship of Hon'ble Minister, Urban Development, G.O.U.P. State Govt. has already approved revised D.P.R. vide G.O. No 4944/नौ-5-2011-25 बजट/11, नगर विकास अनुभाग-5, लखनऊ दिनांक 07 दिसम्बर, 2011 Since, scope of work has not been changed, therefore approval from G.O.I. is not required. Only information for revision is to be sent to G.O.I. All the ELSR/OHTs completed under this project have been successfully tested, commissioned and handed over to ULB for maintenance. Work of testing has also been recorded in measurement books. Suggestion to maintain records in test register has been noted for compliance. Rs. 19.60 Crores has been paid to U.P. Irrigation Department and 70% of work has been completed. Progress is being expedited. Water quality of tubewells constructed and commissioned under this project were tested. Test results were recorded. All tubewells under this project have been handed over to Jalkal Deptt., Nagar Nigam, Lko.(ULB). ULB has been requested to comply the suggestion of IRMA to carry for periodical water quality test and maintain records. Leak detection equipments have been arranged as per requirement of ULB and accordingly provision in

		DPR is being proposed to modify. Work of SCADA is being expedited and will be completed as per schedule.
		<ul style="list-style-type: none"> Hydraulic sectional testing during laying of pipe lines has been conducted and test results have been recorded and maintained.
		<ul style="list-style-type: none"> ATR sent to S.L.N.A. by Municipal Commissioner vide letter no. 619/JNNURM/WS-ATR/13-14 dt 11-11-13
5	Suggestions, if any, for project monitoring and MIS	-

Project Manager,
Peyjal-II/C.U./G.P.C.U.-III/C.U.(E/M)
U.P. Jal Nigam,
Lucknow.

General Manager,
G.P.C.U.
U.P. Jal Nigam,
Lucknow

General Manager,
Jalkal Vibhag
Nagar Nigam, Lucknow

Municipal Commissioner,
Lucknow Municipal Corporation,
Lucknow

Authorised Signatory
Project Implementing Agency

Authorised Signatory
Urban Local Body

PART III

MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

QUARTER ENDING 31.12.2013

(LUCKNOW WATER SUPPLY, PHASE-I, PART-II)

(SANCTIONED COST Rs. 14656.60 Lacs)

1.	Project title:	LUCKNOW WATER SUPPLY PHASE-I, PART-II	3.	Project Bank A/c No:	A/c No. 4483000100010890
	Project code:			& Name & Address of Bank	PNB Vipul Khand, Gomti Nagar Lucknow
2.	Implementing Agency:	UTTAR PRADESH JAL NIGAM	4.	Project Cost (in Rs. Lakhs) – as Sanctioned	Rs. 14656.60 Lakhs

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency							
Allocation in ULB / parastatal agency budget for this project in current financial year 2013-14					Rs. 1538.92 Lakhs		
6. Capital Contributions to the project and Inflows							
S. No	Sources	Commitment based on approved project cost	% total project cost	Actual release up to end of last reporting quarter (September 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7(5+6)	8=(3-7)
1	Gol	7328.30	50%	6595.43+732.83*=7328.26	0.00	7328.26	0.04
2	State	2931.32	20%	2931.29	0.00	2931.29	0.03
3	ULB	4396.98	30%	4396.98	0.00	4396.98	0.00
4	Others	-	-	-	-	-	-
	Total	14656.60	100 %	14656.53	0.00	14656.53	0.07

Note: * 10 % of ACA (Rs.732.83 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account till date	ULB	- 67.050 lakhs
	Jal Nigam	- 73.417 lakhs
	Total	- 140.467 lakhs

7.	Monitoring Funds Utilization for the project				
	Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter
Up to end of last reporting Quarter Sept 2013		During the quarter being reported Dec 2013	Cumulative Expenditure as on 31.12.13		
1	2	3	4=(2+3)	5	6
1.	739.89	28.660	768.550	171.34	10 % of held up ACA has to be release by Gol
2.	890.70	58.880	949.580	91.12	
3.	1485.02	104.580	1589.600	95.42	
4.	1046.96	21.300	1068.260	428.70	
5.	1789.65	8.540	1798.190	0.00	
6.	1848.20	0.000	1848.200	97.00	
7.	2527.39	54.270	2581.660	374.44	
8.	415.00	0.000	415.000	0.00	
9.	499.00	0.000	499.000	0.00	
10.	782.00	0.000	782.000	0.00	
11.	320.98	64.020	385.000	232.636	
12.	307.00	0.000	307.00	0.00	
13.	161.054	12.750	173.804	0.00	
Total	12812.84	353.00	13165.84	1490.66	
Utilization of funds as % of funds received from all sources for the project as on date					89.83%

All amounts are in Rs. lakhs

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
1.	Water Supply Schemes in Sanjay Gandhipuram, Kamta, Faridi Nagar, Takrohi, Ismailganj & Patel Nagar etc. (Total Works - 12) Rising Main/D.S. - 214.12 Km. O.H.T. - 6 Nos.	993.80	Finalised for 10 works - 937 Retendering required for remaining 2 works Balanced Cost 56.80		18.06.09	30.04.10	Under Progress	95	31.01.11	31.03.14
(i)	Construction of over head tanks in Kamta, Ismailganj & Patel Nagar W/S Schemes		135.00							
(a)	Kamta - 600 KL/21 M Staging						Completed	100		
(b)	Ismailganj - 750 KL/22 M Staging						Completed	100		
(c)	Patel Nagar- 650 KL/21 M Staging						Completed & Handed over to Jalkal Vibhag	100		
(ii)	Construction of over head tanks in Sanjay Gandhipuram, Faridi Nagar & Takrohi W/S Schemes		175							
(a)	Sanjay Gandhipuram - 900 KL/22 M Staging						Site disputed.			
(b)	Faridi Nagar - 700 KL/22 M Staging						Completed & Handed over to Jalkal Vibhag	100		
(c)	Takrohi- 1000 KL/22 M Staging						Completed & Handed over to Jalkal Vibhag	100		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
(iii)	Laying of Distribution System & Rising Mains in Kamta, Ismailganj & Patel Nagar W/S Schemes		256							
(a)	Kamta D.S. - 29.20 Km						Completed	100		
(b)	Ismailganj D.S. - 41.24 Km R.M. - 2.22 Km						Completed	100		
(c)	Patel Nagar D.S.- 27.61 Km.						Completed & Handed over to Jalkal Vibhag	100		
(iv)	Laying of Distribution System in Faridi Nagar W/S Schemes - 45.00 Km		121				Completed & Handed over to Jalkal Vibhag	100		
(v)	Laying of Distribution System & Rising Mains in Sanjay Gandhipuram & Takrohi W/S Schemes		202							
(a)	Sanjay Gandhipuram D.S. - 21.00 Km.						Work interrupted due to site dispute of O.H.T.	41		
(b)	Takrohi D.S. - 44.05 Km. R.M. - 2.77 Km						Completed & Handed over to Jalkal Vibhag	100		
(vi)	Construction of staff quarter, boundary wall, gate, wicket gate, approach road & development works in Kamta W/S Scheme		9				Completed	100		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
(vii)	Construction of staff quarter, boundary wall, gate, wicket gate, approach road & development works in Ismailganj W/S Scheme		13				Completed	100		
(viii)	Construction of staff quarter, boundary wall, gate, wicket gate, approach road & development works in Patel Nagar W/S Scheme		9				Completed	100		
(ix)	Construction of staff quarter, boundary wall, gate, wicket gate, approach road & development works in Faridi Nagar W/S Scheme		8				Completed.	100		
(x)	Construction of staff quarter, boundary wall, gate, wicket gate, approach road & development works in Takrohi W/S Scheme		9				Completed.	100		
(xi)	Construction of staff quarter, approach road & drain in Sanjay Gandhipuram W/S Scheme						Site under dispute.	-		
(xii)	Construction of boundary wall & gate & development works in Sanjay Gandhipuram W/S Scheme						Site under dispute.	-		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
2.	Water Supply Schemes in Krishna Nagar, Ambedkar Nagar, Manas Nagar, Raja Bijli Pasi Ward-Kila Mohammadi Nagar, Aurangabad Jagir, Behsa & Rahimabad etc. (Total Works - 13) Rising Main/D.S. - 115.56 Km. O.H.T. - 6 Nos. C.W.R. - 3 Nos.	1176.85	1067.76		18.06.09 For work at C(iii) 09.06.10	30.04.10 For work at C(iii) 11.10.10	Under Progress.	95	31.01.11	31.03.14
(i)	Construction of over head tanks & CWRs in Krishna Nagar, Nehru Park Ambedkar Nagar Reorganisation W/S Schemes & Kila Mohammadi Nagar W/S Schemes		149							
(a)	Krishna Nagar									
	CWR - 200 KL						Completed	100		
(b)	Nehru Park Ambedkar Nagar									
	CWR - 200 KL						Site dispute solved on 11.05.12 but again site got disputed on 03.08.12			
	OHT - 1650 KL/22 M Staging							20		
(c)	Kila Mohammadi Nagar									
	OHT - 500 KL/22 M Staging						Completed	100		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
(ii)	Construction of 2100 KL/ 22 M Staging capacity over head tank & 250 KL capacity CWR in Sec-J Park Manas Nagar Reorganisation W/S Scheme.		130.00				Completed & Handed over to Jalkal Vibhag	100		
(iii)	Construction of over head tanks Aurangabad Jagir, Behsa & Rahimabad W/S Schemes.		114.76		09.06.10	11.10.10				
(a)	Aurangabad Jagir - 600 KL/22 M Staging						Completed	100		
(b)	Behsa - 350 KL/19 M Staging						Completed	100		
(c)	Rahimadbad -750 KL/21 M Staging						Completed & Handed over to Jalkal Vibhag	100		
(iv)	Laying of Distribution System & Rising Mains in Krishna Nagar & Nehru Park Ambedkar Nagar Reorganisation W/S Schemes		147							
(a)	Krishna Nagar D.S. - 6.95 Km. R.M. 1.56 Km.						Completed	100		
(b)	Nehru Park Ambedkar Nagar D.S. - 16.68 Km. R.M. - 1.47 Km.						Completed	100		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
(v)	Laying of Distribution System & Rising Mains in Sec-J Park Manas Nagar Reorganisation W/S Scheme & Kila Mohammadi Nagar W/S Scheme		169							
(a)	Sec-J Park Manas Nagar D.S. - 20.67 Km. R.M. - 1.01 Km.						Completed	100		
(b)	Kila Mohammadi Nagar D.S. - 19.51 Km. R.M. - 0.48 Km.						Completed	100		
(vi)	Laying of Distribution System & Rising Mains in Behsa, Aurangabad Jagir & Rahimabad W/S Scheme		212							
(a)	Behsa D.S. - 10.19 Km. R.M. - 1.07 Km.						Completed	100		
(b)	Aurangabad Jagir D.S. - 15.41 Km. R.M. - 0.49 Km.						Completed	100		
(c)	Rahimabad D.S. - 18.97 Km. R.M. - 0.77 Km.						Completed	100		
(vii)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Kila Mohammadi Nagar W/S Scheme		23				Completed	100		

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
(viii)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Aurangabad Jagir W/S Scheme		21				Completed.	100		
(ix)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Behsa W/S Scheme		24				Completed.	100		
(x)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Rahimabad W/S Scheme		24				Completed.	100		
(xi)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Nehru Park Ambedkar Nagar Reorganisation W/S Scheme		22				Site dispute solved on 11.05.12 but again site got disputed on 03.08.12	50		
(xii)	Construction of staff quarter, pumphouse, boundary wall, gate & development works in Sec-J Park, Manas Nagar Reorganisation W/S Scheme		29				Completed.	100		
(xiii)	Construction of pump house, boundary wall, gate & development works in Krishna Nagar Reorganization W/S Scheme		3				Completed	100		30.04.11

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
3.	Water Supply Schemes in Mallahi Tola-II, Saadatganj, Kanhaiya Madhopur & Adil Nagar etc. Rising Main/D.S. - 253.30 Km. O.H.T. - 6 Nos. C.W.R. - 3 Nos.	1258.11	1292.81		18.06.09	05.12.09	Under Progress	90	31.01.11	31.03.14
3.1	P.V.C./A.C. Pipe & Specials	288.17	288.17							
4.	Construction of Intake well & related works for II nd Water Works, Balaganj, renovation of rapid gravity filter at II nd Water Works, Balaganj & Aishbagh, P.A.C. Storage at Aishbagh.	1948.49	1967.80		18.06.09	05.12.09	Under Progress	84	31.01.11	31.03.14
5.	Supply of D.I./G.R.P. Pipes & D.I. Specials.	842.83	1093.00		Centralized Supply through Jal Nigam H.Q.		Completed	100	30.09.10	30.06.13
6.	Augmentation of Flow in river Gomti.	1945.47	1945.47		Work to be done by the Irrigation Department. Rs. 1848.20 Lacs has already been given to U.P. Irrigation Dept.		Completed	100	31.01.11	30.06.13
7.	Permanent Re-instatement of Road.	3229.86	3107.73		Work being done by the P.W.D./Lucknow Nagar Nigam/Jal Nigam		Under Progress	80	30.09.10	31.03.14
8.	Re-construction of 20 Nos. old defunct tube wells in Lucknow city.	500.80	500.80	475.00	Work done departmentally		Work completed	100	31.03.10	31.03.10

Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date(as per DPR)	Estimated completion date
9.	Construction of 21 Nos. New tube wells in different Piped Water Supply Schemes.	387.94	387.94				Work is being done departmentally	95	31.12.10	31.03.14
10.	E/M works such as pumping plant, power sub-station etc. for the booster pumping station/ZPS in different Piped Water Supply Schemes.	746.18	540.00		09/09	07.04.10	Under Progress	95	30.09.10	31.03.14
11.	Lining of Bharwara lake.	807.34	994.99				Under Progress	75	31.01.11	31.03.14
12.	Power Connection	351.23	351.23				Completed except for one interrupted T.W. due to site dispute.	95	31.01.11	31.03.14
13.	Contingencies	179.43	125.00							
	Total	14656.50	14656.50	475.00						

Schedule completion date of project as per DPR approved by CSMC : 1/2011

Actual duration (in months) for project completion : 58

Estimated time for completion of project as on date : 03/2014

Is there a difference between schedule date of completion and estimated date of completion : Yes

In case Yes, then what are the reasons for the delay, please select from the list below :

9.

S.No.	List of Issues	Yes / No	Brief remarks on the reason for delay
i	Delay related to fund release into Project Account	Yes	Delay in release of fund to implementing agency.
ii	Issues related to cost escalation	No	
iii	Delay in tendering process	No	
iv	Technical sanction process at state level	No	
v	Field level conditions leading to redesign	No	
vi	Constraints in supply of equipment/material/technology	No	
vii	Technical capacity of ULBs	No	
viii	Project Management related issues.	No	
ix	Any other issues / constraints in project implementation	Yes	(i) Stay from Hon'ble Court of Additional Civil Judge (J.D.), Hawali at the O.H.T./C.W.R./Staff Quarter land of Nehru Park Ambedkar Nagar which has been interrupted the work. (ii) Land dispute arised at the site of Sanjay Gandhi Puram O.H.T., which is also subjudiced & case is in Hon'ble High Court. (iii) Work of tube well at Shivam Park under Nehru Park Ambedkar W/S Scheme also interrupted due to dispute & the matter is in Hon'ble High Court.

10. Status of Various Initiatives :				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshops	National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)		-	-

11. Issues in Project Monitoring and Inspections		
Sl. No.	Particulars	Remarks
1	Inspections carried out by SLNA/GoI Officers	By IRMA officials
2	Date of Inspection	No inspection during this quarter. Last inspection carried out by IRMA Officials on 21-08-13
3	Issues reported during Inspections	<ul style="list-style-type: none"> The PIU and PEA suggested to expedite the progress of works. ELSR/OHTs completed and under testing. A proper record in test register to be maintained such as - dt. of 1st filling, water level, observations like no. of point leaks, no. of points body swettings etc. atleast for one week. Pursue for the balance work of Nehru Nagar & Shivam Park Scheme. Water quality test for the new tube wells to be carried and to maintain record. PIU and PEA suggested to arrange for Field Hydro Test for the already laid pipelines i.e., Feeder Main, Rising Mains and distribution network and to maintain field test register.
4	Course corrections done	<ul style="list-style-type: none"> Progress is being expedited. Up to date 92% over all progress has been achieved. All the work will be completed by March 2014. The ELSR/OHTs completed under this project have been successfully tested and commissioned. Work of testing has also been recorded in measurement books. Suggestion to maintain records in test register has been noted for compliance. Continues efforts to resolve site disputes at Nehru Park and Shivam Park have been made at every level. Both the issues are under preview of H'ble Court. Water quality of tubewells constructed and commissioned under this project were tested. Test results were recorded. Tubewells handed over to Jalkal Deptt., Nagar Nigam, Lko.(ULB) are being maintained and run by ULB. ULB has been requested to comply the suggestion of IRMA to carry for periodical water quality test and maintain records. Hydraulic sectional testing during laying of pipe lines has been conducted and test results have been recorded and maintained. ATR sent to S.L.N.A. by Municipal Commissioner vide letter no. 619/JNNURM/WS-ATR/13-14 dt 11-11-13
5	Suggestions, if any, for project monitoring and MIS	-

Project Manager,
Peyjal-II/C.U./G.P.C.U.-III/C.U.(E/M)
U.P. Jal Nigam,

General Manager,
G.P.C.U.
U.P. Jal Nigam,

General Manager,
Jalkal Vibhag
Nagar Nigam, Lucknow

Municipal Commissioner,
Lucknow Municipal Corporation,
Lucknow

PART III

MONITORING PROJECT IMPLEMENTATION

(To be filled in separately for each project)

SOLID WASTE MANAGEMENT LUCKNOW CITY

Quarterly Progress Report- October 2013 –December 2013

1	Project title :	Solid Waste Management	3	Project Bank A/c No: & Name & Address of Bank	A/c No -4483000100005063 PNB Vipul Khand Gomti Nagar Lucknow
2	Project code :	SWM			
3	Implementing Agency	Construction & Design Services, U.P. Jal Nigam, Lucknow.	4	Project Cost (in Rs. Lakh) - as sanctioned	RS. 4292.37 Lacs

All amounts are in Rs. Lakh

5.	Budget Allocation by ULB / parasitical agency :						
	Allocation in ULB / parasitical agency budget for this project in current financial year 2013-14				Rs. 1287.69 Lacs		
6	Capital Contributions to the project and Inflows						
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from sources or balance project period
					During the last quarter being reported Dec 2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1	GoI	2146.19	50%	1395.03+214.62*=1609.65	0.00	1609.65	536.54
2	State	858.47	20%	643.86	0.00	643.86	214.61
3	ULB	1287.71	30%	643.86	321.93	965.79	321.92
4	Others	-	-	-	-	-	-
	Total	4292.37	100%	2897.37	321.93	3219.30	1073.07

Note: * 10 % of ACA (Rs.214.62 Lakhs) which was holdup by GoI, has been released by GoUP.

Total interest accumulated in bank account to date	ULB	110.44 Lacs
	UPJN	47.15 Lacs
	Total	145.31 Lacs

7 Monitoring Funds Utilization for the Project					
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Up to end of last reporting Quarter Sept 2013	During the last quarter being reported (Dec 2013)	Cumulative Expenditure as on 31.12.13		
1	2	3	4	5	6
1	2812.72	209.58	3022.30	1073.07	10 % of held up ACA has to be release by Gol
Utilization of funds as % of funds received from all sources for the project as on date.					93.88%

All amounts are in Rs. Lakhs

8 Project Implementation Monitoring:										
List all tender packages proposed for the project		Cost (in Rs. lakh)			Project Start on		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1	Integrated Solid Waste Management Program i. Procurement of vehicle & Equipment for strengthening of Door to Door collection and transportation activities. ii. Development of processing facility. iii. Development of landfill facility.	4292.37	4292.37	-	25.08.09	23.10.10	Under Progress	70%	09/2008	31.01.2014

Scheduled completion date of Project as per DPR approved by CSMC : 9/2008			
Actual duration (in months) for project completion : NA			
Estimated time for completion of project as on date : BY January 2014			
Is there a difference between scheduled date of completion and estimated date of completion : Yes			
In case Yes, then what are the reasons for the delay, please select from the list below :			
11. Issues in Project Monitoring and Inspections			
Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
9	i. Delay related to fund release into Project Account	Yes	IV Installment demanded in 09/2013 but not received yet.
	ii. Issues related to cost escalation	No	
	iii. Delay in tendering process	No	
	iv. Technical sanction process at state level	No	
	v. Field level conditions leading to redesign	No	
	vi. Constraints in supply of equipment/material/technology	No	
	vii. Technical capacity of ULBs.	No	
	viii. Project Management related issues.	No	
	ix. Any other issues/constraints in project implementation.	Yes	1. Delay in land acquisition..

10. Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programme			
	Rapid Training Program under JNNURM in Lucknow	Number of Official Trained	-	-
		Number of Non Official Trained	-	-
	Orientation Programme for Project Implementation Unit (PIU) and Project Management Unit	Number of Official Trained	-	-
		Number of Non Official Trained	-	-
	International Conference Local Governance for Sustainable & Safe Cities	Number of Official Trained	-	-
		Number of Non Official Trained	-	-
	Training Program on Project Planning & implementation under JNNURM	Number of Official Trained	-	-
		Number of Non Official Trained	-	-
	Capacity Building for Urban Local Bodies in developing PPP Projects.	Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshop			
	National Seminar on Urban Reforms	National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and inspections

Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ GoI Officers	Yes (Director, SLNA)
	Date of inspection	03.02.2012, 25.12.12 & 22.10.13
	Issues reported during inspections	to expedite the work
	Course corrections done	Yes
	Suggestions, if any, for project monitoring and MIS	Nil

Signature & Date

**Authorized Signatory
Project Implementing Agency**

**General Manager
Project Implementing Agency**

**Municipal Commissioner
Lucknow Nagar Nigam
Lucknow.**

PART III
MONITORING PROJECT IMPLEMENTATION

QUARTERLY PROGRESS REPORT

QUARTER ENDING 31.12.2013

(LUCKNOW STORM WATER DRAINAGE)
(SANCTIONED COST Rs. 32521.00 Lacs)
(STATE EFC SANCTIONED COST Rs. 38994.40 Lacs)

1	Project title:	Storm Water Drainage Project of Lucknow City			4	Project Bank A/c No. & Name and Address of Bank	A/c No-448300010010872 PNB, Gomti Nagar Lucknow	
2	Project Code:					Projects Cost (Rs. Lacs) as sanctioned	Rs. 32521.00 Lacs.	
3	Implementing Agency:	LUCKNOW MUNICIPAL CORPORATION				Revised Project Cost approved by EFC.	Rs. 38994.40 Lacs.	
5.	Budget Allocation by ULB/ parastatal agency							
Allocation in ULB /parastatal agency budget for this project in current financial year 2013-14					Provision of Rs. 40000.00 Lacs has been approved for all the projects under JNNURM Program in the Annual Budget 2013-14 of LMC.			
6	Capital Contributions to the project and inflows							
S. No	Sources	Commitment based on approved project cost	Commitment based on Revised Project cost	% of total project cost	Released upto Quarter – Q 2 (Sept 2013)	Amounts released in financial year 2013-14		Commitment pending release from source for balance project period
						During the Quarter Q II being reported (Dec 2013)	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7(5+6)	8[3(b)-7]
1	GoI	16260.50	16260.50	50%	14634.55+1625.95*=16260.50	0.00	16260.50	0.00
2	State	6504.20	9740.90	20%+50%	9740.90	0.00	9740.90	0.00
3	ULB	9756.30	12993.00	30%+50%	12993.00	0.00	12993.00	0.00
4	Others	--		--	--	--	--	
	Total	32521.00	38994.40	100%	38994.40	0.00	38994.40	0.00
Total interest accumulated in bank account to date							Rs. 557.85 Lacs	

7. Monitoring Funds Utilization for the project					
Tender Package No	Actual Amounts utilized in the project			Estimated expenditure for next Quarter Q-III 2013-14	Expected time to request for next installment
	Up to end of last reporting Quarter II 2013-14	During the quarter being reported Q-III, 2013-14	Cumulative to date as on 31.12.2013		
1	2	3	4(2+3)	5	6
1	4938.38	0.00	4938.38	520.16	-
2	7604.12	1043.90	8648.02	5618.13	
3	5836.23	369.60	6205.83	2105.84	
4	8150.16	297.73	8447.89	0.00	
5	1652.03	0.00	1652.03	93.740	
Total	28180.92	1711.23	29892.15	8337.87	
Utilization of funds as % received from all sources for the project as on date					76.65 %

8. Project Implementation Monitoring – Nodal Agency is Nagar Nigam, Lucknow										
List all tender packages proposed for the project		Cost (in Rs Lacs)			Project Start		Implementation Status		Completion	
Package No	Brief Title of Tender Packages	Estimate	Awarded	On Completion	Tender Release date	Tender Award Date	Under Progress/ Completed	% of work completed (Physical Progress)	Schedule date	Actual Completion date
1	2	3	4	5	6	7			8	9
1.	Construction of Trunk & Branch Drain of Sarkata (A+B), Nagaria- A, Nagaria- B under Drainage District-I.	4624.70	5458.54	-	10.02.09	11.05.09	Under Progress	95.10	31.03.2014	
2.	Construction of Trunk & Branch Drain of NER u/s , d/s Drain , China Bazar , Laplace, Zopling Road, Mahesh Ganj, Rooppur Khadra , Arts College , Mahanagar , Rahim Nagar, TGPS, under Drainage District-II.	11869.60	14266.26	-	28.05.09	02.07.09	Under Progress	69.71	31.03.2014	

3	Construction of Trunk & Branch Drain of Kedar Nath Road , Nishat Ganj, Baba Ka Purwa and Kukrail under Drainage District-III	7074.00	8311.67	-	28.05.09	07.07.09	Under Progress	75.91	31.03.2014	
4	Construction of Trunk & Branch Drain of GH Canal, Neil Matha Cantt Nala,Kukrail Branch Faizabad Road , Jublee, Pata, Bijli Passi , under Drainage District-IV, V, VI	7176.00	8447.89	-	28.05.09	16.07.09	Under Progress	87.87	31.03.2014	
5.	Augmentation of capacity of Flood Pumping Stations.	1776.70	1745.77	-	28.05.09	25.12.09	Under Progress	96.00	31.03.2014	
	Total	32521.00	38230.13							

Scheduled Completion date of Project as per DPR Approved by CSMC			March 2011
Estimated time for completion of project as on date:			March 2014.
Is there a difference between scheduled date of completion and estimated date of completion:			Yes
Actual duration (in months) for project completion			Forty Eight Months
09.	In case Yes, then what are the reasons for the delay, please select from the list below		
S. No	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account	Yes	Releases of Second, Third & Fourth installments into project account delayed.
ii.	Issues related to cost escalation	Yes	The revised cost of Rs.389.94 crores has been approved by the State EFC, as per the cost appraised by PFAD, DoP, GoUP.
iii.	Delay in tendering process.	No	--
iv.	Technical sanction process at state level	No	--
v.	Field level conditions leading to redesign	No	--
vi.	Constraints in supply of equipment/material/technology	No	--
vii.	Technical capacity of ULBs	No	--
viii.	Project Management related issues.	No	--
ix.	Any other issues / constraints in project Implementation	No	--
10	Status of Various Initiatives		

S. No	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Officials Trained	--	83
		Number of Non Officials Trained	--	127
2.	Workshops			
		National Level	--	--
		State Level	--	1
		Regional Level	--	3
3.	Other (Please specify key initiatives) <ul style="list-style-type: none"> I.I.T. Kanpur has carried out field level verifications for drainage invert levels. The revalidation of proposed invert levels of drains with the actual field levels has been submitted vide report dated 16.09.2010. Post –construction testing initiated First set of the cut-core samples drawn through the Institute of Engineering & Technology, Lucknow and the test reports of these samples tested by I.I.T., Kanpur received. 			
11. Issues in Project Monitoring and Inspections				
S. N	Particulars	Remarks of PEA		
1	Inspections carried out by IRMA	Er R.K. Goyal (Team leader) ,		
2	Date of Inspection	July 27, 2011 Interaction with PEA.		
3	Issues reported during Inspections	01. A set of the Ground Levels for the project area and the designs of Storm Water Drainage networking as approved by PEA should be forwarded to CPHEEO. 02. A set of approved structural design and drawing of the various components of the scheme should be forwarded to CPHEEO. 03. Validity of Bank guaranty for the contract bonds should be for construction period + Maintenance period as if required of the C.B. 04. PEA to prepare a work plan within estimated cost of project so as to complete on priority. This plan should be workable and provide proper connectivity of various components. Remaining works should not be taken up till approval of the revised estimate and release of funds. 05. PEA should ensure use of construction material and E&M equipments, as per contract Bond specifications and parameters.		
4	Course corrections done	01. The revalidation of field levels with the proposed invert levels conducted by I.I.T. Kanpur submitted vide its report dated 16.09.2010 has been submitted to Director/SLNA , Local Bodies, GoUP and Director (NURM-II) vide letter no. 166(A)PM/JNNURM/DR/10-11 dated 25.10.2010.		

		<p>02. The set of approved structural designs and technical drawings with the design details of various components of the scheme has been submitted along with the abovementioned report.</p> <p>03. At present all BGs are valid and whenever required, these have been got revalidated for the desired period within time.</p> <p>04. The work plan vis-à-vis the approved project cost of Rs. 325.21 Crore has been prepared, The revised cost estimate of Rs. 389.94 Crore of 231.59Km length is under review for approval of EFC.</p> <p>05. LMC is ensuring use of construction materials and E&M equipments, as per contract bond specifications and parameters such as (a) procurement of cement concrete from RMC plant, quality testing in-situ & field lab of the locally acquired materials as bricks, sand, aggregate, water etc. and (b) excavators, vibratory compactors, static compactors, batch mixers, dewatering pumps, tankers etc.</p>
5	Suggestions, if any, for project monitoring and MIS	<p>IRMA -PEA to prepare a work plan within estimated cost of project so as to complete on priority. This plan should be workable and provide proper connectivity of various components. Remaining works should not be taken up till approval of the revised estimate and release of funds.</p> <p>LMC - The work plan vis-à-vis the approved project cost of Rs. 325.21 Crore has been prepared, prioritizing the trunk and main drainage system and is being implemented accordingly. GoUP has allowed cost escalations, to be borne by the State/ULB, against revised cost estimates due to higher tender rates in the projects. Accordingly the revised cost estimate is under review for approval of EFC.</p>
12.	Any issues/constraints in Project Implementation.	
	CSMC had approved the DPR of Rs.325.21 Cr. on 29-12-2008 on the basis of 2007 Schedule of Rates of UPPWD. However the tenders had been evaluated and accepted based on PWD SOR 2009, prevalent then. The revised cost estimate has been approved by the State EFC vide G.O. No.4594/9-5-2011-500sa/09, dated 22-12-2011, as per the PFAD, DOP, GoUP appraised cost of Rs.389.94 crores.	

Signature & Date

(S.K.Jain)
Project Manager
Lucknow Nagar Nigam

(S.K. Ambedkar)
Chief Engineer
Lucknow Nagar Nigam

(P.K.Srivastava)
Add. Municipal Commissioner
Lucknow Nagar Nigam

(Rakesh Kumar Singh)
Municipal Commissioner
Lucknow Nagar Nigam

Quarterly Progress Report for Uttar Pradesh (Mathura)

JnNURM

Time Period: October 2013 to December 2013

This Report Comprises

State level report
Uttar Pradesh
City level report
Name of JNNURM City
Mathura, Nagar Palika Parishad
Project level report
Mathura Storm Water Drainage
Mathura Sewerage
Mathura Solid Waste Management

Report Submitted by

Director of Local Bodies, Uttar Pradesh

Signature of CEO, SLNA
Designation, SLNA

1.	Mandatory Reforms at City Level¹		
	Commitment as per the MoA for the current financial year (Report as per MoA)	Progress made during the Quarter (Oct. 2013 to Dec. 2013)	Cumulative progress as on 31.12.2013
a)	Implementation of Accounting Reforms		
	Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM.		Municipal financial accounting manual is complete and has already been adopted.
	GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		Go No4094/9-5-2008-119/2007 dated 2 June 2008 issued indicating a cutoff date for full migration of municipal accounting system from 1.04.2009.
	Training of personnel	M/s Abhishek Garg & Associates, Chartered Accountants have given Training to employees of account Staff for DEAS. Trainings are also imparted at directorate, local bodies to NPPM staff.	Education Program for all employees regarding accounting System.
	Appointment of field-level consultant for implementation at the city-level	Appointed	Chartered accountant has been appointed for providing hand holding and implementing training program. Inventory of assets and liabilities is complete. Opening Balance Sheet of 01.04.2009 is completed, finalized, audited and adopted by MNP Board on 21.10.2011
	Notification of cut-off date for migrating to the double-entry accounting system	Notified and total migration from 1-4-2009 as per government order but both the systems. (i.e. single & double entry systems) are being maintained simultaneously as directed by the govt.	The ULB has adopted accrual based accounting system and full implementation is in process.
	State year from which ULB will commence preparation of outcome budgets	Outcome budget for FY-2010-11 has been prepared. Opening Balance sheet for as on 31.03.2009 is complete and finalize.	Out Come budget for FY-2010-11 has been prepared and it is under finalization.
	State year in which ULB will undertake Credit rating	FY 2008-2009 (Credit Rating- B)	FY 2008-2009 (Credit Rating- B)

Property Tax reforms		
Elimination of exemptions	Done	Related to State Govt.
Migration to Self-Assessment System of Property Taxation	Done	Self assessment for the residential buildings is already implemented.
Establish Taxpayer education programmed	Ward wise/ Zonal wise camps organized. Print and electronic media is being used for the education.	Tax payers can calculate tax and see calculation through our website http://www.mathuranagarpalikaparishad.com .
Achievement of 85% Coverage Ratio (see item e in Current Status)	In FY 2013-14 (till Dec. 2013) 1-Total No of Assessed Holdings—78087 2-Total No of Properties as per GIS Survey-80332 3-Coverage Ratio-97.20 %	Achieved as per commitment. In FY 2009-10 Property tax Coverage : 93.00 % In FY 2010-11 Property tax Coverage : 97.00 % In FY 2011-12 Property tax Coverage : 98.00 % In FY 2012-13 (Till Dec 12) Property tax Coverage : 97.02 %
Achievement of 90% Collection Ratio for current demand (see item f in Current Status above)	In FY 2013-14 The details of PT Collection is given below till Dec. 2013 1- Current Demand-----Rs. 330.0 lakhs 2-Total Arrear Demand----Rs.31.48 lakhs 3-Total demand-----Rs. 361.48 lakhs 4-Arrear Collection----Rs. 16.58 lakhs 5-Current Collection-Rs. 182.68 lakhs 6-Total PT Collection-----Rs. 199.26 lakhs 7- Collection Efficiency: 55.12 %	In FY 2012-13 The details of PT Collection is given below. 1- Current Demand-----Rs. 322.00 lakhs 2-Total Arrear Demand----Rs.29.14 lakhs 3-Total demand-----Rs. 351.15 lakhs 4-Arrear Collection----Rs. 24.19 lakhs 5-Current Collection-Rs. 295.47 lakhs 6-Total PT Collection-----Rs. 319.66 lakhs 7- Collection Efficiency: 91.03 %
Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year (exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)	In FY 2013-14 till Dec. 2013 1-Arrear Demand-----Rs. 31.48 lakhs 2-Arrear Collection----Rs. 16.58 lakhs 3-Collection Efficiency----Rs. 51.61 %	In FY 2012-13 1-Arrear Demand-----Rs. 29.14 lakhs 2-Arrear Collection----Rs. 24.19 lakhs 3-Collection Efficiency----Rs. 83.00 %
c)	Reforms in levy of user charges	
1-The State should set up a body for recommending a user charge structure.	User Charges introduced for door to door collection.	Mathura Nagar Palika Parishad already introduced user charges for door-to-door collection in 25 wards till date and different O&M services. User Charges structure is there for Water & sewerage. Parking, Open grounds user charge being collected.

	2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. Please specify the timeline for each service separately (i) Water Supply and Sewerage (ii) SWM	DEAS is being use for establishment of proper accounting system.	DEAS is being use to determine O&M Separately. Income and Expenditure on WS, Sewerage and SWM is given below.
	3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UFW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both. i. Non-Revenue Water (NRW) ----- 12 ii. Un-accounted for Water (UFW) --- 28	As per commitment. A Committee has been established under the chairmanship of EO to indicate plan for reducing the targets of NRW & UFW. In the quarter being reported a state level Service level bench mark meeting regarding services and user charges in various sectors like WS, Sewerage and SWM has been organized by ASCI / SLNA at Lucknow.	As per commitment. Water Audit and Pressure testing is being done by Jal Kal Dept. Charges are being taken on water Supply tankers(Rs. 500.00) and Sewer Jetting Machine (Rs. 750.00) User Charges will be implemented on SWM as the SWM has to be done on integrated basis by Pvt. Agency. Tipping fee per MT has been approved by the NPP.
	4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %) Water Supply ----- 68 Sewerage ----- 68	Water Supply & Sewerage In FY 2013-14 (Till Dec. 2013) 1-O&M Expenditure---Rs.135.92 Lakhs 2-O&M Income----Rs.133.66 Lakhs 3-O&M Recovery—96.10 % Door-to-door collection has been initiated in 15 wards. Operator has started collecting user charges for SWM. Apprx. 4 lacs rs. Collected towards user charges.	Water Supply & Sewerage In FY 2012-13 1-O&M Expenditure---Rs.349.83 Lakhs 2-O&M Income----Rs.338.30 Lakhs 3-O&M Recovery—96.70 % A committee is to be formed to propose the UC structure of different services of Corporation.
d)	Implementation of E-Governance in municipalities		
	1-Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP Based on the National Mission Mode Project of Government of India, the design document will be prepared.	ULB Level DPR based on state level software solution has been sanctioned on 24 th Feb 2012 Signing of MoA is under progress.	e-Governance DPR of Rs.1,83,02,225/- sanctioned by Central Sanctioning and Monitoring Committee (CSMC) on 24th Feb'2012
	2-Assessment of MEDD against National E-Governance Standards (E.g. Scalability, intra-operability & security standards etc.)	Assessment of MEDD against National E-Governance Standards is being made in proposed DPR.	Done. Assessment of MEDD will be done by proposed state SIC.

3-Finalization of Municipal E-Governance implementation action plan for the city	Municipal E-Governance implementation action plan for the city has been finalized.	Action plan for all modules of e-Governance has been already finalized by M/S SPECK System and action plan is being implemented by Nagar Palika Parishad E-Governance State level software solution has brief action plan for all civic services that will be adopted by citizens and Nagar Palika Parishad itself.
4-Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems	BPR in progress	E-Governance modules are being used for every section in NPP and every section is linked with each other which meet out the purpose of BPR.
5-Appointment of Software consultant(s) / agency for development, deployment And training	M/s SPECK Systems M/s Uttar Pradesh Electronic Corporation Limited.	M/s SPECK Systems and M/s M/s Uttar Pradesh Electronic Corporation Limited. have been appointed as Software consultant(s) / agency for development, deployment And training
6-Exploring PPP option for different E-Governance services.	Adoption of PPP is under consideration.	Partially Achieved Cyber Citizens Points have been authorized by NPP to provide civic services.
7-Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	<ul style="list-style-type: none"> ➤ Property tax Services are being provided online through Nagar Palika Parishad website http://www.mathuranagarpalikaparishad.com ➤ Online payment through payment gateway. ➤ Self Assessment Form (Residential / Non residential), Annual value calculator, and Monthly rates for AV are also available at Nagar Palika Parishad website. ➤ Computerized/online Bills are being generated on the basis of GIS based Property tax system 	Property tax Services are being provided online through Nagar Palika Parishad website http://www.mathuranagarpalikaparishad.com Online payment through payment gateway. Self Assessment Form (Residential / Non residential), Annual value calculator, and Monthly rates for AV are also available at Nagar Palika Parishad website. Computerized/online Bills are being generated on the basis of GIS based Property tax system Citizens can also use Nagrik Suvidha Kendra for its services.
Water Supply & Other utilities	<ul style="list-style-type: none"> ➤ Water Tax Collection System is fully Computerized in Jal Kal department ➤ Computerized/online Bills are being generated on the basis of GIS based system 	Water Tax Collection System is fully Computerized in Jal Kal department Computerized/online Bills are being generated on the basis of GIS based system

Accounting	<ul style="list-style-type: none"> ➤ The inventory of assets and liabilities has been prepared, valued and digitized . ➤ CA has been appointed for providing and implementing training program. ➤ Opening balance sheet 01.04.2009 has been prepared, audited and adopted by MNP Board on 21.10.2011. ➤ Balance sheet 2009-10 is finalized and audited; Data Of 2010-11 has been given to CA for Audit. Data of 2012-13 being updated on regular Basis. ➤ Revised budget for 2011-12 has been prepared and adopted by MNP board on 21.10.11. ➤ Internal Audit of NPPM is done by Auditor (Local Fund Account) On Yearly Basis and then External Audit done by CA. ➤ Tally is used as Double Entry Accounting Systems. ➤ Switched over to DEAS on 01.04.2009. 	The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual. Opening Balance Sheet of 01.04.2009 is completed, finalized, audited and adopted by MNP Board on 21.10.2011. The opening balance Sheet has been audited by an external CA Firm. Balance sheet of FY 2009-10 & FY 2010-11 is almost final.
Birth & Death Registration	<ul style="list-style-type: none"> ➤ Offline computerized facility is being provided to citizens. ➤ Online receiving of Application and issuing of certificates is also started. ➤ M/S SPECK Systems, Hyderabad developed online bilingual website for birth & death certificates. ➤ Application status is also available at Palika Parishad Website. ➤ Digitization of previous data (issued certificates) is under progress. 	Offline computerized facility is being provided to citizens. Online receiving of Application and issuing of certificates is also started. M/S SPECK Systems, Hyderabad developed online bilingual website for birth & death certificates. Application status is also available at Palika Parishad Website. Digitization of previous data (issued certificates) is under progress...
Citizen's Grievance Monitoring	<ul style="list-style-type: none"> ➤ Web based application software is being used. 	Web based application software is being used.

		<ul style="list-style-type: none"> ➤ Citizen can endorse their complaint through Nagar Palika Parishad website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. ➤ When complaint resolve, a SMS is sent to complainer for the resolving status. ➤ Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented last year. 	<p>Citizen can endorse their complaint through Nagar Palika Parishad website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. When complaint resolve, a SMS is sent to complainer for the resolving status. Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented last year. Citizen grievance monitoring system is being exercised. Citizen can lodge the complaint through online and CFC itself.</p>
	Personnel Management System	<ul style="list-style-type: none"> ➤ Web based application Software is being used. ➤ PMS is prepared and uploaded to Nagar Palika Parishad website. ➤ Centralized PIS is also available at Directorate website. ➤ Employee Payroll Management System is also implemented. 	<p>Web based application Software is being used. PMS is prepared and uploaded to Nagar Palika Parishad website. Centralized PIS is also available at Directorate website. Employee Payroll Management System is also implemented.</p>
	Procurement and Monitoring of projects		
	<ul style="list-style-type: none"> • E-Procurement 	<ul style="list-style-type: none"> ➤ Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Palika Parishad website. ➤ Evaluations of bids are being done through district level software solution. 	<p>Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Palika Parishad website. Nagar Palika Parishad will start E-Procurement system for construction and other departmental works at earliest.</p>

<ul style="list-style-type: none"> Project / Ward Work 	<ul style="list-style-type: none"> ➤ At Nagar Palika Parishad Level, For Project Management, One Project Management System Software has been installed. ➤ Data Entry related Project Management is almost completed. ➤ Evaluation and monitoring of projects are being done with the help of Software. ➤ Project Monitoring and evaluation of is being done through application software. ➤ Monitoring of JNNURM projects is being done by modified PMES System. ➤ JnNURM Project Management, CDP, DPR, MoA, entries have already been completed. ➤ JnNURM QPR Entry for March 2011 as well as June 2011 has been completed. 	<p>At Nagar Palika Parishad Level, For Project Management, One Project Management System Software has been installed. Data Entry related Project Management is almost completed. Evaluation and monitoring of projects are being done with the help of Software. Project Monitoring and evaluation of is being done through application software. Monitoring of JNNURM projects is being done by modified PMES System. JnNURM Project Management, CDP, DPR, MoA, entries have already been completed. JnNURM QPR Entry for March 2011 as well as June 2011 has been completed.</p>
<p>Building Plan Approval</p>	<ul style="list-style-type: none"> ➤ A state level software has been prepared named "Avasbandu" for Building Permissions ➤ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Palika Parishad. ➤ Digitization of building data is being updated regularly. ➤ Web based software has been prepared for the digitations of buildings data. 	<p>A state level software has been prepared named "Avasbandu" for Building Permissions. Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Palika Parishad. Digitization of building data is being updated regularly. Web based software has been prepared for the digitations of buildings data.</p>
<p>Health Programs</p>		
<ul style="list-style-type: none"> Licenses 	<ul style="list-style-type: none"> ➤ Computerized Licenses are being issued through application software. ➤ Case / System Study for web based License module are complete and software development is in progress. 	<p>Computerized Licenses are being issues. Online services will be implemented soon.</p>

	<ul style="list-style-type: none"> • Solid Waste Management 	<ul style="list-style-type: none"> ➤ Since the Nagar Palika Parishad is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally. ➤ The other financial aspects of SWM like user charges etc covered by this application. Software is being prepared. 	<p>Since the Nagar Palika Parishad is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally.</p>
e)	<p>Earmarking of funds for basic services to the Poor</p> <p>BUDGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for ‘Services to the Poor’ ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for ‘Services to Poor’. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----20% ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income-----20% ➤ Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure -----20% 	<p>1-MNP has created separate Municipal fund for services to Urban Poor.</p> <p>2-Separate heads for revenue and capital expenditure will be adopted in DEAS.</p> <p>3-Planned expenditure for earmarked fund based on need assessment will be made.</p> <p>NPPM have marked 25% development budget of Rs.200 lacs for Poor every year</p> <p>In FY 2010-11-----Rs. 140.72 Lakhs</p> <p>In FY 2011-12-----Rs. 101.40 Lakhs</p> <p>In FY 2012-13 (Till Mar 2013) Rs. 92.82 Lakhs has been spend</p>	<p>20 % Separate Budget has been prepared for 2010-11 for BSUP.</p> <p>20.00 % Separate Budget has been allocated for 2011-12 for BSUP.</p> <p>NPPM have marked 25% development budget of Rs.200 lacs for Poor every year. In 2010-11 Rs.140.72, in 2011-12 Rs.101.4 and upto Mar’13 Rs.92.82 lacs has expended.</p>

f)	Basic Services to Urban Poor	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Palika Parishad. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows: 1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services 2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land 3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Palika Parishad. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows: 1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services 2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land 3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>
Optional Reform			
Sl No	Commitment as per the MoA	Progress made during the Quarter (Oct. 2013 – Dec. 2013)	Cumulative progress as on 31.12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days. Time reduction to 7 & 10 days respectively is to be achieved by year 2011-12	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and
B	Maximum Reduction of Average time for Building Sanction		

			<p>sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.</p>
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	<p>The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and</p>

			<p>Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the Developer will not include the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.</p>
Earmarking of Land for EWS/LIG Housing and a system of cross subsidy			
A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.	Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007.
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		

			<p>The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.</p>
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>	<p>Nagar Palika Parishad is following the byelaws framed by Govt. in National Building Code</p>	<p>Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Contral Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.</p>
Structural Reform			
A	New Initiatives planned within organisation	1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are	All municipal core services are being maintained at zonal office such as Health, water supply,
B	New Initiatives planned for inter-agency		

	<p>coordination and accountability amongst city level agencies</p>	<p>reviewed almost daily. 2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments</p>	<p>sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office. Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Palika Parishad level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.</p>
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Administrative Reform

A	<p>1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure</p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
Encouraging PPP			
A	<p>List down the city level project initiatives planned through PPP in the next three years</p>	<p>1)SWM project of NNM is in progress on PPP Model. (2)Construction of Modern Slaughter House. (3)Replacement of low efficiency water pumps to energy efficiency pumps. (4)E-Governance project of NNM services. EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps and Street Lights on PPP model.</p>	<p>GO-No- 1- 1783/-9-1-01-66सा/01, दिनांक 22-6-2002- 4584 / चौ-1-04-2(9) / 2002 दिनांक 13-1-2004 3-4323 / 9-1-2005-66सा / 2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा / 2001टीसी दिनांक 21-3-2006 Done Act amended. Order issued GO- No- 1855/9-5-08-54EO/2007 dated 6.8.2008. Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA) 24-2009.</p>

2. MoA

in case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering in to tripartite MoA 08.01.2007

3. List initiatives taken if any for creation of Revolving fund at the city level (please mention in brief bullet points)

-

4. Any innovations/good practices in urban governance, project development and service delivery implemented in the city during the reporting period (please mention in brief bullet points)

-

Signature & Date
Authorized Signatory
Urban Local Body

1.	Project Title	Municipal Solid Waste Management		3.	Project Bank A/C No. & Bank & Address of Bank	A/c no-1838000103125213 PNB Chowki Bagh Bahadur Mathura
2.	Project Code	MAT-001		4.	Project Cost (in Rs. Lacs) as sanctioned	Rs. 991.60 lac
3.	Implementing Agency	C. & D. S., Unit-17, U.P. Jal Nigam, Mathura				

All amounts are in lakhs

5. Budget Allocation by ULB/parastatal agency	
Allocation in ULB/parastatal agency budget for this project in current financial year 2013-14	Rs. 24.79 lakhs

6. Capital Contributions to the Project & Inflows*							
S.No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project periods
					During the last quarter being reported (October 2013-December 2013)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7 = (5+6)	8 = (3-7)
1.	Gol	793.28	80	713.95+79.33*=793.28	0.00	793.28	0.00
2.	State	99.16	10	99.16	0.00	99.16	0.00
3.	ULB	99.16	10	99.16	0.00	99.16	0.00
Total		991.60	100	991.60	0.00	991.60	0.00

Note: * 10 % of ACA (Rs.79.33 Lakhs) which was holdup by Gol, has been released by GoUP.

³Note (for filling table):

- (1) Quarter is defined to be aligned with the financial year time frames.
- (2) Actual dates/amounts for all sources should correspond to dates/amounts of actual cash inflow in the project bank amount.
- (3) Amounts committed pending release for current financial year and should be linked to project implementation schedules

⁴From start of the project.

	Total interest accumulated in bank account upto date sent to Nagar Palika Parishad, Mathura	ULB	Rs. 25.10 lac
		Total	Rs. 25.10 lac

All amounts are in Lakhs

7. Monitoring Funds Utilisation ⁵ for the Project						
Tender Package No.	Actual amount utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment	
	Up to end of last reporting Quarter ⁶ Up to 30.09.2013	During the last quarter being reported Oct 2013 to Dec 2013	Cumulative Expenditure as on 31.12.2013			
1	2		3	4= (2+3)	5	6
1.	T&P	413.60	0.00	413.60	-	Project has been Complete. Completion Certificate has also been submitted at GoI in Dec 2013.
2.	CP	564.47	0.00	564.47	-	
3.	LFSD					
4.	Conti.	12.16	0.00	12.16	-	
Total		990.23	0.00	990.23		
Utilization of funds as % of funds received from all sources for the project as on date					99.86 %	

Note: Project is Complete and balance Rs. 1,37 lakhs only returned to ULB.

All Amount are in lakhs

8.		Project Implementation Monitoring								
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release Date	Tender Award Date	(Work not started/under progress/completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	C&T (Part)	413.60	227.60		PCR No. 1/306 & 2/306	16/09/2008 24/09/2008	Under Progress	100%	12/2009	<u>Dec.- 2011</u> Apr.-2012
2.	Compost Pad 180 TPD	130.00	764.00	-	25-08-2009	26.04.2010	1- B/W	100%	12/2009	31.07.2012
3.	Land fill Site development	419.10				2- Compost pad	100%			
4.	C&T (Part)					3- S.L.F.	100%			
5.	Contingencies	28.90				4- Internal roads	100%			
						5- Add. Block	100%			
							6- Weigh bridge, pre shorting, RDF, Eco Brick & Mosoon shade etc.	100%		
Total		991.60	991.60							

Note: For S.no. 1 provision of C&T i.e. Rs. 413.60 lac amount Rs. 227.26 expenditure done on C&T by C. & D. S. remaining amount i.e. R. 413.60 – Rs. 227.26 = Rs. 186.34 lac added in C.B.

Scheduled completion date of Project as per DPR⁷ approved by CSMC: month/year 12/2009

Actual duration (in months) for project completion. - **Proposed** 03/2012

Estimated time for completion of project as on date: month/year **Project is Completed**

Is there a difference between schedule date of completion and estimated date of completion: yes/no **yes**

In case Yes, then what are the reason for the delay, please select from the list below:

9.

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account	Yes	Funds could not be transfer timely at the Unit Level
ii.	Issues related to cost escalation	No	-
iii.	Delay in tendering process	Yes	Tenders were call at the Constructions and Design Services UP Jal Nigam Head Quarter level, delay was caused in selection of approach and methodology adoption
iv.	Technical sanction process at state level	Yes	
v.	Field level conditions leading to redesign	Yes	-
vi.	Constraints in supply of equipment/material/ technology	No	-
vii.	Technical capacity of ULBs	Yes	-
viii.	Project Management related issues.	Yes	-
ix.	Any other issues/constraints in project implementation	Yes	Repeatedly hindrance in land by local residents provided by ULB for construction work

⁷Please specify month and year of completion as envisaged in the DPR, taking in to account the release of first installment of funds from Gol as start date for the project

10. Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshops			
		National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)			
	-	-	-	-
	-	-	-	-
	-	-	-	-

11. Issues in project Monitoring and Inspections		
Sl. No.	Particulars	Remarks
1	Inspections carried out by SLNA/GoI Officers	Sh. R.K. Goyal, Team Leader IRMA
2	Date of Inspection	7 & 8 June 2010, 13-07-2010, 8 & 9 Nov. 2010, Feb. 2011, 15 June 2011, 12 Sep. 2011 & 27 Dec. 2011
3	Issues reported during Inspections	Speed up for progress for the construction SLF, C. plant & other construction activity
4	Course corrections done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date
Authorised Signatory
Project Implementing Agency

Signature & Date
Authorised Signatory
Urban Local Body⁸

1	Project Title	Mathura Storm Water Drainage	3	Project Bank A/c No: & Name & Address of Bank	<u>Account No. 1838000103139899</u> <u>PNB Chowki Bagh Bahadur</u> <u>Mathura (U.P)</u>
2	Project Code	-			
3	Implementing Agency	U.P. Jal Nigam,	4	Project Cost (in Rs. Lakh) - as sanctioned	<u>Original Cost—Rs. 8720.00 Lacs</u> <u>Revised Cost---Rs. 11479.96 Lakhs</u>

Rs. In Lakhs

5.	Budget Allocation by ULB / parastatal agency : FY 2013-14							Rs.523.20 Lacs
6	Capital Contributions to the project and Inflows							
S.No.	Sources	Commitment based on Approved Project cost	Commitment based on Revised Project cost	% of total project cost	Actual release upto end of last reporting quarter (Sept 2013)	Actual amounts released into Project Account		Commitment pending release from sources or balance project period
						During the last quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7=(5+6)	8=(3b-7)
1	GoI	6976.00	6976.00	80+00 %	4534.40+697.60*=5232.00	0.00	5232.00	1744.00
2	State	872.00	2251.98	10%+50%	2121.16	0.00	2121.16	130.82
3	ULB	872.00	2251.98	10%+50%	2251.98	0.00	2251.98	0.00
4	Others (Specify)	-		-	-	-	-	-
	Total	8720.00	11479.96	100 %	9605.14	0.00	9605.14	1874.82

Note: * 10 % of ACA (Rs.697.60 Lakhs) which was holdup by GoI, has been released by GoUP.

Total interest accumulated in bank account to date	ULB	44.12 lacs
	UPJN	23.47 lacs
	Total	67.59 lacs

7	Monitoring Funds Utilization for the Project				All amount are Rs. in lacs	
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter January, 2014 to March, 2014	Expected time to request for next Installment	
	Upto end of last reporting Quarter upto September, 2013	During the last quarter being reported Oct. 2013 to Dec. 2013	Cumulative Expenditure as on 31.12.2013			
1	2	3	4	5	6	
1	9167.02	405.88	9572.90	2502.61		
Total	9167.02	405.88	9572.90	2502.61		
Utilization of funds as % of funds received from all sources for the project as on date					99.66%	

8	Project Implementation Monitoring									
List all tender packages proposed for the project		Cost (in Rs. lacs)			Project Start on		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarde d	On completi on	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1	Construction of i. RCC Drains ii. Brick Masonary Drains iii. Repair of existing Drains iv. Storm Water Pumping Staions 3 no and Rising main	8148.79	9387.40	-	20-02-09	23-08-09	Work under progress	89%	June 2011	Jan-2014
	Total	8148.79	9387.40	-						

Scheduled completion date of Project as per DPR approved by CSMC – June 2011			
Actual duration (in months) for project completion : - 30 Months			
Estimated time for completion of project as on date : January-2014			
In case Yes, then what are the reasons for the delay, please select from the list below :			
11. Issues in Project Monitoring and Inspections			
Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
9	i. Delay related to fund release into Project Account	Yes	Money was transferred to implementing Agency in Sept 09.
	ii. Issues related to cost escalation	No	
	iii. Delay in tendering process	Yes	i. Tenders Called two times ii. Tender Process delayed due to the General Elections 2009
	iv. Technical sanction process at state level	No	
	v. Field level conditions leading to redesign	Yes	Being carried out as per field conditions
	vi. Constraints in supply of equipment/material/technology	No	
	vii. Technical capacity of ULBs.	No	
	viii. Project Management related issues.	No	
	ix. Any other issues/constraints in project implementation.	Yes	Shifting of electric, Telephone poles, Transformers ,Electrical & Telephone lines and cables etc. Encroachments, Trees are the Constraints falling in the alignments of drains, are urgently required for timely completion of the project. Concerned deptts. Are being pursued for necessary action.

Please specify month and year of completion as envisaged in the DPR taking into account the release of first installment of funds from GoI as start date for the project.

10.	Status of Various Initiatives:			
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained		
			-	-
			-	-
			-	-
2.	Workshop			
		National Level	-	-
		State Level		
		Regional Level		
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ Gol Officers	Shri K.K. Agrawal, Team Leader
	Date of inspection	02.09.2010, 03.09.2010 & 21.08.2011, 02.01.2012, 07.02.2012 & 08.02.2012
	Issues reported during inspections	Instructions for timely completion of projects with quality were given to the Nodal & Implementing Agency so that full benefit of the project is availed by the users. Safety measures to be taken at work place.
	Course corrections done	Yes
	Suggestions, if any, for project monitoring and MIS	-
2.	NCPE Infrastructure India Pvt. Ltd., (IRMA)	Shri J. Ram Mohan, Team Leader
	Date if inspection	19.07.2013
	Issues reported during inspection	<ul style="list-style-type: none"> (i) The project has been sanctioned on 16.12.2008 for an amount of Rs.8720.00 Lacs. Reckoned from this date and considering the project duration to be 24 months as per the milestone chart in the DPR the project is still under implementation. (ii) The bar chart furnished by the implementing agency states that the anticipated time of completion of the project is 31.03.2014 to be followed strictly to achieve the target. The bar chart should be periodically reviewed and updated in order to obtain the current status of project execution. Timely remedial measures should be taken in case of delay in any of the activities falling on the critical path of the schedule. (iii) The hurdles in the project such as Railway permission, shifting of electric poles / transformers encountered in the alignment of proposed drains shall be cleared with the concerned departments duly corresponding with higher officials at regular intervals. (iv) At Bangali ghat area in Krishnapur chowk, honeycombs observed in the RCC Wall constructed for Storm water drain may be rectified. It is also suggested to rectify such honeycombs wherever observed in the RCC structure of form water drain. (v) During the visit at pumping station at Bus stand, it is observed that the MS pipe installed for pumping is getting rusted and suggested to apply good quality paint to the MS structure in the pumping station. Also for the MS passage bridge at Pumping station the same action may be taken as it is getting rusted.

	Course corrections done	<ul style="list-style-type: none"> (i) Newly completion date of this project is extended upto 31.03.2014 by GoI. As fund was not fully released till now. Another reason is encroachment over and along nala alignment. (ii) Every effort will be made to complete the project within schedule time upto 31.03.2014. Bar Chart will be reviewed periodically as suggested. Timely remedial will be taken to avoid delay as suggested. (iii) Railway permission has been obtained. Electric poles and transformers are shifted during the construction of drains for which permission is obtained before construction. (iv) Whereas required repairing in Honey Comb work has been done. (v) Whereas required repairing in Honey Comb work has been done.
	Suggestions, if any, for project monitoring and MIS.	<ul style="list-style-type: none"> 1- It is also observed that the drains at the most of the places are filled up with the silt, hence suggested to clear up the silt by the concerned authorities at the earliest. Implementing agency may write to the concerned authorities for the de-silting process. 2- It is suggested to construct the RCC wall as per the alignment fixed during the marking and maintain a good workmanship quality during the execution. 3- The implementing agency is requested to increase the speed of work once the funds are released and emphasize on quality of works in addition to progress of work and submit an action taken report/compliance report to the action points raised in this section.

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

1	Project title :	MATHURA SEWERAGE SCHEME, TRANS YAMUNA ZONE –II, MATHURA.	3	Project Bank A/c No: & Name & Address of Bank	A/C No. 1938000103136787 PNB, Chowki Bagh Bahadur, Mathura
2	Project code :				
3	Implementing Agency	D&S UNIT, U.P. JAL NIGAM, MATHURA.	4	Project Cost (in Rs. Lakh) - as sanctioned	Rs. 6035.77 Lacs

All amounts are in Rs. Lacs

5.		Budget Allocation by ULB / parastatal agency : FY 2013-14					
		Allocation in ULB / parastatal agency budget for this project in current financial year					Rs. 422.00 Lacs
6		Capital Contributions to the project and Inflows					
S.No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release up to end of last reporting quarter September 2013	Actual amounts released into Project Account		Commitment pending release from sources or balance project period
					During the last quarter being reported Oct 2013-Dec-2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1	GoI	4500.00	80	1800.00+450.00*=2250.00	0.00	2250.00	2250.00
2	State	973.27	10	476.37	0.00	476.37	496.90
3	ULB	562.50	10	593.30	0.00	593.30	-30.80*
4	Others (Specify	-	-	-	-	-	-
	Total	6035.77	100	3319.67	0.00	3319.67	2716.10

Note: * 10 % of ACA (Rs.450.00 Lakhs) which was holdup by Gol has been released by GoUP.

Note for filling table

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

From the start of the project.

(4) * This excess amount will be returned to the ULB after receiving the balance fund GoI/GoUP Share.

	Total interest accumulated in bank account to date	ULB	8.30 Lakhs
		UPJN	61.27 Lakhs
		Total	69.57 lakhs

7 Monitoring Funds Utilization for the Project					
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next quarter January-2014-March-2014	Expected time to request for next Installment
	Upto end of last reporting Quarter upto September 2013	During the last quarter being reported Oct-2013 to Dec-2013	Cumulative Expenditure as on 31.12.2013		
1	2	3	4	5	6
1	Yogendra 65.36	-	65.36	-	January, 2014
2	Everest 195.85	-	195.85	-	
3	Kanti Prasad Mittal 1299.12	13.15	1312.27	477.00	
4	Naresh 158.70	-	158.70	-	
5	AnandiLal 915.40	-	915.40	630.00	
6	BrijMohan 632.32	-	632.32	-	
7	Vinod Kumar Upadhyay 21.02	-	21.02	18.00	
	Total 3287.77	13.15	3300.92	1125.00	
	Contingency Expenditure 17.47	1.21	18.68	-	
	Net Total 3305.24	14.36	3319.60	1125.00	
Utilization of funds as % of funds received from all sources for the project as on date					99.99%

All amount are in Rs. Lacs

8 Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. lacs)			Project Start on		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1 A	Sewer Line – 1A	668.86	1028.49 (Partly)	-	08.03.2010	08.03.2010	Work Complete	100%	31.03.2011	30.09.2011
1 B	Sewer Line – 1B	2161.52	2095.09	-	27.08.2010	24.09.2010	Under Progress	75%	31.03.2012	31.01.2014
2	Sewer Line, S.P.S. 1No. Rising Main & S.T.P. (16 mld) 1 No.	3001.28	2637.79	-	27.08.2010	24.09.2010	Under Progress	75%	31.03.2012	31.01.2014
3	Contingency & other charges	204.11	-	-	-	-	-	-	-	-
Total		6035.77	5761.37							

Scheduled completion date of Project as per DPR approved by CSMC – March ,2012			
Actual duration (in months) for project completion : 21 Months			
Estimated time for completion of project as on date : : January 2014			
Is there a difference between scheduled date of completion and estimated date of completion : No			
In case Yes, then what are the reasons for the delay, please select from the list below :			
11. Issues in Project Monitoring and Inspections			
Sl. No.	List of Issues	Yes/ No	Brief remarks on the reason for delay
9	i. Delay related to fund release into Project Account	Yes	Delay in release of funds by ULB for approx. 3 months.
	ii. Issues related to cost escalation		
	iii. Delay in tendering process	Yes	3 times cancellation of tenders in single package & one time in 2 packages.
	iv. Technical sanction process at state level		
	v. Field level conditions leading to redesign		
	vi. Constraints in supply of equipment/material/technology		
	vii. Technical capacity of ULBs.		
	viii. Project Management related issues.		
	ix. Any other issues/constraints in project implementation.		Shifting of electric, Telephone poles, Transformers, Electrical & Telephone lines and cables etc. Encroachments, Trees are the Constraints falling in the alignments of drains, are urgently required for timely completion of the project. Concerned deptts. Are being pursued for necessary action.

Please specify month and year of completion as envisaged in the DPR taking into account the release of first installment of funds from GoI as start date for the project.

10. Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained		
			-	-
			-	-
			-	-
2.	Workshop			
		National Level	-	-
		State Level		
		Regional Level		
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and inspections		
Sl. No.	Particulars	Remarks
1.	Inspections carried out by SLNA/ Gol Officers	Shri K.K. Agrawal, Team Leader
	Date of inspection	02.09.2010, 03.09.2010, 21.08.2011, 02.01.2012, 07.02.2012 & 08.02.2012
	Issues reported during inspections	Instruction for timely completion of project with quality were given to the implementing agency & safety measures to be taken at work place.
	Course corrections done	Yes
	Suggestions, if any, for project monitoring and MIS	-
2.	NCPE Infrastructure India Pvt. Ltd., (IRMA)	Shri J. Ram Mohan, Team Leader
	Date if inspection	19.07.2013
	Issues reported during inspection	<p>(i) The project has been sanctioned on 06.11.2009 for an amount of Rs.6035.77 Lacs. Reckoned from this date and considering the project duration to be 30 months as per the milestone chart in the DPR the project is still under implementation.</p> <p>(ii) The bar chart furnished by the implementing agency to be followed strictly to achieve the target. The bar chart should be periodically reviewed and updated in order to obtain the current status of project execution. Timely remedial measures should be taken in case of delay in any of the activities falling on the critical path of the schedule.</p> <p>(iii) At 16 MLD STP 82% of work is complete including installation of E&M equipment. It is observed at the site, the MS pipe material used for railing for all the structures and the gas holder unit which are exposed to the weather are getting rusted and suggested to apply proper quality red oxide or primer to the exposed MS structures immediately wherever used as per the contract agreement at the earliest.</p> <p>(iv) At 16 MLD STP site, the workmanship of lining of open channel for effluent is not satisfactory and suggested to rectify with immediate effect.</p> <p>(v) In the pump room at STP site, the position of girder for movement of pump sets is to be cross checked and change according to the plan so as to move the pump sets in both the directions (Longitudinal & Lateral movements).</p> <p>(vi) The shutter provided for the Generator room which is of closed type is not suitable as it requires proper ventilation during the running period which may be changed or altered according to the contract agreement.</p> <p>(vii) The whitewash applied to the pump room and generator room appears to be of low quality and it is already peeling off from the wall, hence suggested to apply good quality of whitewash before commissioning the project.</p> <p>(viii) The implementing agency is requested to increase the speed of work once the funds are released and emphasize on quality of works in addition to progress of work and submit an action taken report/compliance report to the action points raised in this section.</p>

	Course corrections done	<p>(i) Till now only 54% cost of sanctioned amount of the project is released by GoI/GoUP/ULB in their proportion 80:10:10. Due to which now GoI has extended the date of completion up to 31.12.2013.</p> <p>(ii) All activity bar chart is depend upon the availability of fund released within project completion period. The release of fund also affected due to non functioning of IRMA team since 2011. Now IRMA has visited the site and hope the fund will be released soonly. The bar chart will be reviewed periodically and will be updated accordingly.</p> <p>(iii) Contractor is directed to do red oxide work Immediately on all exposed surface.</p> <p>(iv) Instruction has been issued the contractor for repairing of lining work, where as necessary due to workmanship as suggested on site.</p> <p>(v) Contractor is instructed to make arrangement for movement of pump sets in longitudinal & lateral movements.</p> <p>(vi) The is issued direction to make arrangement by putting grills on top and bottom of shut for general room shutter for ventilation.</p> <p>(vii) Due to non availability of fund since long time work was stopped. It is noted an extra coat of white was will be applied before commissioning of the project.</p> <p>(viii) The speed of work will be made as funds are released. The every effort will be made to do work with quality with rectification point raised.</p>
	Suggestions, if any, for project monitoring and MIS.	<p>1- It is suggested to perform test on already laid sewer lines and also conduct the testing of manholes for water tightness.</p> <p>2- It is suggested to prepare a completion plan duly marking the invert levels of the manhole in the drawing and showing them in different colours for different diameters of pipe.</p>

Signature & Date
Authorized Signatory
Project Implementing Agency

Signature & Date
Authorized Signatory
Urban Local Body

²⁴ In case of projects spanning across multiple ULBs in cities with more than one ULB the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project.

Quarterly Progress Report (Meerut)

Uttar Pradesh

JNNURM

Time Period: October 2013 to December 2013

State level report	
<i>Uttar Pradesh</i>	
City level report	
<i>Meerut</i>	
.....	
Project Level report	
<i>Project code</i>	<i>Name of Project</i>
MER-002	1- Water Supply For Meerut
	2- Meerut Sewerage Scheme, Part-I, Phase-I
MER-001	3- Municipal Solid Waste Management

PART II

PROGRESS AT CITY LEVEL

(to be filled in separately for each JNNURM city in the State)

Name of City: Meerut

1.	Mandatory Reforms at City Level¹		
	Commitment as per the MoA for the current financial year (Report as per milestone committed in the MoA)	Progress made during the Quarter (October 2013 to December 2013)	Cumulative progress as on 31.12.2013
a)	Implementation of Accounting Reforms		
	Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM.		Municipal financial accounting manual is complete and has already been adopted.
	GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		Go No4094/9-5-2008-119/2007 dated 2 June 2008 issued indicating a cutoff date for full migration of municipal accounting system from 1.04.2009.
	Training of personnel	Education Program for all employees regarding DEAS. Recently DEAS training held in the month of April and June 2011	Training being done at regular interval. Training was conducted by RCUES Lucknow. Last training held on 20.12.2010 Recently DEAS training held in the month of April and June 2011. Latest Training held on 9.4.2013 at Lucknow.
	Appointment of field-level consultant for implementation at the city-level	M/s Sandeep & Gupta Associates has been appointed.	1. M/s Amresh Vasisth & comp has been appointed as CA for 2008-09. CA Sandeep K Gupta has been appointed for 2010-11 and for year 2012-13, 2013-14.
	Notification of cut-off date for migrating to the double-entry accounting system	DEAS has been implemented in Nagar Nigam. Valuation of Assets and Liabilities is almost 100 % completed.	Notified and total migration from 1-4-2009 as per government order but both the systems (i.e. single & double entry systems) are being maintained simultaneously as directed by the State Govt. OBS -2006 and B/S 2010-11 is finalized. B/S B/S 2011-12 is finalized. BRS 2011-12 has been finalized. B/S & BRS 2012-13 is in progress.
	State year from which ULB will commence preparation of outcome budgets	Out Come budget 2013-14 is under progress.	Out Come budget 2013-14 is under progress.
	State year in which ULB will undertake Credit rating	Credit rating done by CARE India limited, Mumbai in 2008-2009. Credit Rating is BB.	Achieved.
b)	Property Tax reforms		
	Elimination of exemptions	Done	Related to State Govt. As of now 157 Buildings are exempted like Mandir, Masjid, Gurudwara, Church, Kabristan, Shamshan Ghat, Graveyard etc.
	Migration to Self-Assessment System of Property Taxation	Done	Self assessment for the residential buildings is already implemented and for non residential it is in the formulation stage at the state Government level.

¹ In case of city of multiple ULBs, table 1 above should be reported for all ULBs within that urban agglomeration.

Establish Taxpayer education programmed	Setting up a website for property tax issues/ FAQs etc	<ul style="list-style-type: none"> • Ward wise/ Zonal wise camps organized. Print and electronic media is being used for the education. • Property tax calculator is added on website for better services to citizens. • House Tax payment through Cheque is started by Kiosk at Central zone office only. • GIS is on progress and soon will be implemented • Self assessment booklet is also available for the citizens issued by Nagar Nigam. • ATM Swipe Machine is introduced for house tax payment. 	<p>Achieved. Tax payers can calculate tax and see calculation through our website http://meerutnagarnigam.in/. Camps are used to organize to taxpayer education.</p>
Achievement of 85% Coverage Ratio (see item e in Current Status)	<p>Nagar Nigam has implementing GIS based PT system and Coverage is achieved through GIS survey. Data base for 78 wards has been prepared.</p> <p>In FY 2013-14 1-Total no of Properties = 300000 2-Properties covered under PT net=280389 PT Coverage is 93.46%</p>	<p>GIS survey is in progress.</p> <p>In FY 2009-10 Property tax Coverage : 82.16 % In FY 2010-11 Property tax Coverage : 90.38 % In FY 2011-12 Property tax Coverage : 91.46 % In FY 2012-13 Property tax Coverage : 92.98 % In FY 2013-14 Property tax Coverage is 93.46%</p>	
Achievement of 90% Collection Ratio for current demand (see item f in Current Status above)	<p>Achieved.</p> <p>In FY 2013-14 till September 1- Disputed Demand----Rs. 538.34 lakhs 2-Current Demand----Rs. 1617 Lakhs 3-Arrear Demand----Rs.535.34 Lakhs 4-Total Demand-----Rs 2690.68 Lakhs 5- Arrear Collection-----Rs. 210.00 lakhs 6-Total Collection----Rs. 1566.83 lakhs 7- Collection Efficiency: 58.23%</p>	<p>Achieved.</p> <p>In FY 2012-13 1- Disputed Demand----Rs. 554.85 lakhs 2-Current Demand----Rs. 1766.35 Lakhs 3-Arrear Demand----Rs. 652.38 lakhs 4-Total Demand-----Rs 2418.73 lakhs 5- Arrear Collection-----Rs. 382.71 lakhs 6-Total Collection----Rs. 2311.52 lakhs 7- Collection Efficiency: 95.56 %</p>	
Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10 % of Current demand for previous year (exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)	<p>In FY 2013-14 till September 1-Arrear Demand-----Rs. 535.34 Lakhs 2-Arrear Collection----Rs 210 Lakhs 3-Collection Efficiency----Rs 39.22%</p>	<p>In FY 2012-13 1-Arrear Demand-----Rs. 652.38 lakhs 2-Arrear Collection----Rs. 382.71 lakhs 3-Collection Efficiency----Rs. 61.19 %</p>	

c)	Reforms in levy of user charges		
1-The State should set up a body for recommending a user charge structure.	Water charges, Sewerage charges, SWM charges, Parking, Open grounds user charge being collected.	Nagar Nigam Board has formulated byelaws for user charges for different O&M services. Water charges, Sewerage charges, SWM charges, Parking, Open grounds user charge are in structure boundary.	
2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. Please specify the timeline for each service separately (i) Water Supply and Sewerage (ii) SWM	Proper Tally Accounting System is there to determine O&M separately. Due to increase in realization in O&M cost is achieved.	Achieved. Proper accounting system is based upon budget course. Income and Expenditure on WS, Sewerage and SWM is given below.	
3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UfW) through measures that include water audits and leakage detection studies. Please indicate annual targets for both. i. Non-Revenue Water (NRW)----- 15% ii. Un-accounted for Water (UfW)----- 10 %	Present NRW 37 % and UFW is 4.7%	Achieved. Figures will be reduced after the implementation of JNNURM projects.	
4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) (Please indicate proposed recovery level for each year for each of the services in %) Water Supply ----- 68% Targeted for 2010-11. Sewerage ----- 68% Targeted for 2010-11. SWM -----50 % by 2011-12	Water Supply & Sewerage In FY 2013-14 1-O&M Expenditure---Rs.450.00 Lakh 2-O&M Income---314.39 Lakhs 3-O&M Recovery—69.86 % Water Tax is Approved by GoUP in Nagar Nigam Meerut and since 1.04.2012. Sewerage- Only 35 % coverage and 2% tax being collected as part of Property tax. SWM In FY 2012-13 (till July 2012) 1-O&M Expenditure---Rs.1208.49 Lakhs 2-O&M Income---Rs.69.94 Lakhs 3-O&M Recovery—05.78 %	Detailed assessment list has been provided to Jal Sansthan to increases coverage area. Water supply and sewerage projects are in progress. After completion of the project the O&M cost will be recovered Due to increase in realization in O&M cost is achieved. SWM In FY 2012-13 1-O&M Expenditure---Rs.45.93 Lakhs 2-O&M Income---Rs.45.53 Lakhs 3-O&M Recovery—98.00% Full Recovery after the completion of SWM Project	

d)	Implementation of E-Governance in municipalities		
	1-Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP (National Mission Mode Project) of Government of India.	ULB Level e Governance DPR has been sanctioned by MoUD, GoI on 08.11.2011 amounting Rs. 1.45 Cr. MoA is pending at MoUD GoI Level.	MEDD has been already prepared by Ms/ UPECL. Revised MEDD has been prepared by IIT Kanpur and same has already been sanctioned by MoUD, GoI
	2-Assessment of MEDD against National E-Governance Standards (E.g. Scalability, intra-operability & security standards etc.)	Provision in E-Governance Project	Same as National Mission Mode Projects (NMMP). Full assessment of MEDD against standards will be done by proposed by SIC under e-Governance Project.
	3-Finalization of Municipal E-Governance implementation action plan for the city	Provision in E-Governance Project	Action plan for all modules of e-Governance has been finalized and action plan is being implemented by Nagar Nigam. Action Plan has been incorporated in E-Governance DPR which has already been sanctioned by GoI. For Finalized Action Plan revision in E- Governance DPR is on progress as per the new guidelines.
	4-Undertaking Business Process Reengineering (BPR) Prior to migration to e-governance systems	Provision in E-Governance Project	E-governance modules are being used for every section in Nagar Nigam and every section is linked with each other which meet out the purpose of BPR. Full Realization of BPR will be done in implementation Phase of E-Governance Project.
	5-Appointment of Software consultant(s) / agency for development, deployment And training	Provision in E-Governance Project	M/s UPECL & IIT Kanpur have been appointed as Software consultant(s) / agency for development, deployment And training
	6-Exploring PPP option for different E-Governance services	Provision in E-Governance Project	Achieved. PPP option has been adopted for few e-Governance modules. Cyber Citizens Points have been authorized by Nagar Nigam to provide civic services. Full Realization of PPP will be done in implementation Phase of E-Governance Project.

7-Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	<ul style="list-style-type: none"> ➤ Property tax Services are being provided online through Nagar Nigam website www.meerutnagarnigam.in ➤ Online payment through payment gateway. ➤ Self Assessment Form (Residential / Non residential), Annual value calculator, and Monthly rates for ARV are also available at Nagar Nigam website. ➤ Computerized/online Bills are being generated on the basis of GIS based Property tax system ➤ Kiosk facility for the payment (Cheque/ Draft) and relevant information. ➤ ATM Swipe Machine is introduced for house tax payment. 	<p>Achieved.</p> <p>Property Tax Services are being provided online. Bills are available on website www.meerutnagarnigam.in</p> <p>Dues can be deposited online using ones Debit or Credit Card. Nagar Nigam main office is connected with Kankarkheda and Shashtrinagar zonal offices through lease lines. At all three offices online cash deposit counters are functional, as soon as one deposit his dues it directly gets credit in tax account. Introduction of swipe machine at cash counter is the latest development. Cashless tax deposit is now possible through spot billing machines procured by MNN at zero cost through IDBI Bank.</p>
Water Supply & Other Utilities	<ul style="list-style-type: none"> ➤ Water Supply & Other utilities Services are being provided offline / online through Nagar Nigam website www.meerutnagarnigam.in ➤ Computerized/online Bills are being generated on the basis of GIS based system ➤ Online payment through payment gateway. ➤ Kiosk facility for the payment (Cheque/ Draft) and other relevant information, 	<p>Water Supply & Other utilities Services are being provided offline / online through Nagar Nigam website www.meerutnagarnigam.in. Computerized/online Bills are being generated on the basis of GIS based system.</p> <p>Data Migration from Old System to New System is under Process. Entry Work is under process.</p>

Accounting	<ul style="list-style-type: none"> ➤ The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual. ➤ The Opening Balance Sheet as of 1st April 2009 has been prepared, audited by independent CA Firm and adopted by Nagar Nigam Board on 21.10.2011 ➤ Balance Sheet 2009-10 is finalized and audited ➤ Revised Budget for 2011-12 has been prepared and adopted by Nagar Nigam Board on 21.10.2011 ➤ Data of 2010-11 has been given to CA for audit. ➤ Data of 2012-13 being updated on regular basis. 	<p>The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual. The Opening Balance Sheet as of 1st April 2009 has been prepared and under the process of adoption by Nagar Nigam Board.</p> <p>The balance sheet of FY 2009-10 and FY 2010-11, 2011-12 is finalized. Improved financial management systems are being integrated with various financial modules.</p>
Birth & Death Registration	<ul style="list-style-type: none"> ➤ Offline computerized facility is being provided to citizens. ➤ Online receiving of Application and issuing of certificates is also started. ➤ Application status is also available at Nagar Nigam Website. ➤ Data of issued certificates has been digitized since 2004. 	<p>Achieved.</p> <p>Offline computerized facility is being provided to citizens. Online receiving of Application and issuing of certificates is also started. Data of issued certificates has been digitized since 2004.</p>
Citizen's Grievance Monitoring	<ul style="list-style-type: none"> ➤ Web based application software is 	<p>Web based module is implemented and in use for this service.</p>

		<p>being used.</p> <ul style="list-style-type: none"> ➤ IVRS system is installed to register the complaints. ➤ Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. ➤ When complaint resolve, a SMS is sent to complainer for the resolving status. ➤ Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented in May 2010 with Toll free no 18001803090. 	<p>Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented in May 2010 with Toll free no 18001803090. Data has been computerized and online facility is done.</p>
	<p>Personnel Management System</p>	<ul style="list-style-type: none"> ➤ Web based application Software is being used. ➤ PMS is prepared and uploaded to Nagar Nigam website. ➤ Centralized PIS is also available at Directorate website. ➤ Employee Payroll Management System is also implemented. 	<p>Web based application Software is being used. PMS is prepared and uploaded to Nagar Nigam website. Centralized PIS is also available at Directorate website. Employee Payroll Management System is also implemented. Data migration to New Software System is in progress.</p>

Procurement and Monitoring of projects		
<ul style="list-style-type: none"> • E-Procurement 	<ul style="list-style-type: none"> ➤ Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Nigam website. ➤ Evaluations of bids are being done through district level software solution. ➤ For fully e-Procurement system, proposals are being invited from the firms. 	<p>Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Nigam website. Evaluations of bids are being done through district level software solution. For fully e-Procurement system, proposals are being invited from the firms.</p>
<ul style="list-style-type: none"> • Project / Ward Work 	<ul style="list-style-type: none"> ➤ At Nagar Nigam Level, For Project Management, One Project Management System Software has been prepared, which is under execution. ➤ Monitoring of JnNURM projects is being done by modified PMIS System. 	<p>At Nagar Nigam Level, For Project Management, One Project Management System Software has been prepared, which is under execution.</p>

<p>Building Plan Approval</p>	<ul style="list-style-type: none"> ➤ Meerut Development Authority provides this facility offline / online facility through its website http://www.mdameerut.org.in/default.aspx . ➤ MDA provided other citizen services like Enquiry details, application tracking, Enforcement Complaint etc. ➤ IVRS facility is being provided through web based application software. ➤ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. ➤ A state level software has been prepared named “Avasbandu’ for Building Permissions ➤ Digitization of building data is being updated regularly. ➤ Web based software has been procured by M/s UPECL Ltd. for the digitations of buildings data. 	<p>Meerut Development Authority provides this facility offline / online facility through its website http://www.mdameerut.org.in/default.aspx . Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. A state level software has been prepared named “Avasbandu’ for Building Permissions. Web based software has been procured by M/s UPECL Ltd. for the digitations of buildings data.</p>
<p>Health Programs</p>		
<ul style="list-style-type: none"> • Licenses 	<ul style="list-style-type: none"> ➤ Computerized Licenses are being issued Computerized Licenses are being issued through application software. ➤ Web based application software has been procured by M/s UPECL Ltd., which is under execution 	<p>Computerized Licenses are being issued Computerized Licenses are being issued through application software. Web based application software has been procured by M/s UPECL Ltd., which is under execution</p>

	<ul style="list-style-type: none"> • Solid Waste Management 	<ul style="list-style-type: none"> ➤ Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally. ➤ The other financial aspects of SWM like user charges etc covered by this application. 	<p>Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored centrally.</p>
e)	<p>Earmarking of funds for basic services to the poor</p> <p>BUDEGETING AND ACCOUNTING PROCESSES</p> <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for ‘Services to the Poor’ ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for ‘Services to Poor’. <p>ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR POOR</p> <ul style="list-style-type: none"> ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----16% ➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income-----16% ➤ Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure -----20% 	<p>Separate outcome budget has been prepared for 2010-11 for BSUP</p> <p>Total 25% Municipal Development budget of the year 2012-13 is allocated for BSUP</p>	<ul style="list-style-type: none"> • 25% Separate Budget has been prepared for 2010-11 for BSUP for developmental works. • Total 25% Municipal Development budget of the year 2011-12 proposed/ Allotted for BSUP.

f)	Basic Services to Urban Poor	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows: 1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services 2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land 3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows: 1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services 2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land 3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>
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Optional Reform

SI No	Commitment as per the MoA	Progress made during the Quarter (October 2013 to December 2013)	Cumulative progress as on 31. 12.2013
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days. Time reduction to 7 & 10 days respectively is to be achieved by year 2011-12	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated- 04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of
B	Maximum Reduction of Average time for Building Sanction		

			Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.
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Revision of Building Byelaws to make RWH Compulsory

A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	<p>The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with</p>
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			Schools/Teacher/ House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the Developer will not include the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.
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Earmarking of Land for EWS/LIG Housing and a system of cross subsidy

A	Decision on the extent of reservation (20-25%)	Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.	<p>Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.</p>
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		

BYELAWS ON REUSE OF RECYCLED WATER.

A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to</p>	Nagar Nigam is following the byelaws framed by Govt. in National Building Code	. Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Contral Board & Jal Nigam. Amendment in Building Bye Laws have been made and
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	<p>introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>		<p>"Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.</p>
Structural Reform			
A	New Initiatives planned within organisation	1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.	<p>All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage. Demand and collection of Taxes are being maintained and monitored at Zonal office. Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Nigam level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.</p>
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies	2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments	

Administrative Reform			
A	<p>1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure</p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
Encouraging PPP			
A	<p>List down the city level project initiatives planned through PPP in the next three years</p>	<p>1)SWM project of NNM is in progress on PPP Model. (2)Construction of Modern Slaughter House. (3)Replacement of low efficiency water pumps to energy efficiency pumps. (4)E-Governance project of NNM services. EESL/BEE has been invited to carry out energy efficiency projects of Water Pumps and Street Lights on PPP model.</p>	<p>GO-No- 1- 1783/-9-1-01-66सा/01, दिनांक 22-6-2002-4584 / नौ-1-04-2(9) / 2002 दिनांक 13-1-2004 3-4323 / 9-1-2005-66सा / 2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा / 2001टीसी दिनांक 21-3-2006 Done Act amended. Order issued GO-No- 1855/9-5-08-54EO/2007 dated 6.8.2008. Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009.</p>

2. MoA

In case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering into tripartite MoA month / year
MoA has already been signed on 8 Jan 2007.

3. List initiatives taken if any for creation of a Revolving Fund at the city level (please mention in brief bullet points)

- Request letter and proposal have sent to the State Govt.
- ...

4. Any innovations / good practices in urban governance, project development and service delivery implemented in the city during the reporting period (please mention in brief bullet points)

- Door to door collection of solid waste started in 9 wards.
- Maintenance and beautification of PARKS on PPP basis. (With Public Participation)
- Installment ion of traffic sign at various locations in the city.
- Construction of public at different location of the city.
- Control Room established for Citizen's Grievance Monitoring for offline service.
- Citizen Charter has been Prepared.
- Property tax - Kiosk is ready for implementation at central zone.
- Property tax calculator is added on website for better services to citizens.
- SWM User charges collection has been started from 1st of December 2010.
- House Tax – Swipe Machine is introduced in Nagar Nigam Meerut.
- Under SWM Project – Plant land has been acquired and construction of plant is on progress.
- Water Tax has been imposed in Nagar Nigam Meerut since 1.04.2012.
- All three zones are connected by leased line and made computerized.
- Kamala Has been destroyed and a Girls School is being opened and the inauguration has been done on 5 January 2014.
- Birth and Death State level software is implemented since 10.10.2013.

**Municipal Commissioner
Meerut Municipal Corporation**

PART - III
PROGRESS AT CITY LEVEL

(to be filled in separately for each JNNURM city in the state)

Drinking Water Supply Project

Quarter Oct. 2013 to Dec. 2013

Name of City - Meerut

Project 1 : Meerut Water Supply

1	Project titles	Meerut Water Supply	3	Project Bank A/c No. & Name & Address of bank	A/C No-2512001100000204
2	Project code		4	Project Cost (in Rs. Lakhs) - as sanctioned	Original Project Cost Rs. 27301.00 lacs Revised Project Cost Rs. 34130.22 Lacs
3	Implementing Agency :	U.P. Jal Nigam Meerut			

All Amount are in Rs. Lacs

5	Allocation in ULB / parastatal agency budget for this project in current financial year 2013-14	Rs. 9365.22 Lacs
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6 Capital Contributions to the project in Inflow ³								
S. No.	Sources	Commitment based on approved project cost	Commitment based on Revised Project cost	% of total Project cost	Amount release upto end of last reporting quarters (September 2013)	Actual amount released in current financial year FY 2012-13		Commitment pending release from source for Balance project periods (3b-7)
						During the last quarter being reported (Oct 13- Dec 13)	Cumulative related as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7 (5+6)	
1	GOI	13650.50	13650.50	50%	12285.34+1365.05*=13650.39	0.00	13650.39	0.11
2	State	5460.20	12289.42	20%+100%	12289.37	0.00	12289.37	0.05
3	ULB	8190.30	8190.30	30%	8190.30	0.00	8190.30	0.00
4	Other							
Total		27301.00	34130.22	100%	34130.06	0.00	34130.06	0.16

Note: * 10 % of ACA (Rs.1365.050 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest accumulated in bank account to date			
	(U.P Jal Nigam)	Rs.	683.49 Lakhs
	U.L.B.	Rs.	220.46 Lakhs
	Total	Rs.	903.95 Lakhs

Note: The additional cost as approved in revised Project cost is included in State share as 100%

7 Monitoring Funds utilization for the Project					
Tender package No.	Actual amount utilized in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter (Sept 2013)	During the last quarter being reported (Oct. to Dec. 2013)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4	5	6
1	26090.00	710.00	26800.00	7330.22	10% of held up ACA has to be release by GoI
2					
3					
Total	26090.00	710.00	26800.00	7330.22	
	Utilisation of funds as % of funds received from all sources for the project as on date				78.52%

5 Utilisation implies - draws from the project bank account for payments pertaining to the project

6 From the start of the project

All amounts are in Rs. Lakhs

8 Project Implementation Monitoring									
List all tender packages proposed for the project		Cost (in Rs. Lacs)			Project Start		Completion		Remark on status
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Scheduled date	Actual completion date	
1	2	3	4	5	6	7	8	9	10
1	P.H.-34 Nos., Boundary Wall-200 m, Rising Main - 8.03 Km.	181.52	169.63	169.63	5-6-2008	1-7-2008	30-6-2009	30-06-2009	
2	O.H.T.- 2000 K.L. 1 No., 1500 K.L. 2Nos., 1350 K.L. 1 No & 650 K.L. 1No PH-10 No.	616.25	587.18	599.29	5-6-2008	1-3-2009	28-2-2010	10-12-2010	Work completed
3	Laying of distribution system - 62.7 Km.	97.10	88.4	88.88	5-6-2008	1-8-2008	31-03-2010	30-10-2010	Work completed
4	Const. of T.W. - 20 Nos. (New)	170	170	170	15-4-2008	10-7-2008	31-3-.2009	31-03-.2009	-do-
5	Supply & erection P.P. - 20 Nos.	113.4	113.4	113.4	15-4-2008	15-9-2008	31-3-2009	31-03-2009	
6	Supply & erection P.P. - 25 Nos.	141.75	141.75	141.75	15-4-2008	15-9-2008	31-03-2009	31-03-2009	
7	Const. of T.W. - 20 Nos. (Rebore)	170	170	170.00	26-2-2009	15-3-2009	14-9-2010	14-9-2010	
8	Const. of T.W. - 14 Nos. (New / Rebore), supply and installation of 9 NO. P.P	172	119	119	26-2-2009	15-3-2009	14-9-2010	14-9-2010	

9	Power Connection	2030.36	368.34	-	1-4-2008	1-4-2008	31.03.2011		
10	Procurement of material	1430.93	1521.00	-	1-4-2008	1-4-2008	31.03.2011		
11	WTP - 1 No.	25975.78 + 1861.51 <u>27837.29</u>	25975.78 + 1861.51 <u>27837.29</u>	-	23-3-2009	18-11-2009	17-11-2011 31-03-2014		
1	Gravity Main (1500 to 500 mm dia PSC Pipe) 19.48 Km.								
2	Distribution system - (PVC / D.I. K-7 / HDPE) 661.9 Km.								
3	Rising Main - 24 Km.								
4	Pump Houses - 14 Nos.								
5	O.H.T. 200 Kl. 1 No., 350 Kl. 1 No., 450 Kl. 1 No., 500 Kl. 2 Nos., 600 Kl. 2 Nos., 650 Kl. 2 Nos., 750 Kl. 1 No., 800 Kl. 1 No., 950 Kl. 2 Nos., 1000 Kl. 1 No., 1050 Kl. 1 No., 1200 Kl. 2 Nos., 1350 Kl. 1 No., 1500 Kl. 3 Nos., 1650 Kl. 1 No., 1700 Kl. 1 No., 2000 Kl. 1 No., & 2500 Kl. 4 Nos.,								
6	CWR with pump Houses 550 Kl. 3 Nos., 600 Kl. 1 No., 2750 Kl. 1 No. & 6450 Kl. 1 No.								
7	Staff Quarter - 40 Nos.								

8	Restatement of roads							
9	Supply of Pipe Line Leakage detection machines- 2 Nos.							
10	Const. of T.W. - 20 Nos.							
11	Supply & erection Pumping Plant - 20 Nos.							
12	Supply & erection Pumping Plant for CWR - 48 Nos.							
13	Const. of electric sub station - 8 Nos							
12	Work Contingences							
	Total	31298.58	31298.58					
	Contingences	364.67	364.67					
	Deduction @ 5%	(-) 1583.16	(-) 1583.16					
	Total	30080.09	30080.09					
	Centage @12.50%	3760.01	3760.01					
	Addition Work	290.12	290.12					
	G. Total	34130.22	34130.22					

9	Scheduled completion date of project as per DPR ⁷ : month /year		March 2011
Actual duration (in months) for Project completion :			
Estimate time for completion of project as on date : month /year			31.01.2014
Is there a difference between schedule date of completion and estimated date of completion : Yes			
In case yes, then what are the reasons for the delay, please select from the list below			
Sl.No.	List of Issues	Yes /No	Brief remark on the reason for delay
i	Delay related to fund release in to Project Account	Yes	Only Rs. 32764.11 lacs released upto 31-03-13
ii	Issues related to cost escalation	Yes	Estimate revised from Rs. 27301.00 lacs to Rs. 341.30 lacs
iii	Delay in Tendering process	Yes	Package No. 11 awarded as turn key basis on dated 18-11-2009 and schedule completion date 17-11-11/30.09.13/31-12-2013/31.03.14
iv	Technical sanction process at state level	No	-
v	Field level conditions leading to redesign	Yes	Alignment of feeder main changed as per direction of DM (Meerut)
vi	Constraints in supply of equipment / material / technology	No	-
vii	Technical capacity of ULBs	No	-
viii	Project Management related issues	No	-
ix	Any other issues/ constraints in project implementation	No	-

⁷ Please specify month and year of completion in the DPR taking into account the release of fund from GOL as start date for the project.

10	Status of various Initiatives:			
Sl.No.	Programme	Item	Actual Status (in number)	
			During the last quarter	Cumulative since inception of the mission
1	2	3	4	5
1	Type of capacity Building Programmes			
		Number of Official Trained	-	6
		Number of Non Official Trained	24	24
2	Work shops			
		National Level	-	2
		State Level	-	-
		Regional Level	-	-
3	Other (Please specify key initiatives)	-	-	-

11		Issues in the Project Monitoring and Inspections		
Sl.No.	Particulars	Remarks		
1	2	3		
1	Inspections carried out by SLNA/Gol officers	Shri Rashied Ahamad Khan, PW/PHE, PMU SLNA Directorate of Local Body, Uttar Pradesh	Shri R.K. Goyel (IRMA)	Shri K.K. Agarwal, Team leader PMU SLNA Directorate of Local Bodies Uttar Pradesh
2	Date of Inspection	3/10/2009	21-11-09 06-02-10 22-03-10 08-04-10 22-06-10 04-11-10 17-01-11 31-05-11 30-08-11 03-09-11 22-08-13 Shri Jafari	10-02-10 18-02-11 16-06-12 17-06-12
3	Issues reported during Inspections			
4	Course corrections done			
5	Suggestions. If any, for project monitoring and MIS			

Signature & Date
 Authorised Signatory
 Project Implementing Agency

Signature & Date
 Authorised Signatory
 Urban Local Body⁸

⁸ In case of projects across multiple ULBs in cities with more than one ULB in the city should sign on behalf of all ULBs participating in the project

OCT. TO DECEMBER 2013

PART - III

MONITORING PROJECT IMPLEMENTATION

SEWERAGE PROJECT FOR ZONE 5 & 7 OF MEERUT CITY, UTTAR PRADESH

PART-I, PHASE-I

(ZONE - 5 & 7)

1	Project title:		Sewerage Project for Zone 5 & 7 of Meerut city, Part-I, Phase-I		3	Project Bank A/c No. & Name & Address of bank	A/C No- 2512000100272479 PNB CL Saket, Meerut
	Project code:						
2			UP Jal Nigam, Urban Works Unit-II, Meerut		4	Project Cost (in Rs. Lakhs) - as sanctioned	Original Project Cost-Rs. 18589.00 Lacs Revised Project Cost Rs.23102.30 lakhs

All Amount are in Rs. Lacs

5 Budget Allocation by ULB /parastatal agency in current FY 2013-14

6 **Capital Contributions to the project in Inflow³**

S. No.	Sources	Commitment based on approved project cost	Commitment based on Revised project cost	% of total project cost	Actual release upto end of last reporting quarters (Sept 2013)	Actual amount released into Project Account		Commitment pending release from source for balance project period
						During the last quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3(a)	3(b)	4	5	6	7=(5+6)	8=(3-7)
1	G.O.I.	9000.00	9000.00	48.%	3600.00+900.00*=4500.00	0.00	4500.00	4500.00
2	State*	4013.00	8526.30	22%+100%	6563.62	0.00	6563.62	1962.68
3	ULB	5576.00	5576.00	30%	2744.17	0.00	2744.17	2831.83
Total		18589.00	23102.30		13807.79	0.00	13807.79	9294.51

Note: The additional cost as approved in revised Project cost is included in State share as 100% .

Note: * 10 % of ACA (Rs.900.00 Lakhs) which was holdup by Gol, has been released by GoUP.

Total interest Accumulated in bank account as on date	Rs. 129.92 lakhs
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7	Monitoring Funds Utilization ¹⁰ for the project				
Tender package No.	Actual amount utilized in the project			Estimated expenditure for next quarter	Estimated time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported October 2013-December 2013	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1	11043.24	486.76	11530.00	11572.30	10 % of held up ACA has to be release by GoI. 3 rd UC is likely to be submitted in January 2014
2	-	-	-	-	
3	-	-	-	-	
Total	11043.24	486.76	11530.00	11572.30	
Utilization of funds as % of funds received from all sources for the project as on date					83.50 %

All amounts are in Rs.lakhs

⁵ Utilization implies -drawls from the project bank account for payments pertaining to the project

⁶ From the start of the project

Scheduled completion date of Project as per DPR' approved by CSMC: 31.03.2012

Actual duration (in months) for project completion:

30 Months

Estimated time for completion of project as on date :

March 2014

Is there a difference between schedule date of completion and estimated date of completion: yes

In case Yes, then what are reasons for the delay, please select from the below:

SI.No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account	-	-
ii.	Issue related to cost escalation	-	-
iii.	Delay in tendering process	-	-
iv.	Technical sanction process at state level	-	-
v.	Field level conditions leading to the redesign	Yes	Due to delay and change of location of STP land.
vi.	Constraints in supply of equipment/ material /technology	-	-
vii.	Technical capacity of ULBs	-	-
viii.	Project Management related issues.	-	-
ix.	Any other issues/constraints in project implementation	-	-

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds Got as start date for the project

10 Status of Various Initiatives :				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2	Workshops			
		National Level	-	-
		State Level	-	-
		Regional Level	-	-
3	Other(Please specify key initiatives)		-	-

11. Issue in Project Monitoring and Inspections			
Sl. No.	Particulars	Remarks	
1	Inspections carried out by SLNA/G.O.I. Officers	Shri R.K. Goyal (IRMA)	Shri K.K. Agarwal Team Leader PMU,SLNA Directorate of Local Bodies, Uttar Pradesh
2	Date of Inspection	08-04-2010 17-06-2010 02-11-2010 10-03-2011 31-05-2011 03.09.2011 28.01.2012	10-02-2010 16.06.2012 to 17.06.2012
3	Issues reported during Inspections	18.07.2013	-
4	Course corrections done	-	-
5	Suggestions if any, for project monitoring and MIS	-	-

Signature & Date

Authorized Signatory

Project Implementing Agency

Signature & Date

Authorized Signatory

Urban Local Body⁸

PART III

PROGRESS AT CITY LEVEL

(to be filled in separately for each Project)

Quarter Oct. 2013 to Dec. 2013

Name of City : Meerut

1	Project Title	Solid Waste Management, Meerut City	3	Project Bank A/c No. & Name & Address of bank	A/C No-2512001300001698, PNB C L Saket, Meerut
2	Project Code	MER- 001	4	Project Cost (Rs. in Lakhs) - as sanctioned	Rs. 2259.40 Lakhs
3	Implementing Agency :	U.P. Jal Nigam C. & D. S. Unit-27, Noida			

5 Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year. FY 2013-14	Rs 1162.37 in Lakhs

All Amount are in Rs. Lakhs

6 Capital Contributions to the project and inflows ³							
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last reporting quarters (Sept-2013)	Actual amount released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct 13-Dec 13)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7= (5+6)	8 = (3-7)
1	GOI	1129.70	50%	734.32+112.97*=847.29	0.00	847.29	282.41
2	State	451.88	20%	338.91	0.00	338.91	112.97
3	ULB	677.82	30%	508.37	0.00	508.37	169.45
4	Other (specify agency's name)			--	--	--	--
Total		2259.40	100%	1694.57	0.00	1694.57	564.83

Note: * 10 % of ACA (Rs.112.97 Lakhs) which was holdup by GoI, has been released by GoUP.

1 Quarter is defined to be aligned with the financial year time frames

2 Actual dates / amount for all sources should correspond to dates / amount of actual cash inflow in the project bank account

3 Amount committed pending release for current financial year and beyond should be linked to project implementation schedule

⁴ From Start of the project

Total interest accumulated in bank account to date	ULB LEVEL+ C&DS UP JN	Rs. 69.36 Lakhs
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7 Monitoring Funds Utilisation⁵ for the project					
Tender Package No.	Actual amount utilised in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter (Sept 2013)	During the last quarter being reported (October 2013-december 2013)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4 (2+3)	5	6
1	1118.66	0.00	1118.66	400.00	10 % of held up ACA has to be release by GoI 3 rd UC is likely to be submitted in January 2014
2					
3					
n.					
Total					
Utilisation of funds as % of funds received from all sources for the project as on date					66.01%

⁵ Utilisation implies - draws from the project bank account for payments pertaining to the project

⁶ From the start of the project

All Amount are in Rs. Lakhs

8	Project Implementation Monitoring									
List all tender packages proposed for the project		Cost (` in Lacs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release Date	Tender Awarded Date	(Work not started /Under Progress/ Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1	(i) Supply of T&P	963.62	541.70	537.69	20.06.08	25.06.08	Completed	100%	31.05.08	26.12.2009
	(ii) Procurement of T&P by M/s A2Z (waste) Management		385.00	359.43	14.09.09	05.04.10	-	93%	31.08.08	31.07.2012
2	Development of Integrated Solid Waste Management Facilities for Meerut Nagar Nigam on Public Private Partnership (PPP) Basis.	1295.78	1332.70	199.91	14.09.09	05.04.10	-	-	31.08.08	31.01.2014
Total		2259.40	2259.40	1097.03						

	Scheduled completion date of Project as per DPR⁷ approved by CSMC: <u>month /year</u>			May 2008
	Actual duration (in months) for project completion :			15 Months
	Estimated time for completion of project as on date : <u>month /year</u>			January 2014
	Is there a difference between schedule date of completion and estimated date of completion : <u>Yes /No.</u>			Yes
9	Sl. No.	List of Issue	Yes/ No.	
	i.	Delay related to fund release into Project Account	No	
	ii	Issues related to cost escalation	No	
	iii	Delay in tendering process	Yes	Work has been taken up on integrated basis with PPP mode.
	iv	Technical sanction process at state level	No	
	v	Field level conditions leading to redesign	Yes	
	vi	Constraints in supply of equipment/material/technology	No	
	vii	Technical capacity of ULBs	No	
	viii	Project Management related issues.	Yes	
ix	Any other / constraintts in project implementation.	Yes	Due to delay in land acquisition process	

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GOI as start date for the project.

Note : 1. Work Stopped by M/s A2Z Waste Management (Meerut) (P) Ltd. since 20.08.2012.
2. Arbitration Petition No. 6 of 2013 has been submitted in District Court, Meerut by M/s A2Z Waste Management (Meerut)

10	Status of Various Initiatives :			
Sl. No	Program	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1	Type of Capacity Building Programmes	Number of Official Trained		
2	Work shops	National Level		
		State Level		
		Regional Level		
3	Other (Please specify key initiative)			

11 Issues in Project Monitoring and Inspections		
Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GOI Officers.	Team Leader, PMU, Local Bodies with his team members.
2	Date of Inspections	16/06/2012
3	Issue reported during Inspections	Slow Progress
4	Course corrections done	Issued instruction to M/s A2Z Waste Management (Meerut) to speed up the work.
5	Suggestions, if any, for project monitoring and MIS	--

Signature & Date
Authorised Signatory
Project Implementing Agency

Signature & Date
Authorised Signatory
Urban Local Body⁸

⁸ In case of project spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project

Revised Performa for Quarterly Progress Report for Sub-mission for Urban
Infrastructure and Governance, JNNURM

For

Utter Pradesh



October to December 2013

	<u>Name of state</u> <u>Uttar Pradesh</u>
	City level report
	<u>Name of JNNURM City</u> <u>Varanasi</u>
	Project level report
<i>Project code</i>	<ol style="list-style-type: none">1. Varanasi Water Supply priority-1 Phase-12. Varanasi Water Supply priority-1 Phase-II3. Varanasi Water Supply priority-II (Trans Varuna Area)4. <i>Sewerage & Sewage Treatment (Trans Varuna Area)</i>5. <i>Storm Water Drainage of Varanasi City</i>6. <i>Varanasi Solid Waste Management</i>

Report Submitted by

Name of SLNA

Signature of CEO, SLNA

Designation, SLNA

PART II

PROGRESS AT CITY LEVEL

(to be filled in separately for each JNNURM city in the State)

Name of City -VARANASI

VARANASI MUNICIPAL CORPORATION

1. Mandatory Reforms at City Level			
Commitment as per the MoA		Progress made during the Quarter (Oct 13 – Dec 13)	Cumulative progress as on FY 2013-14 (31.12.2013)
a) Implementation of Accounting Reforms			
Completion and adoption of Municipal Financial Accounting Manual, in line with NMAM or otherwise		-	Municipal financial accounting manual is complete and has been adopted.
GO/Legislation/Modification of Municipal Finance Rules for migrating to double-entry accounting system		Done	Go No4094/9-5-2008-119/2007 dated 2 June 2008 issued indicating a cutoff date for full migration of municipal accounting system from 01.04.2009.
Training of personnel		Every month, education program regarding DEAS is organized for all employees. In September 2011 by HSMI, New Delhi at Lucknow. Proposal for Capacity building on DEAS submitted to GOI under CBUD project of MoUD.	Training are being conducted by CA team Regular Trainings are being organized by SLNA for the successful implementation of DEAS. In September 2011 workshop was organized by HSMI New Delhi on "Urban Governance, Management & Municipal Finance" at Lucknow.
Appointment of field-level consultant for implementation at the city-level		Appointed	M/s S.K.Agrawal and Firms, Varanasi has been appointed as FLC.
Notification of cut-off date for migrating to the double-entry accounting system		Switched over to DEAS on 01.04.2010.	Notified and Total Migration from 1-4-2010 as per government order but both the systems (i.e. single & double entry systems) are being maintained simultaneously as directed by the State Govt.
State year from which ULB will commence preparation of outcome budgets		Revised budget for 2012-13 is approved from board. Annual budget for 2013-14 is approved from board.	Revised budget for 2012-13 is approved from board. Annual budget for 2013-14 is approved from board.
State year in which ULB will undertake Credit rating		Credit rating B+	Status of Credit Rating As on Nov 2012 is B+ done by CARE.
b) Property Tax reforms			
Elimination of exemptions	Amendment in legislation	Done	Only buildings like Mandir, Masjid, Gurudwara, Church, Kabristan, Shamshan Ghat, Graveyard etc. are exempted
Migration to Self-Assessment System of Property Taxation		Self assessment and unit area method for residential properties exist and for non-residential properties, necessary amendments are in process at state level	Self assessment for the residential buildings is already implemented and for non residential amendments has been made in Act & rules are being formulated.
Establish Taxpayer education programme	Setting up a website for property tax issues/ FAQs etc	Ward wise/ Zonal wise camps organized. Print and electronic media is being used for the education.	Tax payers can calculate tax and see calculation through our website http://www.nnvns.org/ and Tax payment module describes it own benefit and option for mode of payment.

Achievement of 85% Coverage Ratio (see item in Current Status)	VNN's tax administration assesses almost all properties i.e 172885 except 3291 are exempted properties. In FY 2013-14 total no of Properties were 173722 and 100% coverage is achieved due to GIS.	Achieved as per commitment. In FY 2009-10 Property tax Coverage : 100.00 % In FY 2010-11 Property tax Coverage : 100.00 % In FY 2011-12 Property tax Coverage : 100.00 % In FY 2012-13 Property tax Coverage : 100.00 %
Achievement of 90% Collection Ratio for current demand (see item in Current Status above)	In FY 2013-14 (Till Dec 2013) The details of PT Collection are given below. 1- Arrear Demand-----Rs.69.81 lakhs 2-Current Demand---Rs. 2240.19 Lakhs 3-Total Demand -----Rs. 2310.00 lakhs 4-Total PT Collection----Rs. 1373.45 lakhs 5- Collection Efficiency 59.45 %	Achieved. In FY 2013-14 (Till Dec 2013) The details of PT Collection are given below. 1- Arrear Demand-----Rs.69.81 lakhs 2-Current Demand---Rs. 2240.19 Lakhs 3-Total Demand -----Rs. 2310.00 lakhs 4-Total PT Collection----Rs. 1373.45 lakhs 5- Collection Efficiency 59.45 %
Improvement in collection of arrears, to reach Total Outstanding Arrears less than or equal to 10% of Current demand for previous year <i>(exclude tax assessments under litigation, but include Property Tax / service charge levied on Government properties)</i>	In FY 2013-14 (Till Dec 2013) 1- Arrear Demand-----Rs.69.81 lakhs 2-Arrear Collection----- Rs. 46.71 lakhs 3-Collection Efficiency--- 67.00 %	In FY 2013-14 (Till Dec 2013) 1- Arrear Demand-----Rs.69.81 lakhs 2-Arrear Collection----- Rs. 46.71 lakhs 3-Collection Efficiency--- 67.00 % Efforts were made & total outstanding arrears are less than 10 %.
c) Reforms in levy of user charges		
The State should set up a body for recommending a user charge structure.	Committee constituted under the Chairmanship of Municipal Commissioner. Byelaws for user charges for door to door municipal solid waste collection are formulated and it is approved from Sadan.	Varanasi Nagar Nigam has formulated byelaws for user charges for door to door municipal solid waste collection is formulated and it is approved from Sadan.
2-Establishment of proper accounting system for each service so as to determine the O&M cost separately. 1-Water Supply 2-Sewerage 3-SWM	Tally Software is being used. Provision in State level Software Solution.	Tally Software is being used.
3-Please indicate plan for reduction in Non-Revenue Water (NRW) and Un-accounted for Water (UfW) through measures that include water audits and leakage detection studies. <ul style="list-style-type: none">• Non-Revenue Water (NRW)• Un-accounted for Water (UfW)	Implementation of rehabilitation of WS scheme and as per commitment target will achieve. M/s CDM Smith, Bangalore is preparing proposal for "reduction strategy for Non-revenue Water" under CBUD project of MoUD. Agency has submitted inception report of preliminary survey to MoUD in Dec-13.	Figures will reduce after the implementation of JNNURM projects. Work plan has been designed under the chairmanship of General Manager Jalkal for measuring these components. M/s CDM Smith, Bangalore is preparing proposal for "reduction strategy for Non-revenue Water" under CBUD project of MoUD. Agency has submitted inception report

	<p>4-Time table to achieve full recovery of O&M costs from user charges (recovery of all direct costs, including related salaries and wages) Water Supply ,Sewerage & SWM</p>	<p>Due to increase in realization in O&M cost is achieved. User charge collection for door to door solid waste collection started in Aug 2010. Through the Varanasi Nagar Nigam at present not levying volumetric charges on these services but it is able to bear the O&M cost through current tariff system on water Supply & sewerage. User charges on SWM have been initiated and full cost recovery will be achieved after all water infrastructure and metering projects are implemented.</p> <p>Water Supply & Sewerage In FY 2013-14 (Till Dec 2013) 1-O&M Expenditure---Rs.50.82 Cr. 2-O&M Income----Rs.23.36 Cr. 3-O&M Recovery—46.00 % Online water tax collection system is introduced in the month of Nov-13 and collection till Dec-13 is Rs 0.71 Lakh.</p> <p>User charge collection for SWM till March 2013 is Rs. 2.52 Cr.</p>	<p>of preliminary survey to MoUD in Dec-13. Detailed assessment list has been provided to Jalkal to increases coverage area. Water supply and sewerage projects are in progress. After completion of the project the O&M cost will be recovered. User charge collection for door to door solid waste collection started in Aug 2010.</p> <p>Water Supply & Sewerage In FY 2013-14 (Till Dec 2013) 1-O&M Expenditure---Rs.50.82 Cr. 2-O&M Income----Rs.23.36 Cr. 3-O&M Recovery—46.00 % Online water tax collection system is introduced in the month of Nov-13 and collection till Dec-13 is Rs 0.71 Lakh.</p> <p>Total no. of households covered with SWM services- 212000 (All 90 wards) User charges for SWM services –</p> <table border="0"> <tr> <td>Residents</td> <td>Rs 30 per month</td> </tr> <tr> <td>Small Houses</td> <td>Rs 20 per month</td> </tr> <tr> <td>Kachcha houses</td> <td>Rs10 per month</td> </tr> </table>	Residents	Rs 30 per month	Small Houses	Rs 20 per month	Kachcha houses	Rs10 per month
Residents	Rs 30 per month								
Small Houses	Rs 20 per month								
Kachcha houses	Rs10 per month								
d)	Implementation of E-Governance in municipalities								
	Preparation of Municipal E-Governance Design Document (MEDD) on the basis of National Design Document as per NMMP	ULB level DPR based on State Level Software Solution of Rs 3.51 Crores is being prepared and approved by GoI on 24.02.2012. MOA has been signed by GoI/State/ULB.	E-Governance state Level Software Solution for Uttar Pradesh has been approved (Rs. 23.61 Crore) by GoI on 20.12.2010. ULB level DPR based on state level DPR of Rs 3.51 Crores is approved by GoI on 24.02.2012. MOA has been signed by GoI/State/ULB.						
	Assessment of MEDD against National E-Governance Standards	ULB level MEDD based on state level software solution is under preparation.	Assessment of MEDD will be done by proposed SIC.						
	Finalization of Municipal E-Governance implementation action plan for the city	ULB level MEDD based on state level software solution is under preparation. Data migration action plan is included in ULB level DPR	Action plan for all modules of e-Governance has been already designed by M/s UPECL. E-Governance State level software solution has brief action plan for all civic services that will be adopted by citizens and Nagar Nigam itself. However ULB level DPR is included with the data migration action plan.						
	Undertaking Business Process	Provision in State/ULB Level E-Governance	E-Governance modules are being used for						

Reengineering (BPR) Prior to migration to e-governance systems	Detailed Project Report	every section in Nagar Nigam and every section is linked with each other.
Appointment of Software consultant(s)/ agency for development, deployment And training	Provision in State/ULB Level E-Governance Detailed Project Report	M/s Techno sys Ltd. / M/s CE Info systems / IIT Kanpur. Nagar Nigam has in House Software Developer also.
Exploring PPP option for different E-Governance services	Provision in State/ULB Level E-Governance Detailed Project Report	PPP option has been adopted in various e-Governance services.
Implementation of E-governance initiatives in the JNNURM city, against the identified modules		
Property Tax	<ul style="list-style-type: none"> ➤ All the bills, their parameters, ready reckoner & FAQ are shown and displayed on the website of VMC. ➤ Property tax Services are being provided online through Nagar Nigam website http://www.nnvns.org/ ➤ A UNDP project was launched in 16 municipal corporations of 4 states; VMC was one of them and was the only municipal corporation awarded by UNDP and Govt. of India for successfully implementing the GIS project. ➤ The use of Spot Billing machine (SBM) has been being started recently for the door to door onsite property tax collection. The machine is provided with the complete data of property tax demand household wise based on GIS. After the implementation of new SBM system there is no manual deposition of the property tax from 12-13. ➤ Use of GPRS based Spot Billing Machine (SBM) is being started recently for the door to door onsite property tax collection. Collection till Dec-2013 is 108.01 lakh. Online payments through payment gateway with collection till Dec-2013 are 7.07 lakh. ➤ Property Taxes Self Assessment Form (Residential / Non residential), Property tax Demand creation, Online Beat attendance Module, Annual value calculator and Monthly rates for AV are also available at Nagar Nigam website. ➤ Computerized/online Bills are being generated on the basis of GIS based Property tax system and 	Property tax Services are being provided offline as well as online through Nagar Nigam website http://www.nnvns.org/ Property tax collection through SBM till Dec-2013 is 108.01 lakh. and through online payment gateway is 7.07 lakh.

		computerized receipt is given and posting of the deposited amount is done automatically.	
Accounting	<ul style="list-style-type: none"> ➤ Opening Balance Sheet as on 01.04.2006 and Financial Statement for the Year 2006-07 is finalized, audited by independent CA and adopted after approval by the Executive Committee. ➤ Financial Statement for the Year 2007-08, 2008-09, and 2009-10 has been audited by M/s S.V. and Co, Chartered Accountant and Financial statements for the year 2010-11 and 2011-12 has been finalized and draft for 2012-13 is prepared. ➤ The inventory of assets and liabilities has been prepared valued, digitized and updated. ➤ Annual and revised budget for 2012-13 is prepared and adopted. ➤ Annual budget for 2013-14 is approved from board and adopted. ➤ The Financial Statements for earlier Years have been hosted on the Nagar Nigam website http://www.nnvns.org/ 	<p>The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual.</p> <p>The financial transactions, assets and liabilities data are prepared on accounting software which is based on UP state Double Entry Accounting Manual.</p>	
Water Supply and other utilities	Computerized Bills are being generated for water supply & Other utilities Services	Computerized Bills are being generated for water supply & Other utilities Services. Tally Software is used. Billing, Accounts, M&E, are fully computerized.	
Birth & Death Registration	<ul style="list-style-type: none"> ➤ Computerized Certificates are being issued since 2007. ➤ Digitization of data of issued certificates (Birth / Death) since 1969 is under process. 	Birth & Death Registration computerized from Nov 2007. Digitization of data of issued certificates (Birth / Death) since 1969 is under process. Computerized certificates are being issued.	
Citizen's Grievance Monitoring	<ul style="list-style-type: none"> ➤ Web based application software is being used. ➤ IVRS system is installed to register the complaints. ➤ Citizen can endorse their complaint through Nagar Nigam website, at the same time a SMS is sent to complainer for the acknowledgement and a SMS is sent to the related officer detailing about the complaint and mobile no of complainer. ➤ When complaint resolve, a SMS is sent to complainer for the resolving status. 	Complains and suggestions are being resolve by fix term of duration and Nagar Nigam is being started online services from 23.05.2010. Complains are registered through online/offline process. IVRS (SMS facility is being provided.	

	<ul style="list-style-type: none"> ➤ Complains and suggestions are being resolved by fix term of duration and SMS service to citizens is implemented since May 2010. 	
Personnel Management System	<ul style="list-style-type: none"> ➤ Web based application Software is being used. ➤ PMS is prepared and uploaded to Nagar Nigam website. ➤ Centralized PIS is also available at Directorate website. ➤ Employee Payroll Management System is also implemented. 	Employee Salary, Pension, PF, Bonus is computerized. All Employee data has been digitized as per prescribed format given by SLNA. PMS is also being uploaded on Nagar Nigam website.
Procurement and Monitoring of projects		
<ul style="list-style-type: none"> • E-Procurement 	<ul style="list-style-type: none"> ➤ Venders can download EoI/ financial / technical bids /Copy of TOR forms and other regarding information through Nagar Nigam website. ➤ Executive council of VNN has taken a decision for development of end to end E- tendering system on revenue sharing basis. ➤ Application Software Consultant, Lucknow has prepared the Tender document for E-Tendering. ➤ The notice for the selection of E-Procurement service provider (EPSP) has been issued and technical bid evaluation by the tender committee is complete. ➤ Financial Bid is opened and E-Procurement Service Provider (EPSP) will be selected soon. 	Executive council of VNN has taken a decision to NEXT-tenders, New Delhi for development of end to end E- tendering system on revenue sharing basis. Application Software Consultant, Lucknow has prepared Tender document for E-Tendering and notice was issued. Technical & Financial Bid are opened and E-Procurement Service Provider (EPSP) will be selected soon.
<ul style="list-style-type: none"> • Project / Ward Work 	<ul style="list-style-type: none"> ➤ Monitoring of JnNURM projects is being done by modified PMIS System. 	<ul style="list-style-type: none"> ➤ Monitoring of JnNURM projects is being done by modified PMIS System.

Building Plan Approval	<ul style="list-style-type: none"> ➤ Varanasi Development Authority provides this facility offline / online facility through its website http://www.vdavns.org/ ➤ VDA provided other citizen services like Enquiry details, application tracking, Enforcement Complaint etc. ➤ IVRS facility is being provided through web based application software. ➤ A state level software has been prepared named “Avasbandu’ for Building Permissions ➤ Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. ➤ Digitization of building data is being updated regularly by VDA. 	Varanasi Development Authority provides this facility offline / online facility through its website http://www.vdavns.org/ . A state level software has been prepared named “Avasbandu’ for Building Permissions. Offline computerized details of NOCs which is important process of Building Plan Approval, are being maintained by Nagar Nigam. Digitization of building data is being updated regularly by VDA.
Health Programs		
<ul style="list-style-type: none"> • Licenses 	Application software for issuance of license has been prepared, which is under execution.	Application software for issuance of license has been prepared, which is under execution.
<ul style="list-style-type: none"> • Solid Waste Management 	The financial aspects of SWM like user charges etc covered by this application. Area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles and staff through application software.	Since the Nagar Nigam is implementing SWM project under JnNURM, execution of which is official software based in which, area Information (Zone/ward), population details, garbage Collection details, Location wise assignment of sanitation staff and assigning of routes to SWM vehicles are monitored.
e) Earmarking of funds for basic services to the poor		
BUDGETING AND ACCOUNTING PROCESSES <ul style="list-style-type: none"> ➤ Creation of separate Municipal Fund in the accounting system for ‘Services to the Poor’ ➤ Amendments to the Municipal Accounting Rules for governing the Fund, Operating the Fund, including rules for transfer of resources into the Fund for ‘Services to Poor’. ALLOCATION AND EXPENDITURE ON DELIVERY OF SERVICES FOR	25 % of Development Budget of 2012-13 is allocated for facilities like roads and street lighting etc. Total development budget for 2013-14 is 3.6 crore and earmarked 0.90 crore for BSUP, out of which 0.15 crore is for street light and 0.75 crore is for construction of roads.	Separate budget head has been prepared for for urban poor welfare with detailed budget items for development works like Road construction and street lightings. 25 % of the Development Budget in 2010-11 and onward is allocated for facilities like roads and street lighting etc. to urban poor. Total development budget for 2013-14 is 3.6

	<p>POOR</p> <p>➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Revenue Income -----16%</p> <p>➤ Targeted revenue expenditure on delivery of services to poor per annum, expressed as % of Total Own Source of Revenue Income-----16%</p> <p>Targeted capital expenditure on delivery of services to poor per annum, expressed as % of Total Capital Expenditure -----20%</p>		crore and earmarked 0.90 crore for BSUP, out of which 0.15 crore is for street light and 0.75 crore is for construction of roads.
f)	Basic Services to Urban Poor	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows:</p> <p>1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services</p> <p>2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land</p> <p>3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>	<p>This reform agenda is being implemented with assistance from DUDA in Nagar Nigam. DUDA has been spearheading the household survey process as well as the construction of houses for the poor.</p> <p>Several Integrated Schemes focused on the poor are being implemented by State Government in which all 7 Point Charter Services has already been covered.</p> <p>Some of these Schemes are given as follows:</p> <p>1-Manyawar Shri Kanshiram Ji Shahari Garib Awas Yojana launched with the objective of providing access to legal and affordable housing to Below Poverty Line (BPL) families in urban areas with in-house basic services</p> <p>2-Sarvjan Hitay Shahari Garib Awas Malikana Haq Yojana launched with the objective of providing ownership rights to the urban poor living in houses built on unauthorized government land</p> <p>3-Manyawar Shri Kanshiram Ji Dalit Basti Samgra Vikas Yojana an umbrella scheme launched for planned and time-bound development of all basic infrastructural facilities in slum areas</p>

Optional Reform

Sl No	Commitment as per the MoA	Progress made during the Quarter (Oct 13 - Dec 13)	Cumulative progress as on FY 2013-14 (31.12.2013)
Revision of Building Byelaws to streamline the approval process			
A	Establishment of Interactive Citizen Enquiry Sys for Building Plan Approval	Time line for sanction of building plans for residential building is 30 days and for comm. Buildings it is 90 days. Time reduction to 7 & 10 days respectively is to be achieved by year 2011-12	This function is performed by all ULB except in 106 ULB (74 Regulated Area, 27 Development Authority and 5 special area development. The Development authorities have modified the existing building byelaws in order to streamline the process approval. The dissemination of building byelaws have been made
B	Maximum Reduction of Average time for Building Sanction		

			<p>available through website of Authorities and Awas Bandhu. MIS system linking all offices having bearing on building permission has been made available to & Online facility for submission and sanctioning of Building Plan has been introduced. An Online facility for Interactive Citizen Enquiry on status of Building Plan is available on Authority website. The revision of Building bye-laws has been undertaken. At present, the time-line for sanction of Building Plans for residential building is 30 days and for commercial building is 90 days. But Vide Go no. 3559, dated-04.01.2008 the process of sanction of Building Plan has been simplified. In Old Built up Area, sanction of Building Plan till 100 meter is not required. In case of Single Residential Plots, up to 300 Sqm, the allottee will be given Standard Building Plan, at the time of Registry and following the Set -Back as per Building Bye Laws, the sanction of Building Plan is not required. The time reduction to Seven Days for Residential Buildings and 10 days for commercial buildings is therefore achieved to that extent.</p>
Revision of Building Byelaws to make RWH Compulsory			
A	Start of approval as per new byelaws	For Buildings having plot area less than 300 sq meter RWH is optional but for buildings having area 300sq meter or more RWH mandatory.	<p>The latest Building Bye Laws "Bhawan Upvidhi 2008 Rain Water Harvesting is mandatory. All Building Plans of 300 Square Meter and above have to make provision for Rain Water Harvesting. Already Introduced in Bhawan Upvidhi 2008. As per letter dated 3982/8-1-17-01.07.2008 issued by Chief Secretary regarding Implementation of Rain Water Harvesting. Policy it was required to enlist all houses of 300 Square Meter or more, for Roof Top Rain Water Harvesting. The Private Builder/ Government and Semi Government Department, Group Housing/ Multi Storeyed Residential Unit are required to implement Rain Water Harvesting. A Committee formed at Government Level will verify the Implementation. A letter issued by Chief Secretary U 035/8-1-2005, dated 25.04.2006 to all Departments regarding "Water Conservation and Recharging" through Rain Water Harvesting, instruction have been issued for Conservation and Preservation of Ponds. The Master/Zonal Plan will indicate the Land use and Year mark for Water Recharge/Harvesting/Reuse of Water. A Geological/Hydro Geological Survey for Recharging of Water before Launching of New Scheme is essential.</p> <p>Vide GO no. 3671/9-v0/17/19.06.2003, an Action Plan for the Implementation of Rain Water Harvesting and Water Conservation a Cell is to be created at City Office Level. The Action Plan includes Publicity, Creation of Rain Water Harvesting Cell, Training, Empanelment of Expert and</p>

			<p>Agencies, Fixation of Rates, Scrutiny of Building Plan 1000 Square Meter, Issue of Notice, Presentation and Seizure of Building, Fixing of Hoardings on important places and Building The Technique & Design of Rain Water Harvesting is available on authority Web-site and Awas Bhandu Web-site www.awasup.nic.in. Work Shops/Interface with Schools/Teacher/House Wives/Builder Associations /School Children organized at various forum. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008 in which Rain Water Harvesting is mandatory for Plots of 300 Square Meter and above. The Housing Scheme developed by the Developer will not include the Density & FAR of EWS/LIG Houses in the calculation of Density & FAR of the scheme being developed by the Pvt. Developer.</p>
Earmarking of Land for EWS/LIG Housing and a system of cross subsidy			
A	Decision on the extent of reservation (20-25%)	<p>Earmarking of land for EWS/LIG Housing is being done according to the rules framed by GoUP.</p>	<p>Vide GO no. 2711/8-05, dated 21.05.2005 the development of Housing Scheme through PPP model has been promoted. The developer is required to develop & sell 20% of houses for EWS & LIG group. Hi-Tech Township Policy was framed vide GO no. 3872-8-07- dated 17.09.2007. The developer company through consortium was required to provide 10% housing stalk for EWS & 10% for LIG group on the rates prescribed by Govt. and the allotment is to be finalized by a committee under V.C./ Housing Commissioner. The developer shall provide Free of Cost Basic Infrastructural facility in the Rural Abadi falling within the Area of Hi Tech Township such as Road, Drainage, Water Supply Sanitation, Electricity etc. Vide GO. Dated 05.06.2009 the developer is required to provide Free of Cost connectivity to Rural Abadi falling within the Hi Tech Township.</p> <p>Vide GO no. 5899/8-3-09 dated 14.01.2010, "Affordable Housing to EWS & LIG category policy to 2009" was framed. As per this policy 10% of EWS & 10% of LIG total 20 % Houses is to be constructed by Cross-Subsidy by Government Agency and Private Builders. The Housing Scheme developed by Pvt. Developer will not include the Density and F.A.R. of EWS/LIG Houses in the calculation of Density and F.A.R. of the Scheme being developed by the Private Developers.</p>
B	Amendment of the existing legislation and notification		
C	Timeline to improve the percentage of reservation for EWS/LIG in housing projects		
BYELAWS ON REUSE OF RECYCLED WATER.			
A	<p>1-Final design and decision on in use of a waste water recycling system.</p> <p>2- Preparation of draft building Bylaws to reflect to</p>	<p>Nagar Nigam is following the byelaws framed by Govt. in National Building Code</p>	<p>Chapter 3 & 9 of National Building Code deals with Building Laws, & Recycle/Reuse of Water. This has been adopted through "Bhawan Upvidhi-2008. Recycle of Treated Water</p>

	<p>reflect the mandatory clauses such a system.</p> <p>3- Amendment of the existing legislation to introduce the new building byelaws and procedures.</p> <p>4- Dissemination of the new building byelaws through a website.</p> <p>5- City level workshops to address to the queries of general public</p>		<p>use for irrigation purpose as per NBC Part 3&9 and Technical theory of Pollution Control Board & Jal Nigam. Amendment in Building Bye Laws have been made and "Bhawan Upvidhi 2008 has been implemented. The Technique and Design of Rain Water Harvesting/Reuse of Water is available on Awas Bandhu Web-site, www.awasup.nic.in Several Seminars/Work Shops have been organized. The target group being Teachers/House Wives/School Children/Builders Association. All Building Plans are sanctioned as per Bhawan Upvidhi of 2008.</p>
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Structural Reform

A	New Initiatives planned within organisation	1-Weekly review meeting by Municipal Commissioner is there. Important issues like revenue collection are reviewed almost daily.	All municipal core services are being maintained at zonal office such as Health, water supply, sanitation, and sewerage.
B	New Initiatives planned for inter-agency coordination and accountability amongst city level agencies	2-Monthly meetings of departments working at city level is taken by Divisional Commissioner and District Magistrate in addition to that Meeting on issue specific are there among city level departments	Demand and collection of Taxes are being maintained and monitored at Zonal office. Registration and redressal of complaints is being done at Zonal offices. Act amended vide notification No. 1231/79/b-1-09-1(ka)24-2009 22/9/2009(Section 3D) regarding formation of Ward Committee. City level monitoring committee of stakeholder has been formed. A city level co-ordination committee has been constituted by Environment department of the state. City level co-ordination committee also formed for cleaning of river. City Level Co-ordination committee has been constituted in the Zoning of Street Venders. It is proposed to constitute a City Level coordination committee in the framing and execution of City Sanitation Plan. Creation of cadre of municipal staff for different technical discipline has been recommended in the report submitted to State Government on 22.5.2009. The cadre of different discipline at Nagar Panchayat, Nagar Palika Parishad and Nagar Nigam level has been recommended. The State Government has accepted the report and the necessary action is being taken. The reorganization of Executive Officer cadre is under consideration at State level and at the U.P. 6th. Pay Committee level. Two rounds of deliberations with the Chairman U.P. 6th. Pay Committee has already taken place. Revenue (Tax assessment & collection) staff cadre reviewed The post of Environmental Engineer for ULB has been created. Reorganization of Executive Cadre. A separate Directorate for Food and Drugs Control has been established.

Administrative Reform

A	<p>1-Rationalization in staff & Human Resource Management 2-Staff Training 3- Reduction in Establishment Expenditure 4- Management Review Systems</p>	<p>As per U.P Government order dated 12.06.2006 a Committee under the Chairmanship of Director of Local Bodies was formed for suggesting Administrative Reforms for Reorganization, up gradation, strengthening and categorization local bodies. The committee submitted its report to U.P. Government in. The State Government accepted the report on principals after deliberation at various levels. The report submitted rationalization of staff categorization norms for ULBs and suggested measurement for reduction in establishment expenditure</p>	<p>A committee was constituted vide GO no. 1495/9-1-2006 dated 12, June 2006 to establish norms for the Categorization, Up gradation, Reorganization and the Rationalization ion of the Human Resources by determining the work load in the ULB's. The report has been submitted and acted upon by the State Government. Identified as –The responsibilities and duties was not properly defined for some centralized revenue staff (Tax Assessment & collection), For environmental aspect no post for environmental Engineer (Especially for Solid waste Management) at ULB level, Shortage of Technical & Managerial staff, Inadequacy of E-Gov Set-Up. In order to increase Financial accountability and Discipline in the ULB's the State of U.P. has been the First State to propose to bring the ULB's under the F.R.B.M. (Financial Responsibility and Budget Management) Act. The Act has been drafted and it is in the stage of being Vetted by the Finance Department.</p>
Encouraging PPP			
A	<p>List down the city level project initiatives planned through PPP in the next three years</p>	<ul style="list-style-type: none"> ➤ PPP cell has been established in VMC ➤ SWM project of VMC is in PPP Mode. ➤ Construction of Modern Slaughter House. ➤ Renovation of electric crematorium at Harischandra Ghat under PPP with NGO. ➤ DPR for street food under PPP of Rs 6.70 Crore is prepared and submitted to GOI for approval. ➤ Construction of Yatri Pratikshalay. 	<p>GO-No- 1- 1783/-9-1-01-66 सा/01, दिनांक 22-6-2002-4584 / नौ-1-04-2(9)/2002 दिनांक 13-1-2004 3-4323 / 9-1-2005-66सा/2001टीसी दिनांक 13-9-2005 174 / 9-1-2006-66सा/2001टीसी दिनांक 21-3-2006 Done Act amended. Order issued GO-No- 1855/9-5-08-54EO/2007 dated 6.8.2008. Promote the undertaking of any project for supply of urban infrastructure or services adequate provision made in act to make city level planned and policy for implementing PPP model in different service sector of municipality gazette no 1231(2)LXXXIX-V-1-09-1(KA)24-2009. PPP cell has been established in VMC. DPR for street food under PPP of Rs 6.70 Crore is prepared and submitted to GOI for approval.</p>

2. MoA

In case MoA has not been negotiated and signed as on reporting date, please indicate target time period for entering into tripartite MoA month / year

MoA has already been signed on 8 Jan 2007.

3. List initiatives taken if any for creation of a Revolving Fund at the city level (please mention in brief bullet points)

- Request letter and proposal have sent to the State Govt....

4. Any innovations / good practices in urban governance, project development and service delivery implemented in the city during the reporting period (please mention in brief bullet points)

- Maintenance and beautification of PARKS on PPP basis.
- Computerized Citizen's Grievance Redressal System based on IVRS system is operative in the VNN.
- Property tax calculator is added on website for better services to citizens.
- Use of GPRS enabled Spot Billing Machine (SBM) is being started recently for the door to door onsite property tax collection.
- DPR for street food under PPP of Rs 6.70 Crore is prepared and submitted to GOI for approval.
- Construction of Yatri Pratikshalay.

Signature & date
Authorized Signatory
Urban Local Body

Additional Municipal Commissioner
Varanasi Nagar Nigam, varanasi

PART III

MONITORING PROJECT IMPLEMENTATION

(To be filled in separately for each project)

1.	Project title:	Water Supply component phase I	3.	Project Bank A/c No: & Name & Address of Bank	A/C No- 0464000100335931 PNB Nichi Bagh Varanasi
	Project code:	VAR-002		4.	Project Cost (in Rs. Lakhs) – as sanctioned
2.	Implementing Agency:	U.P. Jal Nigam			

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. <u>0.00</u> lakhs

6. Capital Contributions to the project and Inflows³

S. No	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last reporting quarter (Sept.- 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance
					During the quarter being reported (Oct.-2013 - Dec.- 2013)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
				4995.90+555.10*			
1	GoI	5551.00	50	=5551.00	0.00	5551.00	NA
2	State	2220.40	20	2220.40	0.00	2220.60	
3	ULB	3330.60	30	3330.60	0.00	3330.60	
4 Others							
Total		11102.00	100	11102.00	0.00	11102.00	-

Note: 10 % of ACA (Rs. 555.10 Lakhs) which was holdup by GoI, has been released by GoUP.

Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project

Total interest accumulated in bank account to date	Rs. 247.476 Lakh
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7. Monitoring Funds Utilisation ⁵ for the project					
			Actual amounts utilized in the project		
Tender				Estimated	Expected time to
Package	Upto end of last reporting	During the quarter being reported (Oct.-2013 -Dec.2013)	Cumulative Expenditure as on	expenditure for	request for next
No.	Quarter ⁶ (Sept.-2013)		31.12.2013	next quarter	Installment
1	2	3	4=(2+3)	5	6
1.	811.70	0.00	811.70	0.00	NA
2.	1757.64	22.75	1780.39	0.00	
3.	3032.09	8.08	3040.17	0.00	
4.	1527.96	191.41	1791.37	303.96	
5.	2083.24	74.31	2157.55	58.04	
6.	805.93	0.00	805.93	200.00	
Contingencies	224.89	0.00	224.89	-	
Total	10243.45	296.55	10540.00	562.00	
Utilisation of funds as % of funds received from all sources for the project as on date					94.94%

All amounts are in Rs. lakhs

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completi on	Tender Release date	Tender Award date	(Work Not started/Under Progress/Com pleted)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	Renovation of Bhadaini intake Works	801.00	769.04	-	25.10.10	02.06.08	completed	100%	31.03.2010	31.12.2011
2.	Repair/rehabilitation of Bhelupur water treatment Plant.	2282.02	2010.36 60.00 (Power)	-	4.4.08	1.8.08	Under progress	95%	31.03.2010	31.03.2014
3.	Feeder main & rising main.	2188.00	-	-	-	-	Order for supply of pipe issued procurement of 24.12 km pipes complete. 22.80 km feeder main laid.	95%	31.03.2010	31.03.2014
4.	Repair of ZPS-12 Nos Const. of OHT-7 Nos. Const. of CWR- 16 Nos. Booster pumps – 51 Nos.	1823.395	1635.938 90.00(power)	- -	15.04.08 -	21.05.08	Work under Progress Departmental	95%	31.03.10	31.03.2014
5.	Const. of CWR-11 Nos Const. of OHT-10 Nos Booster pumps – 33 Nos.	2110.885	1996.52 66.00 (Power)	-	15.4.08	21.5.08	--do--	95%	31.03.2010	31.03.2014
6 PACKAGE-6 MISCELLANEOUS ITEMS										
i.	Portable leak detection equipment-4set	48.25	-	-	-	-	Tender Received			
ii.	SCADA system for controlling supply of water in Varanasi city-1set	671.70	749.12.	-	16.06.09	23.10.09	Under progress	98%	22.01.11	31.03.2014
iii.	Supply of 3 nos. non clog submersible pump set 40 HP, 6000 lpm, 15m head.	22.50	12.90	-	1/2008	4/2008	Completed	100%	7/2008	31.07.2008
iv.	Supply and installation of Digital flow meter of size varying 500mm to 1000mm dia 30 nos.	255.00	As per requirement of site SITC of 150mm/200mm dia electromagnetic flowmeter in place of 500mm to 1000mm dia digitae flowmeter awarded in item No. 6 ii							
v.	Electronic chlorination device at different existing tube wells, 30 Nos.	12.00	5.62	-	1/2008	7/2008	Completed	100%	9/2008	30.09.2008
vi.	100 KVA Diesel Generating sets. (trolley mounted), 4 Nos	34.00	31.86	-	1/2008	5/2008	Completed	100%	8/2008	31.08.2008
vii.	Tankers of 3000 ltr capacity -4 Nos.	5.00	6.25	-	1/2008	3/2008	Completed	100%	5/2008	31.05.2008
viii.	Tractor – 1 No.	5.00	3.48	-	1/2008	3/2008	Completed	100%	4/2008	30.04.2008
SUB TOTAL		1053.45	809.23	-						
Total		10258.75	7537.098							

9. Scheduled completion date of Project as per DPR⁷ approved by CSMC: month / year 11/2010

Actual duration (in months)for project completion: 36 Month

Estimated time for completion of project as on date: month / year March, 2014

Is there a difference between schedule date of completion and estimated date of completion: Yes

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account		
ii.	Issues related to cost escalation	No	-
iii.	Delay in tendering process	No	-
iv.	Technical sanction process at state level	No	-
v.	Field level conditions leading to redesign	No	-
vi.	Constraints in supply of equipment/material/technology		
vii.	Technical capacity of ULBs	No	-
viii.	Project Management related issues.	No	-
ix.	Any other issues / constraints in project implementation	Yes	Land of 1 No. OHT made available on 01.02.2013

10. Status of Various Initiatives:				
Sl. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
	i. Rapid Training Programme (R.T.P.) ii. National Urban Information System. iii. GoI-UNDP Project	Number of Official Trained	-	13
	i. Rapid Training Programme by Centre for Good Governance,	Number of Non Official Trained	-	90
2.	Workshops			
1	National Urban Information System. Hyderabad.	National Level	-	02
2	i- Rapid Training Programme, Lucknow. JNNURM ii- GoI-UNDP Project, Property Tax	State Level	-	05
3	Rapid Training Programme by Centre for Good Governance.	Regional Level	-	90
3.	Other (Please specify key initiatives)	-	-	-
	i. Basic computer training for employs by UPDESCO			50
	ii. Double Entry Accounting System training by Chartered Account			10

11. Issues in Project Monitoring and Inspections

Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GoI Officers	-
2	Date of Inspection	-
3	Issues reported during Inspections	-
4	Course corrections done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date

Authorised Signatory

Project Implementing Agency

Signature & Date

Authorised Signatory

Urban Local Body⁸

⁸ In case of projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project

1.	Project title:	Water Supply component phase II	3.	Project Bank A/c No: & Name & Address of Bank	A/C No-0464000100340710 PNB, Lanka, Varanasi
	Project code:	VAR-02		4.	Project Cost (in Rs. Lakhs) – as sanctioned
2.	Implementing Agency:	U.P. Jal Nigam			

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. _____ lakhs

6. Capital Contributions to the project and Inflows³

S. No	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last Reporting quarter ⁴ Sept.-2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported (Oct.-2013 to Dec.-2013)	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1	GoI	4305.00	50	2798.25+430.50* =3228.75	0.00	3228.75	1076.25
2	State	1722.00	20	1291.50	0.00	1291.50	430.50
3	ULB	2583.00	30	2583.00	0.00	2583.00	-
4	Others						
Total		8610.000	100	7103.25	0.00	7103.25	1506.75

Note: 10 % of ACA (Rs. 430.50 Lakhs) which was hold up by GoI, has been released by GoUP

³ Note (for filling table):

(4) Quarter is defined to be aligned with the financial year time frames

(5) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(6) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project

Total interest accumulated in bank account to date	Rs. 229.603 Lakh
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7. Monitoring Funds Utilisation⁵ for the project

Tender Package No.	Actual amounts utilised in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter ⁶ Sept.-2013	During the last quarter being reported (Oct.- 2013 – Dec.-2013)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1.	2898.58	114.79	3013.37	900.00	3 rd UC is likely to be submitted in January 2014
2.	-	-	-	1233.36	
3.	1825.37	284.76	2110.13	1300.00	
	-	-	-		
Contingencies	47.87	5.27	53.14		
Total	4771.82	404.82	5176.64	3433.36	

Utilisation of funds as % of funds received from all sources for the project as on date	72.88%
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9. Scheduled completion date of Project as per DPR⁷ approved by CSMC: month /year 12/2010

Actual duration (in months)for project completion: 36 Month

Estimated time for completion of project as on date: month / year 03/2014

Is there a difference between schedule date of completion and estimated date of completion: *Yes*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account		
ii.	Issues related to cost escalation	No	-
iii.	Delay in tendering process	Yes	-
iv.	Technical sanction process at state level	No	-
v.	Field level conditions leading to redesign	No	-
vi.	Constraints in supply of equipment/material/technology		
vii.	Technical capacity of ULBs	No	-
viii.	Project Management related issues.	No	
ix.	Any other issues / constraints in tender project implementation	No	

Four Times tender were invited but no. tender received. Now it has been decided to procure material departmentally and carry out work on labour contract basis.

10. Status of Various Initiatives:				
Sl. No.	Programme	Item	Actual Status (in numbers)	
			During the last Quarter	Cumulative since inception of the Mission
1.	Type of Capacity Building Programmes			
	i. Rapid Training Programme (R.T.P.) ii. National Urban Information System. iii. GoI-UNDP Project	Number of Official Trained	-	13
	i. Rapid Training Programme by Centre for Good Governance,	Number of Non Official Trained	-	90
2.	Workshops			
1	National Urban Information System. Hyderabad.	National Level	-	02
2	i- Rapid Training Programme, Lucknow. JNNURM ii- GoI-UNDP Project, Property Tax	State Level	-	05
3	Rapid Training Programme by Centre for Good Governance.	Regional Level	-	90
3.	Other (Please specify key initiatives)	-	-	-
	i. Basic computer training for employs by UPDESCO			50
	ii. Double Entry Accounting System training by Chartered Account			10

11. Issues in Project Monitoring and Inspections		
Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GoI Officers	-
2	Date of Inspection	-
3	Issues reported during Inspections	-
4	Course corrections done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date
Authorised Signatory
Project Implementing Agency

Signature & Date
Authorised Signatory
Urban Local Body⁸

⁸ In case of projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project

1.	Project title:	Water Supply component Priority-II	3.	Project Bank A/c No:	A/C No-4141000100435786
	Project code:	VAR-002		& Name & Address of Bank	PNB Pandeypur, Varanasi
2.	Implementing Agency:	U.P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Rs. 20916.00 Lakhs

All amounts are in Rs. Lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. _ 8889.30 lakhs

6. Capital Contributions to the project and Inflows³

S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last reporting quarter ⁴ Sept.-2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the quarter being reported Oct.-2013- Dec. -2013	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
				3600.00+900.00*			
1	GoI	9000.00	50	=4500.00	0.00	4500.00	4500.00
2	State	6516.00	20	3039.30	0.00	3039.30	3476.70
3	ULB	5400.00	30	4487.40	0.00	4487.40	912.60
4	Others						
	Total	20916.00	100	12026.70	0.00	12026.70	8889.30

Note: 10 % of ACA (Rs. 900.00 Lakhs) which was hold up by GoI, has been released by GoUP

³ Note (for filling table):

(7) Quarter is defined to be aligned with the financial year time frames

(8) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(9) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project

Rs 162.978 Lakh

Total interest accumulated in bank account to date

7. Monitoring Funds Utilisation⁵ for the project

Tender Package No.	Actual amounts utilised in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter (Sept.-2013)	During the last quarter being reported (Oct.-2013 to Dec.-2013)	Cumulative Expenditure as on 31.12.2013		
1	2	3	4=(2+3)	5	6
1.	8219.65	336.62	8556.27	2000.00	3 rd UC is likely to be submitted in Jan -14
2.	326.65	13.62	340.27	200.00	
3.	32.52	24.38	56.90	50.00	“
4.	230.89	50.42	281.31	750.00	”
Contingency	3.22	0.77	3.99	50.00	“
Total	8812.94	425.80	9238.74	3050.00	“
Utilisation of funds as % of funds received from all sources for the project as on date					76.82%

9. Scheduled completion date of Project as per DPR⁷ approved by CSMC: month /year 03/2012

Actual duration (in months)for project completion: 30 Month

Estimated time for completion of project as on date: month / year 03/2014

Is there a difference between schedule date of completion and estimated date of completion: No

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account		
ii.	Issues related to cost escalation	No	-
iii.	Delay in tendering process	No	-
iv.	Technical sanction process at state level	No	-
v.	Field level conditions leading to redesign	No	-
vi.	Constraints in supply of equipment/material/technology		
vii.	Technical capacity of ULBs	No	-
viii.	Project Management related issues.	No	-
ix.	Any other issues / constraints in project implementation	No	Stay on doing work on intake well site.

10. Status of Various Initiatives:				
Sl. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the Mission
1.	Type of Capacity Building Programmes			
	i. Rapid Training Programme (R.T.P.) ii. National Urban Information System. iii. GoI-UNDP Project	Number of Official Trained	-	13
	i. Rapid Training Programme by Centre for Good Governance,	Number of Non Official Trained	-	90
2.	Workshops			
1	National Urban Information System. Hyderabad.	National Level	-	02
2	i- Rapid Training Programme, Lucknow. JNNURM ii- GoI-UNDP Project, Property Tax	State Level	-	05
3	Rapid Training Programme by Centre for Good Governance.	Regional Level	-	90
3.	Other (Please specify key initiatives)	-	-	-
	i. Basic computer training for employs by UPDESCO			50
	ii. Double Entry Accounting System training by Chartered Account			10

11. Issues in Project Monitoring and Inspections		
Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GoI Officers	-
2	Date of Inspection	-
3	Issues reported during Inspections	-
4	Course corrections done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date

Authorised Signatory

Project Implementing Agency

Signature & Date

Authorised Signatory

Urban Local Body⁸

⁸ In case of projects spanning across multiple ULBs in cities with more than one ULB, the concerned person from the largest ULB in the city should sign on behalf of all ULBs participating in the project

1.	Project title:	Trans Varuna Sewerage Project Under JNNURM for Varanasi	3.	Project Bank A/c No: & Name & Address of Bank	A/C No-4141000100431133 Panjab National Bank, Pandey pur, Varanasi
	Project code:				
2.	Implementing Agency:	U.P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Original Cost--Rs. 30912.00 Lakhs Revised Cost--Rs. 40731.31 Lakhs

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in financial year	Rs. lakhs

6. Capital Contributions to the project and Inflows ³								
S. No	Sources	Commitment based on approved project cost	Commitment based on approved Project	% of total project cost	Actual amounts released into Project Account			Commitment pending release from source for balance project period
					Actual release upto end of last reporting quarter (Sept 2013)	During the last quarter being reported Oct 13-Dec 13)	Cumulative released as on 31-12-2013	
					5	6	7=(5+6)	
1	2	3(a)	3(b)	4	5	6	7=(5+6)	8=(3-7)
			15456.00		10046.40+1545.60* =11592.00			
1	GoI	15456.00		50%		0.00	11592.00	3864.00
2	State	6182.40	13055.92	20%+70%	11510.10	0.00	11510.10	1545.82
3	ULB	9273.60	12219.39	30%+30%	9900.90	0.00	9900.90	2318.49
4 Others (specify agency's name)								
	Total	30912.00	40731.31		33003.00	0.00	33003.00	7728.31

Note: The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%.

Note: Note: 10 % of ACA (Rs. 1545.60 Lakhs) which was hold up by GoI, has been released by GoUP

Total interest accumulated in bank account as on date	U.P. Jal Nigam	Rs . 492.725 Lac.
Total		Rs 492.725 Lakhs

7.	Monitoring Funds Utilisation for the project				
	Actual amounts utilised in the project				
Tender Package No.	Upto end of last reporting Quarter (Sept 2013)	During the last quarter being reported (Oct 13-Dec 13)	Cumulative Expenditure as on 31.12.2013	Estimated expenditure for next quarter	Expected time to request for next Installment
1	2	3	4=(2+3)	5	6
1.	22324.54	923.34	23247.88	1000.00	
Total	22324.54	923.34	23247.88	1000.00	
Utilisation of funds as % of funds received from all sources for the project as on date				70.44 %	

All amounts are in Rs. lakhs

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	completion Date as revised by Govt. of U.P
1.	Laying of Sewer, Construction of STP & Pumping Station	24393.00	27399.00		27.1.2009	30.9.2009	Land acquisition for STP at Sathwa is suspended due to farmer's agitation. Now Distt. Administration is acquiring another land on pandeypur - Azamgarh road. Process for sec. 6(1)/17 is in progress. 132.00 km sewer line laid. Work of IPS at Narokhar – 75%. Complete	57%	31.12.2011	31.03.2014
Total		24393.00	27399.00							

Total Estimated Cost Rs. 30912.00 Lakh
Revised Estimated Cost Rs. 40731.00 Lakh.

Scheduled completion date of Project as per DPR approved by CSMC: month/ year 12/2011

Actual duration (in months)for project completion: 30 Months

Estimated time for completion of project as on date: month / year March 2014

Is there a difference between schedule date of completion and estimated date of completion: No

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
I.	Delay related to fund release into Project Account	No	-
II.	Issues related to cost escalation	No	-
III.	Delay in tendering process	Yes	Due to Lok Sabha Election.
IV.	Technical sanction process at state level	No	-
VI.	Field level conditions leading to redesign	No	-
VII.	Constraints in supply of equipment/material/technology	No	-
VIII	Technical capacity of ULBs	No	-
	Project Management related issues.	No	-
IX.	Any other issues / constraints in project implementation	Yes	Delay in land acquisition for S.T.P. and limited Road Cutting permission due to Mansoon and festivals etc.

10. Status of Various Initiatives:				
S. No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshops			
		National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and Inspections

Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GoI Officers	NIL
2	Date of Inspection	NIL
3	Issues reported during Inspections	NIL
4	Course corrections done	NIL
5	Suggestions, if any, for project monitoring and MIS	NIL

Signature & Date

Authorised Signatory

Project Implementing Agency

Signature & Date

Authorised Signatory

Urban Local Body⁸

1.	Project title:	Storm Water Drainage Project For Varanasi	3.	Project Bank A/c No: & Name & Address of Bank	A/C No-0464000100340224 Panjab National Bank, Nichi Bagh, Varanasi
	Project code:				
2.	Implementing Agency:	U.P. Jal Nigam	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Original Cost Rs. 19162.00 Lakhs Revised Cost Rs. 25373.00 Lakhs

All amounts are in Rs. lakhs

5. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. Rs -

6. Capital Contributions to the project and Inflows ⁷								
S. No	Sources	Commitment based on approved project cost	Commitment based on approved Project	% of total project cost	Actual release upto end of last reporting Quarter (Sept 2013)	Actual amounts released into Project Account		Commitment pending release from source for balance project period
						During the last quarter being reported Oct 13-Dec 13)	Cumulative released as on 31-12-2013	
1	2	3(a)	3(b)	4	5	6	7=(5+6)	8=(3-7)
1	GoI	9581.00	9581.00	50%	6227.65+958.10*= 7185.75	0	7185.75	2395.25
2	State	3832.40	8180.10	20%+70%	7222.00	0	7222.00	958.10
3	ULB	5748.60	7611.90	30%+30%	7611.90	0	7611.90	0.00
4	Others (specify agency's name)							
	Total	19162.00	25373.00		22019.65	0	22019.65	3353.35

Note: The additional cost as approved in revised Project cost is included in State share as 70% and in ULB share 30%.

	Total interest accumulated in bank account as on date		U.P. Jal Nigam	Rs. 259.64488 Lacs	
	Total			Rs. 259.64488 Lacs	
7.	Monitoring Funds Utilisation for the project				
		Actual amounts utilised in the project			
Tender Package	Upto end of last reporting	During the last quarter being reported (Oct 2013-Dec 2013)	Cumulative Expenditure as on 31.03.2013	Estimated expenditure for	Expected time to request for next
No.	Quarter (Sept 2013)			next quarter	Installment
1	2	3	4=(2+3)	5	6
1.	21393.33	550.23	21943.56	3353.35	
Total	21393.33	550.23	21943.56	3353.35	
Utilisation of funds as % of funds received from all sources for the project as on date					99.65%

All amounts are in Rs. lakhs

8. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Status		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On complete on	Tender Release date	Tender Award date	(Work Not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date (as per DPR)	Estimated completion date
1.	Storm Water Drainage	19162.00	23121.00	25373.00	13.05.2009	30.03.10	Wok in Progress, 65.96 km. drain laid & 57.91 km put to use.	86%	31.03.2011	31.03.2014
Total		19162.00	-	-						

9. Scheduled completion date of Project as per DPR approved by CSMC: month/ year 3/2011

Actual duration (in months)for project completion: 36 Months

Estimated time for completion of project as on date: month / year Mar.-2014

Is there a difference between schedule date of completion and estimated date of completion: YES

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl.No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account	Yes	Only 30% ULB share was released up to July 2013
ii.	Issues related to cost escalation	No	
iii.	Delay in tendering process	Yes	3 Times, PQ bid invited but none qualified.
iv.	Technical sanction process at state level	No	
v.	Field level conditions leading to redesign	No	
vi.	Constraints in supply of equipment/material/technology	No	
vii.	Technical capacity of ULBs	No	
viii.	Project Management related issues.	No	
ix	Any other issues / constraints in project implementa	Yes	Restricted permission for road cutting, festivals, monsoon etc.

10.	Status of Various Initiatives:				
S. No.	Programme	Item	Actual Status (in numbers)		
			During the last quarter	Cumulative since inception of the mission	
1.	Type of Capacity Building Programmes				
		Number of Official Trained	-	-	
		Number of Non Official Trained	-	-	
2.	Workshops				
		National Level	-	-	
		State Level	-	-	
		Regional Level	-	-	
3.	Other (Please specify key initiatives)				

11. Issues in Project Monitoring and Inspections

Sl. No	Particulars	Remarks
1	Inspections carried out by SLNA/ GoI Officers	NIL
2	Date of Inspection	NIL
3	Issues reported during Inspections	NIL
4	Course corrections done	NIL
5	Suggestions, if any, for project monitoring and MIS	NIL

Signature & Date

Authorised Signatory

Project Implementing Agency

Signature & Date

Authorised Signatory

Urban Local Body⁸

1.	Project title:	Varanasi Solid Waste Management	3.	Project Bank A/c No: & Name & Address of Bank	A/C No- 0464000100337257 PNB Nichi Bagh, Varanasi
	Project code:				
2.	Implementing Agency:	C&DS UPJN from 27.08.08	4.	Project Cost (in Rs. Lakhs) – as sanctioned	Rs. 4867.73 Lakhs

5. Budget Allocation by ULB/parastatal agency	
Allocation in ULB/parastatal agency budget for this project in current financial year 2013-14	Rs. 365.08 lakhs

All amounts are in Rs. lakhs

6. Capital Contributions to the project and Inflows							
S. No.	Sources	Commitment based on approved project cost	% of total project cost	Actual release upto end of last reporting quarter Sept 2013	Actual amounts released into Project Account		Commitment pending release from source for balance project period
					During the last quarter being reported Oct 13-Dec 13	Cumulative released as on 31.12.2013	
1	2	3	4	5	6	7=(5+6)	8=(3-7)
1.	GoI	2433.87	50	1582.02+243.39*=1825.41	0.00	1825.41	608.46
2.	State	973.54	20	730.15	0.00	730.15	243.39
3.	ULB	1460.32	30	1460.32	365.08	1460.32	0.00
4.	Others	-	-	-	-	-	-
	Total	4867.73	100	4015.88	365.08	4015.88	851.85

* 10 % of ACA (Rs. 243.39 Lakhs) which was holdup by GoI, has been released by GoUP.

Total interest accumulated in bank account to date date	
Nagar Nigam	Rs. 36.55 Lakhs
U.P. Jal Nigam (C.&D.S.)	Rs. 161.89 Lakhs
TOTAL	Rs. 198.44 Lakhs

All amounts are in Rs. lakhs

7. Monitoring Funds Utilisation for the project					
Tender Package No.	Actual amounts utilised in the project			Estimated expenditure for next quarter	Expected time to request for next Installment
	Upto end of last reporting Quarter Sept 2013	During the last quarter being reported Oct 13-Dec 13	Cumulative Expenditure as on December-2013		
1	2	3	4=(2+3)	5	6
1	2646.00	443.82	3089.82	-	-
Contingencies	6.75	-	6.75	-	-
Total	2652.75	443.82	3096.57	-	-
<p>NOTE : (1) The project is going on under PPP model and the Concessionaire contributes Rs. 2012.63 Lacs beyond the project cost. Till date, against bill of Rs. 3828.22 Lacs, Rs. 738.40 Lacs is adjusted as Concessionaire Share. (2) Penalty of, 0.5% of the Project Cost, i.e., Rs. 24.34 Lacs is recovered from Concessionaire.</p>					
Utilization of funds as % of funds received from all sources for the project as on date					77.10%

Scheduled completion date of Project as per DPR approved by CSMC: 10/2009

Actual duration (in months) for project completion: 8 Months

Estimated time for completion of project as on date: January 2014

Is there a difference between schedule date of completion and estimated date of completion: Yes

In case Yes, then what are the reasons for the delay, please select from the list below:

Sl. No.	List of Issues	Yes/No	Brief remarks on the reason for delay
i.	Delay related to fund release into Project Account	No	-
ii.	Issues related to cost escalation	No	-
iii.	Delay in tendering process	No	-
iv.	Technical sanction process at state level	No	-
v.	Field level conditions leading to redesign	No	-
vi.	Constraints in supply of equipment/material/technology	No	-
vii.	Technical capacity of ULBs	No	-
viii.	Project Management related issues	No	-
ix.	Any other issues/constraints in project implementation	Yes	38 Acres land is made available on dt. 25.07.10 and A2Z company started the construction of processing & landfill plant from dt. 02.08.10.

9.

10. Status of Various Initiatives:				
S.No.	Programme	Item	Actual Status (in numbers)	
			During the last quarter	Cumulative since inception of the mission
1.	Type of Capacity Building Programmes			
		Number of Official Trained	-	-
		Number of Non Official Trained	-	-
2.	Workshops			
		National Level	-	-
		State Level	-	-
		Regional Level	-	-
3.	Other (Please specify key initiatives)			

11. Issues in Project Monitoring and Inspections		
Sl.No.	Particulars	Remarks
1	Inspections carried out by SLNA/GoI Officers	-
2	Date of Inspection	-
3	Issues reported during Inspections	-
4	Course corrections done	-
5	Suggestions, if any, for project monitoring and MIS	-

Signature & Date
Authorised Signatory
Project Implementation Agency

Signature & Date
Authorised Signatory
Urban Local Body